CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

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E-MAIL: koenig@ipTranslation.com

September 25, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 14"
Response to Opposition Statement by MAN and subsequent communications from MAN and KBA

Ch. Koy

(Christian König)

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office Ehrhardstrasse 27

80298 München

Your reference, your message of Mar. 2 98

Our references, our message of MR00644 FRic/HWo

Extension 2872

Date May 5 98

EP- application no. 94103832.5 – 2304, EP 0 620 115 "Device for inline-coating of materials to be printed ..."

The patent proprietor requests to maintain the European patent EP 0 620 115 B1 in its entirety. The opposition is to be rejected.

We will adhere to the document designations that were introduced by the opponent.

With regard to K1:

The proprietor does not share the opinion of the opponent. Even though K1, page 13 discloses an offset printing machine with two coating units, at no point does the schematic drawing prove that these units "operate with relief plates".

In addition, it is an invalid conclusion that the only difference between the contested patent and K1 lies in the fact that the feed pump and the suction pump are not shown in K1.

Page 13 of document K1 does not prove that the first coating unit, upstream with respect to the sheet running direction, is a flexo printing unit and that the flexo printing unit comprises, among other things, a relief form carrying form cylinder, a screen applicator roller, and a doctor blade chamber with feed pump and suction pump for fluid circulation.

Chairman of the board: Rudolf Rupprecht, Ph.D. Eng. Board of directors: Gerd Finkbeiner, Martin Lange, Rainer Opferkuch, Ph.D. Eng., Kurt Wildhagen District Court Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT-Id # 611136557 Routing # 605 400 26 Account # 4 289 686 Routing # 505 800 05 Account # 5 062 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 506 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P O box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

Page 2, European Patent Office Munich, May 5 98

With regard to K2:

The delivery cylinder 42 is shown in two embodiments. In the first embodiment, the delivery cylinder 42 is executed as sheet feed cylinder and carries a "Superblue" blanket (e.g. Fig. 3, item 122). In the second embodiment, the delivery cylinder 42 is optionally executed as a form cylinder, which carries a rubber blanket for coating. If required, this feature is used in a planographic printing process to apply the coating onto printed matter. But this operating principle is not the subject matter of the contested patent. In addition, the opponent fails to recognize that K2 discloses an offset printing machine with only one selectively operable coating unit. In contrast, the contested patent is based on an offset printing machine with at least two coating units.

With regard to K3:

The patent proprietor mentions already in the introduction of the patent specification that it is known in the art to process lacquer in a flexo printing unit. In this respect, K3 does not introduce any new facts into the proceedings.

The statements in the abstract are not part of the subject matter of the contested patent. The opponent can not demonstrate, even through a combination of features mentioned in K1 and K2, that the entirety of the contested patent was self-suggestive. With regard to inventive steps, the submitted documents do not contain any leads on why a person skilled in the art should – without any inventive steps - arrive at the subject matter of the contested patent. In this respect, this also raises the issue of the case's merit. The opposition is to be rejected.

MAN Roland Druckmaschinen AG

Per pro

Stahl

pp.

/signature/

/signature/ Richter

General power of

General power of

attorney 20809

attorney 36563

/Stamp:/ Forwarded: <u>May 18 98 /initial/</u> Date Initial

KBA

Mailed on: July 16 1998

Koenig & Bauer-Albert AG Postfach 6060

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Friedrich König str 4 Our re D97080 Wurzburg

Tel (0931) 909-0 Fax. (0931) 9094101 Telex 68648 kbwzb d Date

July 14 1998

Our reference

1P3 0620115/326/ 98 1292/S1/sa . 43 26

Ext (0931) 909 -

Your letter of

Your reference.

May 18 1998 94103832 5-2304

Fax direct line: 0931/909-4789

European Patent no. 0 620 115 B1 European Application no. 94 103 832.5 "Device for inline-coating of materials to be printed ..." Patent proprietor: MAN Roland Druckmaschinen AG Opponents: Koenig & Bauer-Albert AG

In reply to the communication of May 18 1998

1. Motions
All motions filed until now are being maintained.

2. Statement of arguments
The arguments of the patent proprietor are not compelling.

In particular, a person skilled in the art learns from the document K1 - as already stated in the opposition case statement –, that it is possible to operate with a relief form using an anilox roller in combination with a doctor blade chamber.

Koenig & Bauer-Albert AG

/signature/

Registered mail

Erhardtstrasse 27

80298 München

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per pro Ruckmann

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General power of attorney No. 6678

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/Stamp:/

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Chairman of the board: Peter Rempel Board of directors Reinhart Slewert, chairperson Claus Bolze-Schunemann, Cert Eng Dieter Jensen, Cert Eng. Burkhard Roos, Detlef Stork, Cert Registered office: Würzburg Registrar of companies Würzburg Commercial Registration 5 108

Postal Account: Nürnberg Routing number 780 100 85 Account no. 422-860 Landeszentralbank Current Account: Würzburg Routing number 790 000 00 Account no. 79 008 600

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MAN Roland Druckmaschinen AG

Per pro

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General power of attorney 20809

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attorney 36563

/Stamp:/ Forwarded: May 18 98

<u>y 18 98 /initial/</u> Date Initial

KBA

Mailed on: July 16 1998

Koenig & Bauer-Albert AG

Postfach 6060 D97010 Wurzburg

Date

July 14 1998

Friedrich König str 4 D97080 Wurzburg

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Telex 68648 kbwzb d

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1P3 0620115/326/ 98 1292/S1/sa 43 26

Ext (0931)

May 18 1998 94103832 5-2304

Fax direct line: 0931/909-4789

European Patent no. 0 620 115 B1

Registered mail

Erhardtstrasse 27

80298 München

European Patent Office

European Application no. 94 103 832.5

"Device for inline-coating of materials to be printed ..."
Patent proprietor: MAN Roland Druckmaschinen AG

Opponents: Koenig & Bauer-Albert AG

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Koenig & Bauer-Albert AG

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per pro Ruckmann General power of pp. Stiel

attorney No.:6678

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Eng
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Extension 2872

Date Aug. 21 1998

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European Patent no. 0 620 115 B1 European Application no. 94 103 832.5

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80298 München

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With regard to K3:

The patent proprietor mentions already in the introduction of the patent specification that it is known in the art to process lacquer in a flexo printing unit. In this respect, K3 does not introduce any new facts into the proceedings.

The statements in the abstract are not part of the subject matter of the contested patent. The opponent can not demonstrate, even through a combination of features mentioned in K1 and K2, that the entirety of the contested patent was self-suggestive. With regard to inventive steps, the submitted documents do not contain any leads on why a person skilled in the art should — without any inventive steps - arrive at the subject matter of the contested patent. In this respect, this also raises the issue of the case's merit. The opposition is to be rejected.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/ Stahl /signature/ Richter

General power of

General power of

attorney 20809

attorney 36563

/Stamp:/ Forwarded: <u>May 18 98 /initial/</u> Date Initial

KBA

Mailed on: July 16 1998

Koenig & Bauer-Albert AG

Postfach 6060 D97010 Wurzburg

Our reference.

Date

July 14 1998

Friedrich König str 4 D97080 Wurzburg

Ext (0931) 909 -

1P3 0620115/326/ 98 1292/S1/sa 43 26

Tel. (0931) 909-0 Fax. (0931) 9094101 Telex 68648 kbwzb d Your letter of Your reference

May 18 1998 94103832 5-2304

Fax direct line: 0931/909-4789

European Patent no. 0 620 115 B1

Registered mail

Erhardtstrasse 27

80298 München

European Patent Office

European Application no. 94 103 832.5

"Device for inline-coating of materials to be printed ..." Patent proprietor: MAN Roland Druckmaschinen AG

Opponents: Koenig & Bauer-Albert AG

In reply to the communication of May 18 1998

Motions 1. All motions filed until now are being maintained.

Statement of arguments 2. The arguments of the patent proprietor are not compelling.

In particular, a person skilled in the art learns from the document K1 - as already stated in the opposition case statement –, that it is possible to operate with a relief form using an anilox roller in combination with a doctor blade chamber.

Koenig & Bauer-Albert AG

/signature/

/signature/

per pro Ruckmann

pp. Stiel

General power of attorney No. 6678 General power of

attorney No. 36992

/Stamp:/

Forwarded:

July 27 98 /initial/ Date Initial

Chairman of the board. Peter Rempel

Board of directors Reinhart Slewert, chairperson Claus Bolze-Schünemann, Cert Eng Dieter Jensen, Cert Eng Burkhard Roos, Detlef Stork, Cert Eng.

Registered office: Würzburg Registrar of companies Würzburg Commercial Registration 5 108

Postal Account: Nürnberg Routing number 780 100 85 Account no. 422-860

Landeszentralbank Current Account Würzburg Routing number 790 000 00 Account no 79 008 600

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office D-80298 München

Your reference, your message of July 27 1998

Our references, our message of MR00644/ FRic/HWo

Extension 2872

Date Aug. 21 1998

RE: EP- application no. 94103832.5 – 2304, EP 0620115 B1 "Device for inline-coating of materials to be printed in offset printing machines"

In reply to the written statement by the opponent of July 14^{th} 1998, received here on July 28^{th} 1998.

The opponent does not introduce any new facts into the proceedings. In particular, the cited document K1 only suggests that further process optimization seems achievable by using an anilox roller in combination with a doctor blade chamber, as is already practiced in many cases in flexo printing. On this occasion the opponent does not mention – apparently in an attempt to trivialize the invention – the part of the sentence stating that this combination is being applied in flexo printing.

It is not disclosed in detail to a person skilled in the art how a printing machine with printing units for multi-color offset printing and with at least two coating units is to be equipped with a flexo printing unit. Here, an inventive step was necessary to solve the presented problem.

Chairman of the board Rudolf Rupprecht, Ph.D. Eng. Board of directors: Gerd Finkbeiner, Martin Lange, Rainer Opferkuch, Ph.D Eng., Kurt Wildhagen District Court: Offenbach Register of Companies No. 5137 Registered Office. Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 686 Routing # 505 800 05 Account # 5 062 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 506 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P O. box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

Page 2, European Patent Office, Munich, Aug. 21st 98

The patent proprietor maintains his motions. At this point we request a ruling. As an alternative, we request oral proceedings.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/ Stahl

/signature/ Richter

General power of

General power of

attorney 20809

attorney 36563

/Stamp:/

Forwarded:

/initial/ Initial Date

H Hall Hall Hum Till half mile

MAN Roland Druckmaschinen AG



Produktbereich Bogenmaschinen Offenbach/Main

Europäisches Patentamt Ehrhardstraße 27

80298 München

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Unsere Zeichen, unsere Nachricht vom

Durchwahi

Datum

.02.03.98

MR00644

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2872

05.05.1998

EP-Anm.Nr. 94103832.5 - 2304, EP 0 620 115,

"Einrichtung zum Inline-Beschichten von Bedruckstoffen"

Die Patentinhaberin beantragt die Aufrechterhaltung des europäischen Patentes EP 0 620 115 B1 in vollem Umfang. Der Einspruch ist zurückzuweisen. Die von der Einsprechenden eingebrachte Bezeichnung der Dokumente wird beibehalten.

Zu K 1:

Die Auffassung der Einsprechenden wird von der Patentinhaberin nicht geteilt. So ist aus K 1, S. 13, zwar eine Offsetdruckmaschine mit zwei Lackwerken zu entnehmen, daß diese "mit Hochdruckplatten arbeiten" ist an keiner Stelle der Schemazeichnung belegt. Darüber hinaus greift die Schlußfolgerung nicht, daß als Unterschied zwischen Streitpatent und K1 lediglich die Förderpumpe sowie die Saugpumpe in K1 nicht gezeigt sei. Durch S. 13 des Dokumentes K1 ist nicht bewiesen, daß die in Bogenlaufrichtung vorgeordnete erste Lackiereinheit ein Flexodruckwerk ist und daß das Flexodruckwerk unter anderem einen eine Hochdruckform tragenden Formzylinder, eine gerasterte Auftragwalze und eine Kammerrakel mit Förderpumpe und Saugpumpe für die Fluidzirkulation aufweist.



Seite 2, Europäisches Patentamt, München, 05.05.98

Zu K 2:

Der Auslegerzylinder 42 zeigt zwei Ausbildungen auf. In einer ersten Ausbildung ist der Auslegerzylinder 42 als Bogenführungszylinder ausgeführt und trägt ein "Superblue"-Tuch (z.B. Fig. 3, Pos. 122). In einer zweiten Ausbildung ist der Auslegerzylinder 42 wahlweise ein Formzylinder, welcher ein Gummituch zum Beschichten trägt. Damit wird bei Bedarf im Flachdruckverfahren der Lackauftrag auf einen Bedruckstoff durchgeführt. Dieses Arbeitsprinzip ist jedoch nicht Gegenstand des Streitpatentes. Weiterhin verkennt die Einsprechende, daß K2 eine Offsetdruckmaschine mit lediglich einer wahlweise einsetzbaren Lackvorrichtung offenbart. Das Streitpatent geht dagegen von einer Offsetdruckmaschine mit wenigstens zwei Lackiereinheiten aus.

Zu K 3:

Daß es bekannt ist, in einem Flexodruckwerk Lack zu verarbeiten, ist von der Patentinhaberin in der Beschreibungseinleitung bereits gewürdigt worden. Insofern bringt K3 keinen neuen Sachverhalt in das Verfahren ein.

Die Ausführungen in der Zusammenfassung führen nicht zum Gegenstand des Streitpatentes. Die Einsprechende kann auch durch Kombination der angesprochenen Merkmale aus K1 und K2 nicht aufzeigen, daß die Gesamtheit des Streitpatentes nahegelegen hat Hinsichtlich der erfinderischen Tätigkeit zeigen die eingebrachten Dokumente keinen Anhaltspunkt auf, warum ein Fachmann - ohne erfinderisches Zutun - zum Gegenstand des Streitpatentes gelangen sollte. Insofern stellt sich auch die Frage der Begründetheit Der Einspruch ist zurückzuweisen.

MAN Roland Druckmaschinen AG

Stahl, AV 20809

Richter, AV 36563

übersandt 1.8, 05, 98

00003

Telefon (0931) 909-0 Te efax (0931) 9094101 Te ex 68848 kbwzb d

Datum Date

Unsere Zeichen

Our reference

1998-07-14

1P3.0620115/326 98.1292/S1/sa

43 26

Tel. - Durchwahl Ext (0931) 909-

ihr Schreiben vom

18.05.1998/

Your letter of

94103832.5-2304

ihre Zeichen Your reference

Fax direkt: 0931/909-4789

Europäisches Patent Nr. 0 620 115 B1 / 2304 290 Europäische Anmeldenummer 94 103 832.5 /

"Einrichtung zum Inline-Beschichten von Bedruckstoffen ..."

Patentinhaber: MAN Roland Druckmaschinen AG

Einsprechende: Koenig & Bauer-Albert AG

Auf den Bescheid vom 18.05.1998

1. Antrage

Einschreiben

Erhardtstraße 27

80298 München

Europäisches Patentamt

Alle bisher gestellten Anträge werden aufrecht erhalten.

Begründung

Die Argumente der Patentinhaberin können nicht überzeugen.

Insbesondere entnimmt ein Fachmann dem Dokument K1 - wie bereits im Einspruchsschriftsatz dargelegt -, daß mit einer Hochdruckform unter Einsatz einer Rasterwalze im Verbund mit einem Kammerrakelsystem géambeitet werden kann.

& Bauer-Albert AG Koenig

Ruckmann

Allg. Vollm. Nr. 6678

i.V.

Vollm. Nr. 36992

marsandt 27.07,98 57

Siz der Gesellschaft Wurzburg A. sgericht Wurzourg Handelsregister B 109

Postgirokonto Postal Account Numberg BLZ 760 100 85 Konto-Nr 422 350'

Landeszentraibank Current Account Wurzburg BLZ 790 000 00

7

MAN Roland Druckmaschinen AG



Produktbereich Bogenmaschinen Offenbach/Main

Europäisches Patentamt

D-80298 München

thre Zeichen, thre Nachricht vom

Unsere Zeichen, unsere Nachricht vom

Durchwahl

Datum

27.071998

MR00644/FRic/HWo

2872

21.08.1998

Betr.: EP-Anm. 94103832 .5-2304 / EP 0620115 B1,

"Einrichtung zum Inline-Beschichten von Bedruckstoffen in

Offsetdruckmaschinen"

Auf den Schriftsatz der Einsprechenden vom 14. Juli 1998, hier eingegangen am 28.07.1998

Die Einsprechende bringt keinen neuen Sachverhalt in das Verfahren ein. Insbesondere das zitierte Dokument K1 gibt lediglich den Hinweis, daß mit einer Rasterwalze im Verbund mit einem Kammerrakelsystem, wie es im Flexodruck bereits vielfach Anwendung findet, weitere Verfahrensoptimierungen möglich erscheinen. Hierbei verschweigt die Einsprechende - offenbar um die Erfindung zu banalisieren - den Nachsatz daß dieser Verbund bisher im Flexodruck Anwendung findet.

Wie eine Druckmaschine mit Druckwerken für den Mehrfarbenoffsetdruck und mit wenigstens zwei Lackiereinheiten dann mit einem Flexodruckwerk ausgebildet sein soll,



Seite 2, Europäisches Patentamt, München, 21.08.98

wird einem Fachmann nicht näher dargelegt. Hier war erfinderisches Zutun erforderlich, um die Problemstellung zu lösen.

Die Patentinhaberin hält ihre Anträge aufrecht. Es wird nunmehr um eine Entscheidung gebeten. Hilfsweise wird eine <u>mündliche Verhandlung</u> beantragt.

MAN Roland Druckmaschinen AG

рра.

i.A

Stahl. AV 20809

Richter, AV 36563

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

September 27, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 15"
Preliminary Opinion of the Opposition Division

(Christian König)

EPO D-80298 Munchen Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

I.

European Patent Office

Directorate General 2

COPY

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Oct. 2nd 98

Date

Initial

Ref. JMa/GScho	Application No./Patent No. 94103832.5-2304/
Applicant/Proprietor	
MAN Roland Druckmaschinen AG	

Communication pursuant to article 101(2) and rule 58(1) to (4) EPC

[X]	ments: the patent specification						
[]	Description:						
	column(s):		the patent specification				
	page(s):	received on	by lette				
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	No.:	received on		by letter of			
[]	Drawings:						
	Sheet:	of the patent specification					
	Sheet:	received on		by letter of			
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Inclu	ding the following mod	ifications of the above	-mentione	ed documents			
a) pursuant to motion of		pate	patent claims no.:				
Description column(s)/page(s)		e(s) drav	drawing(s) sheet:				
b) by the Opposition Division Description column(s)/page(s)		pate	patent claims No.:				
			drawing(s) sheet:				
STERED MAIL			copy EX coded:	Sept 29 th 98 MM /initial/			

EPO form 2323.2 06.95

[X]	II. The (further) examination of the opposition/oppositions has led to the findings, which are
	shown in the enclosure. [X] The patent proprietor
	[X] The opponent
	is asked to submit a statement of opinion within a
	period of 4 months
•	from delivery of this communication.
	[X] / Three crossed-out paragraphs omitted (The Translator) /
	Should the deficiencies that are listed in the enclosed grounds not be remedied by the patent proprietor, or not be remedied completely, then the revocation of the European patent should be expected, unless the patent proprietor can demonstrate that deficiencies have been wrongfully claimed.
	If modifications of the description, the patent claims, or the drawings are made in the form of fair copies, then these must be submitted in triplicate on separate sheets within the specified time period (Rule 36(1) EPC)
	[] It is left to the discretion of the (other) parties concerned, to submit an opinion within the aforesaid time period.
[] III.	Notification pursuant to rule 58(4) EPC.
	Hereby you are informed that the Opposition Division intends to maintain the European patent in the version revealed in the aforesaid documents:
	Should you not agree with the version in which the European patent will be maintained, then you are asked to comment within a period of two month after delivery of this notice (Rule 68 (4) EPC).
· IV.	Enclosed with the statement of opinion must be 1 copy for the other parties concerned. If copies are not enclosed in the required number, then missing copies will be made, which will be charged to the party concerned (Rule 36(4) EPC).
	If documents are introduced that have not yet been mentioned in the proceedings then these must be submitted in duplicate. We refer to Rule 59 EPC.
	Authorized member /signature/ Tel.: (089) 2399 - 8734 of the Opposition Division /stamp: E. Greiner/ Official in charge /signature/ /stamp: J Mellado y Mellado/
	Enclosures: Communication (annex) (form 2906) (3 pages) [] modified documents [] Statement of opinion of the patent proprietor of
	[] Statement of opinion of the opponent of (OPPO 01) of (OPPO 02) of (OPPO 03)
	File copy

Communication/Minutes (Annex)

Date: October 2nd 1998 Page 1 Application No.: 94 103 832.5

In an interlocutory statement of opinion, the Opposition Division takes the following position:

- 1. State of the art
- 1.1 At present, the following documents are part of the opposition proceedings:

K1 = copy from the magazine "Offsetpraxis", 3/1993, pages 12-15

K2 = US-A-5 176 077

K3 = copy from the reference book: "Flexodruck von A bis Z", cover page, masthead, page 160

- 1.2 Document K1:
- 1.2.1 Up to now, the opponent has not documented at which point in time during the year 1993 the document K1 was published. But this question is of considerable significance for the contested patent at hand, which claims a priority date of April 16th 1993 (DE 9305552).
- 1.2.2 In addition, the special design of the two coating modules of the printing machine "Fünffarben Roland 700" that is described in document K1, can not be discerned unambiguously in the submitted copy.

But this is of considerable significance in the present opposition proceedings, since the contested claim 1 explicitly claims coating modules of a special design.

1.2.3 Should the opponent not be able to establish that the technical data on coating modules in offset printing machines, which is disclosed in document K1, has in fact been published prior to the priority date (April 16 1993) of the contested patent, pursuant to the provisions of article 54(2) EPC, then the document K1 will **not** be taken into consideration in the present opposition proceedings.

Communication/Minutes (Annex)

Date: October 2nd 1998 Page 2

Application No.: 94 103 832.5

1.3 Documents K2 and K3:

The documents K2 and K3 comply with the provisions of article 54(2) EPC.

- 2. Novelty / Inventive steps
- 2.1 In the assessment of novelty and inventive steps of the contested claim 1, the Opposition Division starts out from the following different cases:
- 2.2 Case A:
- 2.2.1 Should the opponent be able to establish that the document K1 is part of the state of art pursuant to article 54(2) EPC, then the Opposition Division expects to side with the arguments of the opponent in his letters of January 20 1998 and July 14 1998. It is true that in this connection the claim 1 should be considered novel in the sense of article 54 EPC, but there exists a lack of inventive steps in the sense of article 56 EPC when compared with a combination of the documents K1 and K2 (see letter of January 20 1998, items 3.2.1 and 3.3)
- 2.2.2 In this case, the contested patent must be revoked pursuant to article 102(1) EPC.
- 2.3 Case B:
- 2.3.1 Should the document K1 not be part of the state of the art, pursuant to article 54(2) EPC, then the Opposition Division can not perceive any lack of novelty or inventive steps of the independent claim 1.

2.3.2 In this case, the opposition would be rejected pursuant to article 102(2) EPC.

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA

TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

September 27, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 15"
Preliminary Opinion of the Opposition Division

Ch. Koy

(Christian König)

D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465 European Patent Office

Directorate General 2

COPY

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Oct. 2nd 98

Ref. JMa/GScho	Application No./Patent No. 94103832.5-2304/	
Applicant/Proprietor MAN Roland Druckmaschinen AG		

Communication pursuant to article 101(2) and rule 58(1) to (4) EPC

The further examination of the opposition/oppositions is based on the following I. documents: the patent specification [X]

Description: column(s):

of the patent specification

page(s):

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Patent claims: []

No.:

of the patent specification

No.: No.: received on received on by letter of by letter of

Drawings: Sheet:

of the patent specification by letter of

Sheet: Sheet:

received on received on

by letter of

Including the following modifications of the above-mentioned documents

a) pursuant to motion of

Description column(s)/page(s)

patent claims no.: drawing(s) sheet:

b) by the Opposition Division Description column(s)/page(s) patent claims No.: drawing(s) sheet:

REGISTERED MAIL

File copy

OREX coded: Sept 29th 98 MM /initial/

Date

Initial

EPO form 2323.2 06.95

[X]	II. The (further) examination of the opposition in the enclosure.	sition/op	ppositions has led to the findings, which	are
	[X] The patent proprietor [X] The opponent			
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	[X] / Three crossed-out paragraphs omitted (Th	e Transla	ator)/	
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· ,	Authorized member /signature/ of the Opposition Division /stamp: E. Grein	er/	Tel.: (089) 2399 - 8734 Official in charge /signature/ /stamp: J Mellado y Mellado/	
	Enclosures: Communication (annex) (form [] modified documents			
	[] Statement of opinion of the patent propri			
-	[] Statement of opinion of the opponent	of	(OPPO 01)	
		of of	(OPPO 02) (OPPO 03)	
		File co		
		-	-	

Date: October 2nd 1998

Page 1

Application No.: 94 103 832.5

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1.2.3 Should the opponent not be able to establish that the technical data on coating modules in offset printing machines, which is disclosed in document K1, has in fact been published prior to the priority date (April 16 1993) of the contested patent, pursuant to the provisions of article 54(2) EPC, then the document K1 will <u>not</u> be taken into consideration in the present opposition proceedings.

EPO form 2906 01 91CSX

Date: October 2nd 1998 Page 2 Application No.: 94 103 832.5

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- 2. Novelty / Inventive steps
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- 2.3 Case B:
- 2.3.1 Should the document K1 not be part of the state of the art, pursuant to article 54(2) EPC, then the Opposition Division can not perceive any lack of novelty or inventive steps of the independent claim 1.

Date: October 2nd 1998

Page 3

Application No.: 94 103 832.5

2.3.2 In this case, the opposition would be rejected pursuant to article 102(2) EPC.

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

September 27, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 15"
Preliminary Opinion of the Opposition Division

(Christian König)

EPO D-80298 Munchen Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465 European Patent Office

Directorate General 2

COPY

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Oct. 2nd 98

Ref. JMa/GScho	Application No./Patent No. 94103832.5-2304/
Applicant/Proprietor	
MAN Roland Druckmaschinen AG	

Communication pursuant to article 101(2) and rule 58(1) to (4) EPC

I. The further examination of the opposition/oppositions is based on the following documents:

[X] the patent specification

[] Description:

column(s):

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page(s):

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[] Patent claims:

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[] Drawings:

Sheet:

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patent claims no.:

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patent claims No.: drawing(s) sheet:

Description column(s)/page(s)

REGISTERED MAIL

File copy

OREX coded: Sept 29th 98 MM /initial/

Date

. Initial

EPO form 2323.2 06.95

[X]	II. The (further) examination of the opposition/oppositions has led to the findings, which are
	shown in the enclosure.

[X] The patent proprietor

[X] The opponent

is asked to submit a statement of opinion within a

period of 4 months

from delivery of this communication.

/ Three crossed-out paragraphs omitted (The Translator) /

Should the deficiencies that are listed in the enclosed grounds not be remedied by the patent proprietor, or not be remedied completely, then the revocation of the European patent should be expected, unless the patent proprietor can demonstrate that deficiencies have been wrongfully claimed.

If modifications of the description, the patent claims, or the drawings are made in the form of fair copies, then these must be submitted in triplicate on separate sheets within the specified time period (Rule 36(1) EPC)

It is left to the discretion of the (other) parties concerned, to submit an opinion within the aforesaid time period.

[] III. Notification pursuant to rule 58(4) EPC.

Hereby you are informed that the Opposition Division intends to maintain the European patent in the version revealed in the aforesaid documents:

Should you not agree with the version in which the European patent will be maintained, then you are asked to comment within a period of two month after delivery of this notice (Rule 68 (4) EPC).

Enclosed with the statement of opinion must be 1 copy for the other parties concerned. If copies IV. are not enclosed in the required number, then missing copies will be made, which will be charged to the party concerned (Rule 36(4) EPC).

If documents are introduced that have not yet been mentioned in the proceedings then these must be submitted in duplicate. We refer to Rule 59 EPC.

/signature/ Authorized member

Tel.: (089) 2399 - 8734

of the Opposition Division /stamp: E. Greiner/ Official in charge

/stamp: J Mellado y Mellado/

/signature/

Enclosures: Communication (annex) (form 2906) (3 pages)

[] modified documents

[] Statement of opinion of the patent proprietor of ...

[] Statement of opinion of the opponent

(OPPO 01) of ... (OPPO 02)

of ...

of ... (OPPO 03)

File copy

Page 1

Application No.: 94 103 832.5

In an interlocutory statement of opinion, the Opposition Division takes the following position:

1. State of the art

Date: October 2nd 1998

1.1 At present, the following documents are part of the opposition proceedings:

K1 = copy from the magazine "Offsetpraxis", 3/1993, pages 12-15

K2 = US-A-5 176 077

K3 = copy from the reference book: "Flexodruck von A bis Z", cover page, masthead, page 160

- 1.2 Document K1:
- 1.2.1 Up to now, the opponent has not documented at which point in time during the year 1993 the document K1 was published. But this question is of considerable significance for the contested patent at hand, which claims a priority date of April 16th 1993 (DE 9305552).
- 1.2.2 In addition, the special design of the two coating modules of the printing machine "Fünffarben Roland 700" that is described in document K1, can not be discerned unambiguously in the submitted copy.

But this is of considerable significance in the present opposition proceedings, since the contested claim 1 explicitly claims coating modules of a special design.

1.2.3 Should the opponent not be able to establish that the technical data on coating modules in offset printing machines, which is disclosed in document K1, has in fact been published prior to the priority date (April 16 1993) of the contested patent, pursuant to the provisions of article 54(2) EPC, then the document K1 will <u>not</u> be taken into consideration in the present opposition proceedings.

Page 2

Application No.: 94 103 832.5

1.3 Documents K2 and K3:

Date: October 2nd 1998

The documents K2 and K3 comply with the provisions of article 54(2) EPC.

- 2. Novelty / Inventive steps
- In the assessment of novelty and inventive steps of the contested claim 1, the Opposition Division starts out from the following different cases:
- 2.2 Case A:
- 2.2.1 Should the opponent be able to establish that the document K1 is part of the state of art pursuant to article 54(2) EPC, then the Opposition Division expects to side with the arguments of the opponent in his letters of January 20 1998 and July 14 1998. It is true that in this connection the claim 1 should be considered novel in the sense of article 54 EPC, but there exists a lack of inventive steps in the sense of article 56 EPC when compared with a combination of the documents K1 and K2 (see letter of January 20 1998, items 3.2.1 and 3.3)
- 2.2.2 In this case, the contested patent must be revoked pursuant to article 102(1) EPC.
- 2.3 Case B:
- 2.3.1 Should the document K1 not be part of the state of the art, pursuant to article 54(2) EPC, then the Opposition Division can not perceive any lack of novelty or inventive steps of the independent claim 1.

The state of the s

Communication/Minutes (Annex)

Page 3

Application No.: 94 103 832.5

2.3.2 In this case, the opposition would be rejected pursuant to article 102(2) EPC.

Date: October 2nd 1998

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

September 27, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 15"
Preliminary Opinion of the Opposition Division

(Christian König)

EPO D-80298 Munchen Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465 European Patent Office

Directorate General 2

COPY

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Oct. 2nd 98

Ref. JMa/GScho	Application No./Patent No. 94103832.5-2304/	
Applicant/Proprietor MAN Roland Druckmaschinen AG		

Communication pursuant to article 101(2) and rule 58(1) to (4) EPC

[X]	the patent specific	ation	•
[]	Description: column(s):	of the patent specif	fication
	page(s):	received on	by letter of
	page(s):	received on	by letter of
	page(s):	received on	by letter of
[]	Patent claims:		
	No.:	of the pate	nt specification
	No.:	received or	n by letter of
	No.:	received or	n by letter o
[]	Drawings:		•
	Sheet:	of the pate	nt specification
	Sheet:	received or	
	Sheet:	received or	n by letter o

a) pursuant to motion of patent claims no.:

Description column(s)/page(s) drawing(s) sheet:

b) by the Opposition Division patent claims No.:
Description column(s)/page(s) drawing(s) sheet:

REGISTERED MAIL File copy

OREX coded: Sept 29th 98 MM /initial/ Date Initial

EPO form 2323.2 06.95

	•					
[X]	II. The (further) examination of the opposition/oppositions has led to the findings, which are shown in the enclosure.					
	[X] The patent proprietor					
	[X] The opponent is asked to submit a statement of opinion within a					
	period of 4 months					
•	from delivery of this communication.					
,	[X] / Three crossed-out paragraphs omitted (The	e Transla	ator)/			
	Should the deficiencies that are listed in the enclosed grounds not be remedied by the patent proprietor, or not be remedied completely, then the revocation of the European patent should be expected, unless the patent proprietor can demonstrate that deficiencies have been wrongfully claimed.					
	If modifications of the description, the pate fair copies, then these must be submitted in period (Rule 36(1) EPC)	tent clais triplicat	ms, or the drawings are made in the form of e on separate sheets within the specified time			
,	[] It is left to the discretion of the (of aforesaid time period.	her) part	ies concerned, to submit an opinion within the			
[] III.	III. Notification pursuant to rule 58(4) EPC.					
	Hereby you are informed that the Opposition Division intends to maintain the European patent in the version revealed in the aforesaid documents:					
	Should you not agree with the version in which the European patent will be maintained, then you are asked to comment within a period of two month after delivery of this notice (Rule 68 (4) EPC					
IV.	Enclosed with the statement of opinion must be 1 copy for the other parties concerned. If copies are not enclosed in the required number, then missing copies will be made, which will be charged to the party concerned (Rule 36(4) EPC).					
	If documents are introduced that have not yet been mentioned in the proceedings then these must be submitted in duplicate. We refer to Rule 59 EPC.					
,	Authorized member /signature/ of the Opposition Division /stamp: E. Grein		Tel.: (089) 2399 - 8734 Official in charge /signature/ /stamp: J Mellado y Mellado/			
	Enclosures: Communication (annex) (form [] modified documents					
	[] Statement of opinion of the patent propri	etor of.	(ODDO 01)			
	[] Statement of opinion of the opponent	of	(OPPO 01)			
		of of	(OPPO 02) (OPPO 03)			
		oi File co	•			
		THE CO	PJ '			

In an interlocutory statement of opinion, the Opposition Division takes the following position:

1. State of the art

1.1 At present, the following documents are part of the opposition proceedings:

K1 = copy from the magazine "Offsetpraxis", 3/1993, pages 12-15

K2 = US-A-5 176 077

K3 = copy from the reference book: "Flexodruck von A bis Z", cover page, masthead, page 160

1.2 Document K1:

- 1.2.1 Up to now, the opponent has not documented at which point in time during the year 1993 the document K1 was published. But this question is of considerable significance for the contested patent at hand, which claims a priority date of April 16th 1993 (DE 9305552).
- 1.2.2 In addition, the special design of the two coating modules of the printing machine "Fünffarben Roland 700" that is described in document K1, can not be discerned unambiguously in the submitted copy.

But this is of considerable significance in the present opposition proceedings, since the contested claim 1 explicitly claims coating modules of a special design.

1.2.3 Should the opponent not be able to establish that the technical data on coating modules in offset printing machines, which is disclosed in document K1, has in fact been published prior to the priority date (April 16 1993) of the contested patent, pursuant to the provisions of article 54(2) EPC, then the document K1 will <u>not</u> be taken into consideration in the present opposition proceedings.

1.3 Documents K2 and K3:

The documents K2 and K3 comply with the provisions of article 54(2) EPC.

- 2. Novelty / Inventive steps
- 2.1 In the assessment of novelty and inventive steps of the contested claim 1, the Opposition Division starts out from the following different cases:
- 2.2 Case A:
- 2.2.1 Should the opponent be able to establish that the document K1 is part of the state of art pursuant to article 54(2) EPC, then the Opposition Division expects to side with the arguments of the opponent in his letters of January 20 1998 and July 14 1998. It is true that in this connection the claim 1 should be considered novel in the sense of article 54 EPC, but there exists a lack of inventive steps in the sense of article 56 EPC when compared with a combination of the documents K1 and K2 (see letter of January 20 1998, items 3.2.1 and 3.3)
- 2.2.2 In this case, the contested patent must be revoked pursuant to article 102(1) EPC.
- 2.3 Case B:
- 2.3.1 Should the document K1 not be part of the state of the art, pursuant to article 54(2) EPC, then the Opposition Division can not perceive any lack of novelty or inventive steps of the independent claim 1.

Communication/Minutes (Annex)
Page 3 Applicat

Application No.: 94 103 832.5

2.3.2 In this case, the opposition would be rejected pursuant to article 102(2) EPC.

Date: October 2nd 1998

EPA / EPO / OEB D - 80298 München 089 / 2399 - 0 Tx 523 656 epmu d Fax 089 / 2399 - 4465

Europäisches Patentamt European Patent Office Office européen des brevets

Generaldirektion 2

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Directorate General 2

Direction générale 2

Marek, Joachim, Dipl.-Ing. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S, Postfach 10 12 64 63012 Offenbach ALLEMAGNE

	Datum/Date 0 2, 10, 98	
Zeichen/Ref /Réf JMa/GScho	Anmeldung Nr /Application No /Demande n* //Patent Nr /Patent No /Brev 94103832.5-2304/	retn⁴
Anmelder/Applicant/Demandeur//Patentinhal MAN Roland Druckmas	er/Proprietor/Titulaire :hinen AG	

Bescheid gemäss Artikel 101(2) und Regel 58(1) bis (4) EPÜ

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I.	Der	weiteren Prüfung des Einspruchs / e	der Einsprüche werden fo	olgende Unterlagen zugrunde gelegt
	X	die Patentschrift		
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		b) durch die Einspruchsabteilung .		Patentansprüche Nr.:
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Einschreiben

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	nach Zustellung dieses Bescheides, eine Stellungnahme einzureichen.
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×	Big in den beigehefteten Gründen naher bezeichneten Mängel können durch die Änderungen beheben werden, aus den beigefügten Kopien der geltenden Unterlagen ersichtlich sind. Es wird gebeten, innerhalb der genannte Frist das Einverständnis zu den vorgeschlagenen Änderungen zu erklären oder eigene Änderungen einzureichen und diese erforderlichenfalls zu begründen.
	Im Falle des Einverständnisses wird um Rückgabe der Kopien gebeten. Änderungen, die der Patentinhaber in de Kopien gegebenenfalls noch vornehmen möchte, sind deutlich von den Änderungen des Prüfers abgesetzt und auch nach Vervielfältigung erkennbar anzogeben.
	Wo dies nicht möglich ist, sollten die vom Patentinhaber vorgeschlagenen Änderungen in der am besten geeign ten Art vergenommen werden (vgl. Mitteilung über Einreichung von Änderungen zu europäischen Patentanmeld gen, veröffentlicht im Amtsblatt 8/1978, Seiten 300 und 400).
	Werden die in den beigehefteten Gründen angeführten Mängel vom Patentinhaber nicht oder nicht vollständig beseitigt, so muß mit dem Widerruf des europäischen Patents gerechnet werden, sofern er nicht darlegen kann, den Mängel zu Unrecht gerügt worden sind
	Werden Änderungen der Beschreibung, der Patentansprüche und der Zeichnungen in Form von Reinschrif vorgenommen, so sind diese innerhalb der gesetzten Frist in drei Stücken auf gesonderten Blättern einzureiche (Regel 36(1) EPÜ).
	Den (übrigen) Beteiligten wird anheimgestellt, innerhalb der genannten Frist Stellung zu nehmen.
] III.	Mitteilung gemäss Regel 58(4) EPÜ
_	Hiermit wird Ihnen mitgeteilt, daß die Einspruchsabteilung beabsichtigt, das europäische Patent in der sich aus genannten Unterlagen ergebenden Fassung aufrechtzuerhalten:
	Sie werden gebeten, innerhalb einer Frist von zwei Monaten nach Zustellung dieser Mitteilung Stellung zu nehmen, wenn Sie mit der Fassung, in der das europaische Patent aufrechterhalten werden soll, nicht einverstande sind (Regel 58 (4) EPÜ).
IV.	Der Stellungnahme sind "Abschriften für die übrigen Beteiligten beizufügen. Werden die Abschriften nicht in derforderlichen Anzahl beigefügt, so werden die fehlenden Stücke auf Kosten des Beteiligten angefertigt (Regel 36(4) EPÜ).
	Werden im Verfahren noch nicht genannte Unterlagen eingeführt, so sind diese zweifach einzureichen. Auf Reg 59 EPÜ wird hingewiesen.
Ве	rauftragtes Mitgliea Tel.Nr (089) 2399 - 873 /
fùi	r die Einspruchszeiteilung E. Greiner Formalsachbearbeiter
	J Mellado v Mellado Musica e
Ar	nlagen: Bescheid (Anlage) (Form 2906) (
	geänderte Unterlagen (Seite/n)
F	Stellungnahme des Patentinhabers vom
<u> </u>	Stellungnahme des/der Einsprechenden vom (OPPO 01)
- 1	
L	vom (OPPO 02)

Aktenexemplar

Date

Feuille

Application No.: Demande n':

94 103 832.5

In einer vorläufigen Stellungnahme nimmt die Einspuchsabteilung folgende Position ein:

- Stand der Technik:
- Folgende Schriften befinden sich derzeit im Einspruchsverfahren:

K1 = Kopie aus der Zeitschrift: "Offsetpraxis", 3/1993, Seiten 12 - 15

K2 = US-A-5 176 077

K3 = Kopie aus dem Fachbuch: "Flexodruck von A bis Z", Deckblatt, Impressum, Seite 160

- Dokument K1:
- 1.2.1

Die Einsprechende hat bisher nicht nachgewiesen, wann im Laufe des Jahres 1993 das Dokument K1 veröffentlicht wurde. Diese Frage ist jedoch von erheblicher Bedeutung für das vorliegende Streitpatent, welches eine Priorität vom 16.04.1993 (DE 9305552) beansprucht.

1.2.2

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Darüberhinaus ist der spezielle Aufbau der beiden Lackmodule der im Dokument K1 beschriebenen Druckmaschine "Fünffarben Roland 700" aus der vorgelegten Kopie nicht zweifelsfrei erkennbar.

Dies ist für das vorliegende Einspruchsverfahren jedoch von erheblicher Bedeutung, da der bestrittene Anspruch 1 explizit spezielle Bauarten von Lackmodulen beansprucht.

1.2.3

Sollte die Einsprechende nicht nachweisen können, daß die im Dokument K1 beschriebenen technischen Informationen über Lackmodule in Offsetdruckmaschinen tatsächlich vor dem Prioritätstag (16.04.1993) des Streitpatents gemäß den Bestimmungen des Artikels 54(2) EPÜ veröffentlicht 9

Datum Date ·

0 2. 10. 98

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2

wurden, so wird das Dokument K1 für das vorliegende Einspruchsverfahren <u>nicht</u> berücksichtigt.

1.3 Dokumente K2 und K3:

Die Dokumente K2 und K3 erfüllen die Bestimmungen des Artikels 54(2) EPÜ.

- 2. Neuheit / erfinderische Tätigkeit:
- 2.1 Für die Beurteilung der Neuheit und der erfinderischen Tätigkeit des bestrittenen Anspruchs 1 geht die Einspruchsabteilung von folgenden, unterschiedlichen Fällen aus:
- 2.2 Fall A:
- 2.2.1

Sollte die Einsprechende nachweisen könne, daß das Dokument K1 zum Stand der Technik gemäß Artikel 54(2) EPÜ gehört, so schließt sich die Einspruchsabteilung vorraussichtlich der Argumentation der Einsprechenden aus den Schreiben vom 20.01.1998 und 14.07.1998 an. Der Anspruch 1 ist dabei zwar als neu im Sinne des Artikels 54 EPÜ anzusehen, jedoch liegt ein Mangel an erfinderischer Tätigkeit im Sinne des Artikels 56 EPÜ gegenüber einer Kombination der Dokumente K1 und K2 vor (siehe Schreiben vom 20.01.1998 unter den Punkten 3.2.1 und 3.3).

2.2.2

In diesem Falle wäre das Streitpatent gemäß Artikel 102(1) EPŪ zu widerrufen.

- 2.3 Fall B:
- 2.3.1

Sollte das Dokument K1 nicht zum Stand der Technik gemäß Artikel 54(2) EPÜ gehören, so kann die Einspruchsabteilung keinen Mangel an Neuheit und an erfinderischer Tätigkeit des unabhängigen Anspruchs 1 erkennen.



Bescheld/Protokoil (/

Communication/Minutes (Anne

Notification/Procès-verbal (Annexe)

Datum Date Date

0 2. 10. 98

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Anmelde-Nr.: Application No.: Demands n':

94 103 832.5

2.3.2

In diesem Falle wäre der Einspruch gemäß Artikel 102(2) EPÜ zurückzuweisen.

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CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10^{TH} AVE, VANCOUVER BC, V5N 1X6 CANADA

TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

October 2, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 16"
MAN and KBA Response to Preliminary Opinion

Ch. Kory

(Christian König)

European Patent Office Erhardstrasse 27

D-80298 München

Your reference, your message of

Our references, our message of MR00644/FRic/HWo

Extension

Date

Oct. 2nd 98

2872 Dec. 14, 1998

RE: European Patent application no. 94103832.5 – 2304 0620115 "Device for inline-coating of materials to be printed in offset printing machines"

In reply to the communication pursuant to article 101(2) and rule 58(1) to (4) EPC of Oct 2,1998, received here on Oct 6, 1998.

With regard to 1

Document K1 discloses a Fünffarben-Roland 700 with two coating units (referred to as coating modules). Herein, two coating units are located downstream, with respect to the sheet running direction, of five printing units for multi-color printing. Each of the coating units comprises a support cylinder, a form cylinder, an applicator roller, and a metering roller, wherein the applicator roller and the metering roller form a roll nip, into which lacquer can be fed from above. This type of metering system (applicator roller and metering roller with a roll nip) is also known as a twin-roller unit.

Chairman of the board Rudolf Rupprecht, Ph.D. Eng. Board of directors. Gerd Finkbeiner, Martin Lange, Rainer Opferkuch, Ph.D. Eng., Anton Weinmann District Court Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main

Commerzbank AG, Offenbach/M Dresdner Bank AG Offenbach/M Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110

MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main PO box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

Page 2, European Patent Office, Munich, December 14, 1998

With regard to 2

Case A:

The filed subject matter is nonetheless based on inventive steps.

From K1 one knows of two coating units, each containing a form cylinder and a twinroller unit as metering system. Combining one of these coating units with the two solutions according to K2 does not result in the filed subject matter. This is so because the delivery cylinder 42 in the first embodiment carries a Superblue blanket, and thus is used exclusively for sheet delivery. Consequently, a form cylinder is not present, and likewise, no coating application onto the sheet does take place.

In the second embodiment, the delivery cylinder, as a form cylinder, carries a rubber blanket for coating. A rubber blanket constitutes a planographic printing form, but this operating principle is not the subject matter of the contested patent.

In addition, a combination of K1 and K2 does not document the layout within the offset printing machine according to the contested patent.

As alternative we request oral proceedings. The applicant is of the opinion that at this point a decision can be rendered.

MAN Roland Druckmaschinen AG

Per pro

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/signature/

/signature/

Stahl

Richter

General power of

General power of

attorney 20809

attorney 36563

/Stamp:/ Forwarded:

Dec 29, 98 /initial/
Date Initial

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

KBA

REGISTERED MAIL

/stamp: Mailed on Feb. 11 1999/

European Patent Office Erhardtstr. 27

80298 Munich

Koenig & Bauer AG
P O box 60 60
D-97010 Würzburg
Friedrich-Koenig-Str 4
D-87080 Würzburg
Tel. (0931) 9 09-0
Fax (0931) 9 09-41 01
Email: kba-wuerzburg@bba-print de
Internet: www.kba-print.de

Date: Oct. 2, 1999
Our reference: 1P3 0620115
Tel. (0931) 909- 43 26
Fax (0931) 909- 47 89
Your letter of Dec 29, 1998
Your reference 94103832.5

Our reference: 1P3.0620115/ W-KL/ 1999.174/S1/sa

European Patent no. EP 06 20 115 B1
European Application No. 94 103 832.5-2304
Patent proprietor: MAN Roland Druckmaschinen AG
Opponents: Koenig & Bauer Aktiengesellschaft
formerly: Koenig & Bauer-Albert AG

IN REPLY TO THE COMMUNICATIONS OF SEPT 17, 1998 AND DEC. 29, 1998

1. Motions

- 1.1 All previously filed motions are being maintained.
- 1.2 We request to admit, in relation to the document K1: "Offsetpraxis 3/1993", the cover page and page 5 with masthead, as well as an enlargement of the illustration of the schematic machine diagram.

Chairman of the board:
Peter Rempel
Board of directors
Reinhart Slewert, chairperson
Albrecht Bolza-Schunemann, Cert Eng
Claus Bolza-Schunemann, Cert Eng
Dieter Jensen, Cert Eng
Andreas Mössner, Cert Betriebswirt
Burkhard Roos,
Walter Schumacher, Cert Eng

Registered office:
Würzburg
Registrar of companies Würzburg
Commercial Registration B 109
Postal Account:
Nürnberg
Routing number 780 100 85
Account no. 422-860
Landeszentralbank Würzburg
Routing number 790 000 00
Account no. 79 008 600

2. With regard to document K1: "Offsetpraxis 3/1993" Page 5, right column, masthead, paragraph 3 reads: "Published: monthly, in the first half"

This clearly shows that the document K1 had been published at the latest in the second half of March 1993.

As evidence thereof, we enclose a letter from the German Patent and Trademark Office, Publications Division, of Feb. 9, 1998. It clearly shows that the magazine "Offsetpraxis 3/1993 was at hand at the German Patent and Trademark Office on March 24, 1993.

Thus, the document K1 was published more than three weeks prior to the priority date of April 16, 1993.

It can be seen clearly in the enlargement of the illustration of the schematic machine diagram, that the final coating unit contains an applicator roller and a metering roller to form a metering slot.

3. With regard to inventive steps
As already set forth in the opposition case statement, the only difference between
the subject matter of K1 and the subject matter of claim 1 of the contested patent
is that K1 does not explicitly show a feed pump for liquid feed and a suction
pump for liquid removal.

Our references: 1P3.0620115/ W-KL/ 1999.174/S1/sa

Based on K1, a person skilled in the art is presented with the practical task to supply and removed liquids to and from a doctor blade chamber. This task is independent of the printing method.

Therefore, the solution of this task is suggested to a person skilled in the art by K2.

Incidentally, it is part of the general professional knowledge of an average person skilled in the art to equip rubber blankets with a carriage, e.g. for so-called "spot-coatings", thus using the blankets as relief printing forms.

Best regards,

Koenig & Bauer Aktiengesellschaft

/signature/ /signature/ per pro Ruckmann pp. Stiel

General power of attorney No. 6678 General power of attorney No. 36992

Enclosures:

To K1: Cover page and page 5, as well as enlarged copy of schematic machine diagram Letter from the German Patent and Trademark Office of Feb. 9, 1999

/Stamp:/

Forwarded: Feb. 24, 1999 /initial/

Date Initial

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

D-80298 München

Your reference, your message of

Our references, our message of

Extension

Date

MR00644/ RI/RW

2872

Mar. 2, 1999

RE: European Patent application No. 94103832.5 – 2304, EP 0 620 115 B1, "Device for inline-coating of materials to be printed in offset printing machines"

Regarding the statement of the opponents Koenig & Bauer AG of Feb. 10, 1999

Among other things, the opponent introduces an enlarged copy of the illustration of the schematic machine diagram. The patent proprietor at no point denied that herein the final coating unit possesses an applicator roller and a metering roller to form a roll nip. This fact has already been set forth in the written statements of Aug. 21, 98 and Dec. 14, 98.

The arguments relating to inventive steps do not introduce any new facts. The patent proprietor already explained the differences between the contested patent and the document K1 in his written statement of May 5, 98.

In this regard, the opponent has not picked up the arguments of the patent proprietor. Rather, he still insists that the subject matter of K1 differs from the claim 1 of the contested patent only by a feed pump for liquid feed and a suction pump for liquid removal.

Chairman of the board:
Rudolf Rupprecht, Ph.D.
Eng
Board of directors:
Gerd Finkbeiner, Martin
Lange, Rainer
Opferkuch, Ph.D. Eng.,
Anton Weinmann
District Court.
Offenbach Register of
Companies No. 5137
Registered Office:
Offenbach/Main

Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P.O box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440 The patent proprietor does not agree with this, since the subject matter of claim 1 refers to special designs of coating modules. But this can not be demonstrated using K1.

According to K2, the delivery cylinder 42 serves two functions (see K2, column 6, lines 64 to 68). Hereby the delivery cylinder 42 is functionally linked with an impression cylinder 36, which in turn is functionally linked with the last printing unit for multi-color printing. In contrast, claim 1 of the contested patent assumes that each of the coating units contains one impression cylinder, one form cylinder, and one applicator roller (lines 4 to 7). In this respect, a combination of the document K1 and K2 does not lead to claim 1 of the contested patent.

Based on K1, the opponent claims that the presented task is to feed and remove a liquid to/from a doctor blade chamber system.

No explanations are provided on how this task is posed by K1, and on how or why the solution is suggested to a person skilled in the art by K2.

The patent proprietor maintains his motions.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

Stahl

Richter

General power of

General power of attorney 20809

attorney 36563

European Patent Office DG2 - Opposition

Application No.: 94 103 832.5

(1)

Patent No.:

EP-B-0620115

Preparation for oral proceedings - Instructions to support services

Oral proceedings will be conducted in the matter of the aforesaid patent

Encoding of (2) 1.

] D10, D12, D13

[X] D20, D22, D23

encoded (1) (2) (3) Mar. 11, 99 /initial/ Date Initial

- The subject matters to be discussed are listed in the enclosure (form 2906) 2.
- 3. Mailing of summons using form 2008/2310.
- [] to the parties concerned for the stipulated date: 3.1

Day Time

[X] After reaching an agreement on a date with the parties concerned for one of 3.2 the following dates:

Aug. 3, 1999 Time 10:00 am Day or Day Dec. 14, 1999 Time 10:00 am

Room 2452 reserved Room 0121

ROSY reserved

ROSY Booked room 2452 Coded ORAL 1, 2, 3

Mar. 17, 99 NH /initial/ Date Initial

Apr. 22, 99 MM /initial/ Initial Date

- In the event that no agreement can be reached for one of these dates, or no room 3.3 be available, the Opposition Division is to be informed using form 2088.
- Written statements from the parties concerned for the preparation of the oral 3.4 proceedings can be submitted up to

1 Month(s)

prior to the proceedings.

(carry over to form 2008.1/2310.1)

European Patent Office DG2 – Opposition

3.5	Encoding of ORAL (4) (4)			
,			Enc. Apr. 22, 99	oded (1) (4 <i>MM /initial</i>
	* * *		Date	Initia
3.6	Dispatching of form 2008.7 / 2310 stipulated date:	.7 to inform the Oppos		
			Apr. 22, 99	
			Date	Initia
4.	[] Allocation of the following sp	pecial equipment for the	e court room.:	
	•		Date	Initia
5.	If required, requesting simultaneous ervice:	is interpreting services	•	
•	, 50, 100,	·	Date	Initia
			•	
6.	Re-submitting the file to the examithe oral proceedings):	ner in charge using for	m 2041 (15 d	ays prior to
,			Date	Initia
7.	Monitoring of serving of summons (Receipt certificate – white / return			
8.	Mailing of form 2041 to the official proceedings and dispatching of the	-, -		
Mar. 1 Date	10,99 (3)			
/signatu		/signature/ GREINER E F	•	
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Chairm	.,	. Dismitted	the lav	
			•	

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA

TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

October 2, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 16"
MAN and KBA Response to Preliminary Opinion

Ch. Kory

(Christian König)

European Patent Office Erhardstrasse 27

D-80298 München

Your reference, your message of Oct. 2nd 98

Our references, our message of MR00644/ FRic/HWo

Extension 2872

Date Dec. 14, 1998

RE: European Patent application no. 94103832.5 – 2304 0620115 "Device for inline-coating of materials to be printed in offset printing machines"

In reply to the communication pursuant to article 101(2) and rule 58(1) to (4) EPC of Oct 2,1998, received here on Oct 6, 1998.

With regard to 1

Document K1 discloses a Fünffarben-Roland 700 with two coating units (referred to as coating modules). Herein, two coating units are located downstream, with respect to the sheet running direction, of five printing units for multi-color printing. Each of the coating units comprises a support cylinder, a form cylinder, an applicator roller, and a metering roller, wherein the applicator roller and the metering roller form a roll nip, into which lacquer can be fed from above. This type of metering system (applicator roller and metering roller with a roll nip) is also known as a twin-roller unit.

Chairman of the board: Rudolf Rupprecht, Ph.D. Eng. Board of directors: Gerd Finkbeiner, Martin Lange, Ranner Opferkuch, Ph.D. Eng., Anton Weinmann District Court: Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main

Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P.O. box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440 Page 2, European Patent Office, Munich, December 14, 1998

With regard to 2

Case A:

The filed subject matter is nonetheless based on inventive steps. From K1 one knows of two coating units, each containing a form cylinder and a twinroller unit as metering system. Combining one of these coating units with the two solutions according to K2 does not result in the filed subject matter. This is so because the delivery cylinder 42 in the first embodiment carries a Superblue blanket, and thus is used exclusively for sheet delivery. Consequently, a form cylinder is not present, and likewise, no coating application onto the sheet does take place.

In the second embodiment, the delivery cylinder, as a form cylinder, carries a rubber blanket for coating. A rubber blanket constitutes a planographic printing form, but this operating principle is not the subject matter of the contested patent.

In addition, a combination of K1 and K2 does not document the layout within the offset printing machine according to the contested patent.

As alternative we request oral proceedings. The applicant is of the opinion that at this point a decision can be rendered.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

Stahl

Richter

General power of

General power of

attorney 20809

attorney 36563

/Stamp:/

Forwarded: <u>Dec 29, 98</u>

Date Initial

REGISTERED MAIL

/stamp: Mailed on Feb. 11 1999/

European Patent Office Erhardtstr. 27

80298 Munich

Koenig & Bauer AG
P.O. box 60 60
D-97010 Würzburg
Friedrich-Koenig-Str. 4
D-87080 Würzburg
Tel. (0931) 9 09-0
Fax (0931) 9 09-41 01
Email: kba-wuerzburg@bba-print de
Internet: www.kba-print de

Date: Oct. 2, 1999
Our reference: 1P3.0620115
Tel. (0931) 909- 43 26
Fax (0931) 909- 47 89
Your letter of Dec. 29, 1998
Your reference 94103832.5

Our reference: 1P3.0620115/ W-KL/ 1999.174/S1/sa

European Patent no. EP 06 20 115 B1
European Application No. 94 103 832.5-2304
Patent proprietor: MAN Roland Druckmaschinen AG
Opponents: Koenig & Bauer Aktiengesellschaft
formerly: Koenig & Bauer-Albert AG

IN REPLY TO THE COMMUNICATIONS OF SEPT 17, 1998 AND DEC. 29, 1998

1. Motions

- 1.1 All previously filed motions are being maintained.
- 1.2 We request to admit, in relation to the document K1: "Offsetpraxis 3/1993", the cover page and page 5 with masthead, as well as an enlargement of the illustration of the schematic machine diagram.

Chairman of the board:
Peter Rempel
Board of directors
Reinhart Slewert, chairperson
Albrecht Bolza-Schünemann,Cert Eng
Claus Bolza-Schünemann, Cert Eng
Dieter Jensen, Cert. Eng.
Andreas Mössner, Cert Betriebswirt
Burkhard Roos,
Walter Schumacher, Cert. Eng

Registered office:
Würzburg
Registrar of companies Würzburg
Commercial Registration B 109
Postal Account:
Nürnberg
Routing number 780 100 85
Account no. 422-860
Landeszentralbank Wurzburg
Routing number 790 000 00
Account no. 79 008 600

2. With regard to document K1: "Offsetpraxis 3/1993" Page 5, right column, masthead, paragraph 3 reads: "Published: monthly, in the first half"

This clearly shows that the document K1 had been published at the latest in the second half of March 1993.

As evidence thereof, we enclose a letter from the German Patent and Trademark Office, Publications Division, of Feb. 9, 1998. It clearly shows that the magazine "Offsetpraxis 3/1993 was at hand at the German Patent and Trademark Office on March 24, 1993.

Thus, the document K1 was published more than three weeks prior to the priority date of April 16, 1993.

It can be seen clearly in the enlargement of the illustration of the schematic machine diagram, that the final coating unit contains an applicator roller and a metering roller to form a metering slot.

3. With regard to inventive steps

As already set forth in the opposition case statement, the only difference between the subject matter of K1 and the subject matter of claim 1 of the contested patent is that K1 does not explicitly show a feed pump for liquid feed and a suction pump for liquid removal.

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

-3-

Our references: 1P3.0620115/ W-KL/ 1999.174/S1/sa

Based on K1, a person skilled in the art is presented with the practical task to supply and removed liquids to and from a doctor blade chamber. This task is independent of the printing method.

Therefore, the solution of this task is suggested to a person skilled in the art by K2.

Incidentally, it is part of the general professional knowledge of an average person skilled in the art to equip rubber blankets with a carriage, e.g. for so-called "spot-coatings", thus using the blankets as relief printing forms.

Best regards,

Koenig & Bauer Aktiengesellschaft

/signature/ /signature/ per pro Ruckmann pp. Stiel

General power of attorney No. 6678 attorney No. 36992

Enclosures:

To K1: Cover page and page 5, as well as enlarged copy of schematic machine diagram Letter from the German Patent and Trademark Office of Feb. 9, 1999

/Stamp:/

Forwarded: Feb. 24, 1999 /initial/
Date Initial

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

D-80298 München

Your reference, your message of

Our references, our message of

Extension

Date

MR00644/ RI/RW

2872

Mar. 2, 1999

RE: European Patent application No. 94103832.5 – 2304, EP 0 620 115 B1, "Device for inline-coating of materials to be printed in offset printing machines"

Regarding the statement of the opponents Koenig & Bauer AG of Feb. 10, 1999

Among other things, the opponent introduces an enlarged copy of the illustration of the schematic machine diagram. The patent proprietor at no point denied that herein the final coating unit possesses an applicator roller and a metering roller to form a roll nip. This fact has already been set forth in the written statements of Aug. 21, 98 and Dec. 14, 98.

The arguments relating to inventive steps do not introduce any new facts. The patent proprietor already explained the differences between the contested patent and the document K1 in his written statement of May 5, 98. In this regard, the opponent has not picked up the arguments of the patent proprietor. Rather, he still insists that the subject matter of K1 differs from the claim 1 of the contested patent only by a feed pump for liquid feed and a suction pump for liquid

Chairman of the board:
Rudolf Rupprecht, Ph.D.
Eng.
Board of directors:
Gerd Finkbeiner, Martin
Lange, Rainer
Opferkuch, Ph.D. Eng.,
Anton Weinmann
District Court:
Offenbach Register of
Companies No 5137
Registered Office:
Offenbach/Main

removal.

Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P O box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

European Patent Office, Munich - 2 -

The patent proprietor does not agree with this, since the subject matter of claim 1 refers to special designs of coating modules. But this can not be demonstrated using K1.

According to K2, the delivery cylinder 42 serves two functions (see K2, column 6, lines 64 to 68). Hereby the delivery cylinder 42 is functionally linked with an impression cylinder 36, which in turn is functionally linked with the last printing unit for multi-color printing. In contrast, claim 1 of the contested patent assumes that each of the coating units contains one impression cylinder, one form cylinder, and one applicator roller (lines 4 to 7). In this respect, a combination of the document K1 and K2 does not lead to claim 1 of the contested patent.

Based on K1, the opponent claims that the presented task is to feed and remove a liquid to/from a doctor blade chamber system.

No explanations are provided on how this task is posed by K1, and on how or why the solution is suggested to a person skilled in the art by K2.

The patent proprietor maintains his motions.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

Stahl

Richter

General power of

attorney 20809

General power of attorney 36563

European Patent Office DG2 - Opposition

Application No.: 94 103 832.5

EP-B-0620115 Patent No.:

Preparation for oral proceedings - Instructions to support services

Oral proceedings will be conducted in the matter of the aforesaid patent

Encoding of (2) 1.

[] D10, D12, D13 [X] D20, D22, D23

encoded (1) (2) (3) Mar. 11, 99 /initial/

Date

Initial

- The subject matters to be discussed are listed in the enclosure (form 2906)
- 3. Mailing of summons using form 2008/2310.
- [] to the parties concerned for the stipulated date : 3.1 *

Time Day

[X] After reaching an agreement on a date with the parties concerned for one of 3.2 the following dates:

Aug. 3, 1999 Time 10:00 am Day Dec. 14, 1999 Time 10:00 am or Day

ROSY reserved Room 2452 Room 0121

Booked room 2452 Coded ORAL 1, 2, 3

ROSY

reserved

Date Initial

99 MM /initial/ Initial Date

- In the event that no agreement can be reached for one of these dates, or no room 3.3 be available, the Opposition Division is to be informed using form 2088.
- Written statements from the parties concerned for the preparation of the oral 3.4 proceedings can be submitted up to

1 Month(s)

prior to the proceedings.

(carry over to form 2008.1/2310.1)

European Patent Office DG2 – Opposition

3.5	Encoding of	ORAL (4) (4)					
			12	Enc	oded (1) (4)		
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		•		Date	Initial		
3.6	Disnatching	of form 2008.7 / 2310.	7 to inform the Opposit	tion Division	n of the		
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`	supulated de			Apr. 22, 99	MM /initial/		
	•	•		Date	Initial		
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4.	[] Anocat	ion of the following sp	solar equipment for me		•		
			•				
				Date	Initial		
5.]	If required	requesting simultaneou	s interpreting services f				
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;	service.		•	Date	Initial		
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6.	Re-submitting the file to the examiner in charge using form 2041 (15 days prior to						
	the oral proc		1101 III 011011 B - 01111 B - 0111				
,	the oral proc	,ceamgs).		Date	Initial		
7.	Monitoring of serving of summons						
,,	(Receipt certificate – white / return receipt – red)						
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8.	Mailing of f	orm 2041 to the officia	ls concerned 15 days pr	rior to the or	al		
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•	AEHLEN	AXTERS M E	GREINER E F				
I A C		2. Examiner	1. Examiner		ber versed in		
Chairmai	n			the la	. w		

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

October 2, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 16"

MAN and KBA Response to Preliminary Opinion

(Christian König)

European Patent Office Erhardstrasse 27

D-80298 München

Your reference, your message of Oct. 2nd 98

Our references, our message of MR00644/ FRic/HWo

Extension 2872

Date Dec. 14, 1998

RE: European Patent application no. 94103832.5 – 2304 0620115 "Device for inline-coating of materials to be printed in offset printing machines"

In reply to the communication pursuant to article 101(2) and rule 58(1) to (4) EPC of Oct 2,1998, received here on Oct 6, 1998.

With regard to 1

Document K1 discloses a Fünffarben-Roland 700 with two coating units (referred to as coating modules). Herein, two coating units are located downstream, with respect to the sheet running direction, of five printing units for multi-color printing. Each of the coating units comprises a support cylinder, a form cylinder, an applicator roller, and a metering roller, wherein the applicator roller and the metering roller form a roll nip, into which lacquer can be fed from above. This type of metering system (applicator roller and metering roller with a roll nip) is also known as a twin-roller unit.

Chairman of the board: Rudolf Rupprecht, Ph.D Eng. Board of directors: Gerd Finkbeiner, Martin Lange, Rainer Opferkuch, Ph.D. Eng., Anton Weinmann District Court: Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 088 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075-Offenbach/Main P O box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440 Page 2, European Patent Office, Munich, December 14, 1998

With regard to 2

Case A:

The filed subject matter is nonetheless based on inventive steps.

From K1 one knows of two coating units, each containing a form cylinder and a twinroller unit as metering system. Combining one of these coating units with the two
solutions according to K2 does not result in the filed subject matter. This is so because
the delivery cylinder 42 in the first embodiment carries a Superblue blanket, and thus is
used exclusively for sheet delivery. Consequently, a form cylinder is not present, and
likewise, no coating application onto the sheet does take place.

In the second embodiment, the delivery cylinder, as a form cylinder, carries a rubber blanket for coating. A rubber blanket constitutes a planographic printing form, but this operating principle is not the subject matter of the contested patent.

In addition, a combination of K1 and K2 does not document the layout within the offset printing machine according to the contested patent.

As alternative we request oral proceedings. The applicant is of the opinion that at this point a decision can be rendered.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

Stahl

Richter

General power of

General power of

attorney 20809

attorney 36563

/Stamp:/

Forwarded: <u>Dec</u>

Date Initial

REGISTERED MAIL

/stamp: Mailed on Feb. 11 1999/

European Patent Office Erhardtstr. 27

80298 Munich

Koenig & Bauer AG
P.O. box 60 60
D-97010 Würzburg
Friedrich-Koenig-Str. 4
D-87080 Würzburg
Tel. (0931) 9 09-0
Fax (0931) 9 09-41 01
Email: kba-wuerzburg@bba-print.de
Internet: www.kba-print.de

Date: Oct. 2, 1999
Our reference: 1P3.0620115
Tel (0931) 909- 43 26
Fax (0931) 909- 47 89
Your letter of Dec. 29, 1998
Your reference 94103832.5

Our reference: 1P3.0620115/ W-KL/ 1999.174/S1/sa

European Patent no. EP 06 20 115 B1 European Application No. 94 103 832.5-2304

Patent proprietor: MAN Roland Druckmaschinen AG Opponents: Koenig & Bauer Aktiengesellschaft formerly: Koenig & Bauer-Albert AG

IN REPLY TO THE COMMUNICATIONS OF SEPT 17, 1998 AND DEC. 29, 1998

1. Motions

- 1.1 All previously filed motions are being maintained.
- 1.2 We request to admit, in relation to the document K1: "Offsetpraxis 3/1993", the cover page and page 5 with masthead, as well as an enlargement of the illustration of the schematic machine diagram.

Chairman of the board:
Peter Rempel
Board of directors
Reinhart Slewert, chairperson
Albrecht Bolza-Schünemann,Cert Eng
Claus Bolza-Schünemann, Cert Eng
Dieter Jensen, Cert Eng
Andreas Mössner, Cert Betriebswirt
Burkhard Roos,
Walter Schümacher, Cert Eng

Registered office:
Würzburg
Registrar of companies Würzburg
Commercial Registration B 109
Postal Account:
Nürnberg
Routing number 780 100 85
Account no. 422-860
Landeszentralbank Würzburg
Routing number 790 000 00
Account no. 79 008 600

Our references: 1P3.0620115/ W-KL/ 1999.174/S1/sa

2. With regard to document K1: "Offsetpraxis 3/1993" Page 5, right column, masthead, paragraph 3 reads: "Published: monthly, in the first half"

> This clearly shows that the document K1 had been published at the latest in the second half of March 1993.

As evidence thereof, we enclose a letter from the German Patent and Trademark Office, Publications Division, of Feb. 9, 1998. It clearly shows that the magazine "Offsetpraxis 3/1993 was at hand at the German Patent and Trademark Office on March 24, 1993.

Thus, the document K1 was published more than three weeks prior to the priority date of April 16, 1993.

It can be seen clearly in the enlargement of the illustration of the schematic machine diagram, that the final coating unit contains an applicator roller and a metering roller to form a metering slot.

With regard to inventive steps , 3. As already set forth in the opposition case statement, the only difference between

the subject matter of K1 and the subject matter of claim 1 of the contested patent is that K1 does not explicitly show a feed pump for liquid feed and a suction pump for liquid removal.

Our references: 1P3.0620115/ W-KL/ 1999.174/S1/sa

Based on K1, a person skilled in the art is presented with the practical task to supply and removed liquids to and from a doctor blade chamber. This task is independent of the printing method.

Therefore, the solution of this task is suggested to a person skilled in the art by K2.

Incidentally, it is part of the general professional knowledge of an average person skilled in the art to equip rubber blankets with a carriage, e.g. for so-called "spot-coatings", thus using the blankets as relief printing forms.

Best regards,

Koenig & Bauer Aktiengesellschaft

/signature/

/signature/

per pro Ruckmann

pp. Stiel

General power of

General power of

attorney No. 6678

attorney No. 36992

Enclosures:

To K1: Cover page and page 5, as well as enlarged copy of schematic machine diagram Letter from the German Patent and Trademark Office of Feb. 9, 1999

/Stamp:/

Forwarded:

Feb. 24, 1999 /initial/

Date

Initial

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

D-80298 München.

Your reference, your message of

Our references, our message of

Extension

Date

MR00644/ RI/RW .

2872

Mar. 2, 1999

RE: European Patent application No. 94103832.5 - 2304, EP 0 620 115 B1, "Device for inline-coating of materials to be printed in offset printing machines"

Regarding the statement of the opponents Koenig & Bauer AG of Feb. 10, 1999

Among other things, the opponent introduces an enlarged copy of the illustration of the schematic machine diagram. The patent proprietor at no point denied that herein the final coating unit possesses an applicator roller and a metering roller to form a roll nip. This fact has already been set forth in the written statements of Aug. 21, 98 and Dec. 14, 98.

The arguments relating to inventive steps do not introduce any new facts. The patent proprietor already explained the differences between the contested patent and the document K1 in his written statement of May 5, 98. In this regard, the opponent has not picked up the arguments of the patent proprietor. Rather, he still insists that the subject matter of K1 differs from the claim 1 of the contested patent only by a feed pump for liquid feed and a suction pump for liquid removal.

Chairman of the board: Rudolf Rupprecht, Ph.D. Eng. Board of directors: Gerd Finkbeiner, Martin Lange, Rainer Opferkuch, Ph.D. Eng., Anton Weinmann District Court: Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main

Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P.O box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

The patent proprietor does not agree with this, since the subject matter of claim 1 refers to special designs of coating modules. But this can not be demonstrated using K1.

According to K2, the delivery cylinder 42 serves two functions (see K2, column 6, lines 64 to 68). Hereby the delivery cylinder 42 is functionally linked with an impression cylinder 36, which in turn is functionally linked with the last printing unit for multi-color printing. In contrast, claim 1 of the contested patent assumes that each of the coating units contains one impression cylinder, one form cylinder, and one applicator roller (lines 4 to 7). In this respect, a combination of the document K1 and K2 does not lead to claim 1 of the contested patent.

Based on K1, the opponent claims that the presented task is to feed and remove a liquid to/from a doctor blade chamber system.

No explanations are provided on how this task is posed by K1, and on how or why the solution is suggested to a person skilled in the art by K2.

The patent proprietor maintains his motions.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

Stahl

Richter

General power of

General power of

attorney 20809

attorney 36563

European Patent Office DG2 - Opposition

Application No.: 94 103 832.5

(1)

Patent No.: EP-B-0620115

Preparation for oral proceedings – Instructions to support services

Oral proceedings will be conducted in the matter of the aforesaid patent

1. Encoding of (2) [] D10, D12, D13

[X] D20, D22, D23

encoded (1) (2) (3) Mar. 11, 99 /initial/ Date Initial

- 2. The subject matters to be discussed are listed in the enclosure (form 2906)
- 3. Mailing of summons using form 2008/2310.
- 3.1 [] to the parties concerned for the stipulated date:

Day Time

3.2 [X] After reaching an agreement on a date with the parties concerned for one of the following dates:

Day Aug. 3, 1999 Time 10:00 am or Day Dec. 14, 1999 Time 10:00 am Room 2452 Room 0121

ROSY reserved reserved

ROSY Booked room 2452 Coded ORAL 1, 2, 3

Mar. 17, 99 NH /initial/ Initial Date

Apr. 22, 99 MM /initial/ Initial Date

- In the event that no agreement can be reached for one of these dates, or no room 3.3 be available, the Opposition Division is to be informed using form 2088.
- 3.4 Written statements from the parties concerned for the preparation of the oral proceedings can be submitted up to

1 Month(s)

prior to the proceedings. (carry over to form 2008.1/2310:1)

European Patent Office DG2 - Opposition

3.5	Encoding of	ORAL (4) (4)				
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	service:			•		
	**	1	-	Date	Initial	
6.		•	ner in charge using form	n 2041 (15 da	ys prior to	
	the oral proc	eedings):				
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7.	_	of serving of summons				
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8.			is concerned 15 days pri			
	proceedings	and dispatching of the	file to the first examine	r, ii not aireac	ly done.	
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	<u>10, 99</u>	(3)			•	
Date						
/signat	ure/	/signature/	/signature/			
	MAEHLEN	AXTERS M E	GREINER E F			
IAC		2. Examiner	1. Examiner		r versed in	
Chairm	nan			the law		

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

October 2, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 16"
MAN and KBA Response to Preliminary Opinion

(Christian König)

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office Erhardstrasse 27

D-80298 München

Your reference, your message of Oct. 2nd 98

Our references, our message of MR00644/ FRic/HWo

Extension 2872

Date Dec. 14, 1998

RE: European Patent application no. 94103832.5 – 2304 0620115 "Device for inline-coating of materials to be printed in offset printing machines"

In reply to the communication pursuant to article 101(2) and rule 58(1) to (4) EPC of Oct 2,1998, received here on Oct 6, 1998.

With regard to 1

Document K1 discloses a Fünffarben-Roland 700 with two coating units (referred to as coating modules). Herein, two coating units are located downstream, with respect to the sheet running direction, of five printing units for multi-color printing. Each of the coating units comprises a support cylinder, a form cylinder, an applicator roller, and a metering roller, wherein the applicator roller and the metering roller form a roll nip, into which lacquer can be fed from above. This type of metering system (applicator roller and metering roller with a roll nip) is also known as a twin-roller unit.

Chairman of the board: Rudolf Rupprecht, Ph.D. Eng. Board of directors: Gerd Finkbeiner, Martin Lange, Rainer Opferkuch, Ph.D. Eng., Anton Weinmann District Court: Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P O box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440 Page 2, European Patent Office, Munich, December 14, 1998

With regard to 2

Case A:

The filed subject matter is nonetheless based on inventive steps. From K1 one knows of two coating units, each containing a form cylinder and a twinroller unit as metering system. Combining one of these coating units with the two solutions according to K2 does not result in the filed subject matter. This is so because the delivery cylinder 42 in the first embodiment carries a Superblue blanket, and thus is used exclusively for sheet delivery. Consequently, a form cylinder is not present, and likewise, no coating application onto the sheet does take place.

In the second embodiment, the delivery cylinder, as a form cylinder, carries a rubber blanket for coating. A rubber blanket constitutes a planographic printing form, but this operating principle is not the subject matter of the contested patent.

In addition, a combination of K1 and K2 does not document the layout within the offset printing machine according to the contested patent.

As alternative we request oral proceedings. The applicant is of the opinion that at this point a decision can be rendered.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

Stahl

Richter

General power of

General power of

attorney 20809

attorney 36563

/Stamp:/

Forwarded: <u>Dec 29, 98 /initial/</u>

Date Initial

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

KBA

REGISTERED MAIL

/stamp: Mailed on Feb. 11 1999/

European Patent Office Erhardtstr. 27

80298 Munich

Koenig & Bauer AG
P.O. box 60 60
D-97010 Würzburg
Friedrich-Koenig-Str. 4
D-87080 Würzburg
Tel. (0931) 9 09-0
Fax (0931) 9 09-41 01
Email: kba-wuerzburg@bba-print.de
Internet: www.kba-print.de

Date: Oct. 2, 1999 Our reference: 1P3.0620115 Tel. (0931) 909- 43 26 Fax (0931) 909- 47 89 Your letter of Dec. 29, 1998 Your reference: 94103832.5

Our reference: 1P3.0620115/ W-KL/ 1999.174/S1/sa

European Patent no. EP 06 20 115 B1
European Application No. 94 103 832.5-2304
Patent proprietor: MAN Roland Druckmaschinen AG
Opponents: Koenig & Bauer Aktiengesellschaft
formerly: Koenig & Bauer-Albert AG

IN REPLY TO THE COMMUNICATIONS OF SEPT 17, 1998 AND DEC. 29, 1998

1. Motions

- 1.1 All previously filed motions are being maintained.
- 1.2 We request to admit, in relation to the document K1: "Offsetpraxis 3/1993", the cover page and page 5 with masthead, as well as an enlargement of the illustration of the schematic machine diagram.

Chairman of the board:
Peter Rempel
Board of directors:
Reinhart Slewert, chairperson
Albrecht Bolza-Schünemann,Cert Eng
Claus Bolza-Schünemann, Cert Eng.
Dieter Jensen, Cert. Eng
Andreas Mössner, Cert Betnebswirt
Burkhard Roos,
Walter Schumacher, Cert Eng.

Registered office:
Würzburg
Registrar of companies Würzburg
Commercial Registration B 109
Postal Account:
Nürnberg
Routing number 780 100 85
Account no. 422-860
Landeszentralbank Würzburg
Routing number 790 000 00
Account no. 79 008 600

Our references: 1P3.0620115/ W-KL/ 1999.174/S1/sa

With regard to document K1: "Offsetpraxis 3/1993" 2. Page 5, right column, masthead, paragraph 3 reads: "Published: monthly, in the first half"

> This clearly shows that the document K1 had been published at the latest in the second half of March 1993.

As evidence thereof, we enclose a letter from the German Patent and Trademark Office, Publications Division, of Feb. 9, 1998. It clearly shows that the magazine "Offsetpraxis 3/1993 was at hand at the German Patent and Trademark Office on March 24, 1993.

Thus, the document K1 was published more than three weeks prior to the priority date of April 16, 1993.

It can be seen clearly in the enlargement of the illustration of the schematic machine diagram, that the final coating unit contains an applicator roller and a metering roller to form a metering slot.

With regard to inventive steps 3.

As already set forth in the opposition case statement, the only difference between the subject matter of K1 and the subject matter of claim 1 of the contested patent is that K1 does not explicitly show a feed pump for liquid feed and a suction pump for liquid removal.

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

-3-

Our references: 1P3.0620115/ W-KL/ 1999.174/S1/sa

Based on K1, a person skilled in the art is presented with the practical task to supply and removed liquids to and from a doctor blade chamber. This task is independent of the printing method.

Therefore, the solution of this task is suggested to a person skilled in the art by K2.

Incidentally, it is part of the general professional knowledge of an average person skilled in the art to equip rubber blankets with a carriage, e.g. for so-called "spot-coatings", thus using the blankets as relief printing forms.

Best regards,

Koenig & Bauer Aktiengesellschaft

/signature/ /signature/ per pro Ruckmann pp. Stiel

General power of attorney No. 6678 General power of attorney No. 36992

Enclosures:

To K1: Cover page and page 5, as well as enlarged copy of schematic machine diagram Letter from the German Patent and Trademark Office of Feb. 9, 1999

/Stamp:/

Forwarded: Feb. 24, 1999 /initial/ Date Initial

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

D-80298 München

Your reference, your message of

Our references, our message of MR00644/ RI/RW

Extension 2872

Date Mar. 2, 1999

RE: European Patent application No. 94103832.5 – 2304, EP 0 620 115 B1, "Device for inline-coating of materials to be printed in offset printing machines"

Regarding the statement of the opponents Koenig & Bauer AG of Feb. 10, 1999

Among other things, the opponent introduces an enlarged copy of the illustration of the schematic machine diagram. The patent proprietor at no point denied that herein the final coating unit possesses an applicator roller and a metering roller to form a roll nip. This fact has already been set forth in the written statements of Aug. 21, 98 and Dec. 14, 98.

The arguments relating to inventive steps do not introduce any new facts. The patent proprietor already explained the differences between the contested patent and the document K1 in his written statement of May 5, 98. In this regard, the opponent has not picked up the arguments of the patent proprietor. Rather, he still insists that the subject matter of K1 differs from the claim 1 of the contested patent only by a feed pump for liquid feed and a suction pump for liquid removal.

Chairman of the board: Rudolf Rupprecht, Ph.D. Eng. Board of directors: Gerd Finkbeiner, Martin Lange, Rainer Opferkuch, Ph.D. Eng., Anton Weinmann District Court: Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main Commerzbank AG, Offenbach/M Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P.O box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

- 2 - European Patent Office, Munich

The patent proprietor does not agree with this, since the subject matter of claim 1 refers to special designs of coating modules. But this can not be demonstrated using K1.

According to K2, the delivery cylinder 42 serves two functions (see K2, column 6, lines 64 to 68). Hereby the delivery cylinder 42 is functionally linked with an impression cylinder 36, which in turn is functionally linked with the last printing unit for multi-color printing. In contrast, claim 1 of the contested patent assumes that each of the coating units contains one impression cylinder, one form cylinder, and one applicator roller (lines 4 to 7). In this respect, a combination of the document K1 and K2 does not lead to claim 1 of the contested patent.

Based on K1, the opponent claims that the presented task is to feed and remove a liquid to/from a doctor blade chamber system.

No explanations are provided on how this task is posed by K1, and on how or why the solution is suggested to a person skilled in the art by K2.

The patent proprietor maintains his motions.

MAN Roland Druckmaschinen AG

Per pro pp.

/signature/ /signature/ Stahl Richter

General power of attorney 20809 General power of attorney 36563

European Patent Office DG2 – Opposition

Application No.: 94 103 832.5 (1)

Patent No.:

EP-B-0620115

Preparation for oral proceedings - Instructions to support services

Oral proceedings will be conducted in the matter of the aforesaid patent

1. Encoding of (2)

[] D10, D12, D13 [X] D20, D22, D23

> encoded (1) (2) (3) Mar. 11, 99 /initial/ Date Initial

- 2. The subject matters to be discussed are listed in the enclosure (form 2906)
- 3. Mailing of summons using form 2008/2310.
- 3.1 [] to the parties concerned for the **stipulated** date:

Day Time

3.2 [X] After reaching an agreement on a date with the parties concerned for one of the following dates:

Day Aug. 3, 1999 Time 10:00 am or Day Dec. 14, 1999 Time 10:00 am

ROSY
Room 2452 reserved
Room 0121 reserved

ROSY Booked room 2452 Coded ORAL 1, 2, 3

Mar. 17, 99 NH /initial/ Date Initial Apr. 22, 99 MM /initial/ Date Initial

- In the event that no agreement can be reached for one of these dates, or no room be available, the Opposition Division is to be informed using form 2088.
- 3.4 Written statements from the parties concerned for the preparation of the oral proceedings can be submitted up to

1 Month(s)

prior to the proceedings.

(carry over to form 2008.1/2310.1)

European Patent Office DG2 – Opposition

3.5	Encoding of ORAL (4) (4)						
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				_	, 99 MM /initial/		
				Date	Initial		
3.6	Dispatching of form 2008.7 / 2310.7 to inform the Opposition Division of the						
	stipulated date:						
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	•			Date	Initial		
4.	[] Allocation	llocation of the following special equipment for the court room.:					
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	(Itooopt comm	(Receipt continues winter receipt 1997)					
8.	Mailing of form 2041 to the officials concerned 15 days prior to the oral						
٠.	proceedings and dispatching of the file to the first examiner, if not already done.						
	Γ	, -					
Mar.	10, 99	(3)					
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	MAEHLEN	AXTERS M E 2. Examiner	1. Examiner		Member versed in		
I A C Chairman		2. Damino	1		the law		



MAN Roland Druckmaschinen AG



Produktbereich Bogenmaschinen Offenbach/Main

Europäisches Patentamt Erhardtstraße 27

80298 München

Ihre Zeichen, Ihre Nachricht vom

Unsere Zeichen, unsere Nachricht vom

Durchwahl

Datum

02.10.98

MR00644/FRic/HWo

2872

14. Dez. 1998

Betr.: Europäische Patentanmeldung Nr. 94103832.5/2304/0620115 "Einrichtung zum Inline-Beschichten von Bedruckstoffen

in Offsetdruckmaschinen"

Auf den Bescheid gemäß Artikel 101(2)und Regel 58(1) bis (4) EPÜ vom 02.10.1998, hier eingegangen am 06.10.1998

Zu 1

In Dokument K1 ist eine Fünffarben-Roland 700 mit zwei Lackwerken (Lackmodule genannt) offenbart. Dabei sind fünf Druckwerken für den Mehrfarbendruck in Bogenförderrichtung zwei Lackwerke nachgeordnet. Jedes der Lackwerke weist einen Gegendruckzylinder, einen Formzylinder, eine Auftragwalze und eine Dosierwalze auf, wobei Auftragwalze und Dosierwalze einen Walzenspalt bilden, in den jeweils von oben Lack zuführbar ist. Diese Art des Dosiersystems (Auftragwalze und Dosierwalze mit einem Walzenspalt) ist auch als Zweiwalzenwerk bekannt.

Seite 2, Europäisches Patentamt, München, 14 12.1998



Zu 2

Fall A:

Der Anmeldegegenstand basiert nach wie vor auf einer erfinderischen Tätigkeit. Aus K1 sind zwei Lackwerke mit jeweils einem Formzylinder und einem Zweiwalzenwerk als Dosiersystem bekannt. Kombiniert man eines der Lackwerke mit den beiden Lösungen gemäß K2, so führt dies nicht zum Anmeldegegenstand. Dies deshalb, weil der Auslegerzylinder 42 in erster Ausbildung ein Superblue-Tuch trägt und damit ausschließlich der Bogenführung dient. Es liegt somit kein Formzylinder vor, und ein Lackauftrag auf den Bogen erfolgt ebenso nicht.

In zweiter Ausbildung trägt der Auslegerzylinder 42 als Formzylinder ein Gummituch für den Lackauftrag. Ein Gummituch stellt eine Flachdruckform dar, wobei dieses Arbeitsprinzip nicht Gegenstand des Streitpatentes ist.

Desweiteren ist durch die Kombination von K1 und K2 auch nicht die Anordnung innerhalb der Offsetdruckmaschine gemäß Streitpatent belegt.

Es wird hilfsweise eine mündliche Verhandlung beantragt. Die Anmelderin ist der Auffassung, daß nunmehr eine Entscheidung getroffen werden kann.

MAN Roland Druckmaschinen AG

ppa.

Stahl, AV 20809

Richter, AV 36563

Obersandt 29. 12. 98 Zeichen

Koenig & Bauer Aktiengesellschaft Druckmaschinen, Würzburg



Einschreiben

Europäisches Patentamt Erhardtstr. 27

80298 München

Zur Post am mailed on

1 1. Feb. 1999

Koenig & Bauer AG Postfach 60 60 D-97010 Wurzburg Fnednch-Koenig-Str. 4 D-97080 Würzburg Telefon (09 31) 9 09-0 Fax (09 31) 9 09-4 101 E-Mail: kba-wuerzburg@kba-print.de Internet: http://www.kba-print.de

Datum 1999-02-10 Unsere Zeichen 1P3.0620115 Tel. (0931) 9 09- 43 26 Fax (0931) 9 09- 47 89 Ihr Schreiben vom 29.12.1998

Ihre Zeichen 94103832.5 4

Unsere Zeichen: 1P3.0620115/W-KL/1999.174/S1/sa

Europäisches Patent Nr. EP 06 20 115 B1 '
Europäische Anmeldung Nr. 94 103 832.5-2304 '
Patentinhaber: MAN Roland Druckmaschinen AG
Einsprechende: Koenig & Bauer Aktiengesellschaft
vormals Koenig & Bauer-Albert AG

AUF DIE BESCHEIDE VOM 17.09.1998, 02.10.1998 UND 29.12.1998

1. Anträge

- 1.1. Alle bisher gestellten Anträge werden aufrecht erhalten.
- 1.2. Es wird beantragt, zu dem Dokument K1: "Offsetpraxis 3/1993" das Deckblatt und Seite 5 mit Impressum sowie eine Vergrößerung der Abbildung des Maschinenschemas aufzunehmen.

Aufsichtsratsvorsitzender
Peter Reimpell
Vorstand:
Reinhart Siewert, Vorsitzender
Dipi.-Ing. Albrecht Bolza-Schunemann
Dipl.-Ing. Claus Bolza-Schunemann
Dipl.-Ing. Dieter Jensen
Dr.-Ing. Frank Junker
Drpl.-Betnebsw. Andreas Moßner (Stellv.)
Burkard Roos
Dipl.-Ing. Walter Schumacher

Sitz der Gesellschaft Wurzburg Amtsgencht Wurzburg Handelsregister B 109

Postbank Numberg BLZ 760 100 85 Konto-Nr. 422-850 Landeszentralbank Wurzburg BLZ 790 000 00 Konto-Nr 79 008 600 Koenig & Bauer Aktiengesellschaft Druckmaschinen, Würzburg



- 2 -

Unsere Zeichen: 1P3.0620115/W-KL/1999.174/S1/sa

2. Zum Dokument K1: "Offsetpraxis 3/1993" Seite 5, rechte Spalte, Impressum ist Absatz 3 zu entnehmen: "Erscheinungsweise: Monatlich, jeweils in der ersten Hälfte"

Daraus ist klar zu entnehmen, daß das Dokument K1 zumindest in der zweiten Märzhälfte 1993 veröffentlicht war.

Zum Beweis hierfür fügen wir als Anlage ein Schreiben des Deutschen Patent- und Markenamtes, Abt. Schriftenstelle, vom 09.02.1998 bei. Hieraus ist klar ersichtlich, daß die Zeitschrift "Offsetpraxis 3/1993" beim Deutschen Patent- und Markenamt am 24.03.1993 vorlag.

Das Dokument K1 ist also mehr als drei Wochen vor dem Prioritätsdatum 16.04.1993 veröffentlicht worden.

Aus der Vergrößerung der Abbildung des Maschinenschemas ist klar zu entnehmen, daß das letzte Lackierwerk eine Auftragwalze und eine Dosierwalze zur Bildung eines Dosierspaltes aufweist.

3. Zur erfinderischen Tätigkeit
Wie bereits im Einspruchsschriftsatz dargelegt,
unterscheidet sich der Gegenstand der K1 vom Gegenstand des
Anspruches 1 des angegriffenen Patentes lediglich dadurch,
daß in der K1 nicht explizit eine Förderpumpe zur
Flüssigkeitszufuhr und eine Saugpumpe zur
Flüssigkeitsrückfuhr gezeigt ist.

Koenig & Bauer Aktiengesellschaft Druckmaschinen, Würzburg



- 3 -

Unsere Zeichen: 1P3.0620115/W-KL/1999.174/S1/sa

Von der K1 ausgehend stellt sich also einem Fachmann die objektive Aufgabe, ein Kammerrakelsystem mit Flüssigkeit zu ver- und entsorgen. Diese Aufgabe ist unabhängig vom Druckverfahren.

Deshalb wird einem Fachmann die Lösung dieser Aufgabe durch die K2 nahegelegt.

Im übrigen gehört es zum allgemeinen Fachwissen eines Durchschnittsfachmannes, Gummitücher beispielsweise für sog. "Spotlackierungen" mit einer Zurichtung zu versehen und somit als Hochdruckform zu verwenden.

Mit freundlichen Grüßen

Koenig & Bauer Aktiengesellschaft

W.

Allg. Vollm. Nr. 6678

Alig. Vollm. Nr. 36992

Anlagen:

zu K1: Deckblatt und Seite 5 sowie Vergrößerung Maschinenschema Schreiben des DPMA vom 09.02.1999

übersandt ..

2 4, 62, 59

Datum

MAN Roland Druckmaschinen AG



Produktbereich Bogenmaschinen Offenbach/Main

Europäisches Patentamt

D-80298 München

Ihre Zeichen, Ihre Nachricht vom

Unsere Zeichen, unsere Nachricht vom

Durchwahl

Datum

MR00644 / RI/RW

2872

02.03.1999

Betr.: Europäische Patentanmeldung Nr. 94103832.5-2304, EP 0 620 115 B1, "Einrichtung zum Inline-Beschichten von Bedruckstoffen in Offsetdruckmaschinen"

Auf den Schriftsatz der Einsprechenden Koenig & Bauer AG vom 10.02.1999

Die Einsprechende bringt u. a. die Vergrößerung der Abbildung des Maschinenschemas ein. Daß das letzte Lackierwerk dabei eine Auftragwalze und eine Dosierwalze zur Bildung eines Walzenspaltes aufweist, hat die Patentinhaberin an keiner Stelle bestritten. Vielmehr wurde dieser Sachverhalt in den Schriftsätzen vom 21.08.98 und 14.12.98 bereits dargelegt.

Die Argumentation zur erfinderischen Tätigkeit bringt keinen neuen Sachverhalt ein. Bereits in ihrem Schriftsatz vom 05.05.98 hat die Patentinhaberin die Unterschiede des Streitpatentes zum Dokument K1 aufgezeigt.

Die Einsprechende hat hierzu die Argumentation der Patentinhaberin nicht aufgegriffen. Vielmehr beharrt sie weiterhin darauf, daß der Gegenstand von K1 sich vom Anspruch

1 des Streitpatentes nur noch durch eine Förderpumpe zur Flüssigkeitszufuhr und eine

Vorsitzender des Aufsichtsrates: Dr.-Ing. E.h. Rudolf Rupprecht Vorstand: Gerd Finkbeiner, Martin Lange, Dr.-Ing. Rainer Opferkuch, Anton Weinmann Amtsgericht: Offenbach HRB-Nr 5137 Commerzbank AG, Offenbach/M, BLZ 505 400 28, Kto.-Nr. 4 289 666 Dresdner Bank AG, Offenbach/M, BLZ 505 800 05, Kto -Nr. 5 082 821 Deutsche Bank AG, Offenbach/M, BLZ 505 700 18, Kto.-Nr. 0750 000 Landeszentralbank, Offenbach/M, BLZ 505 000 00, Kto.-Nr. 50 508 110 USt-Ident-Nr DE 811136557

MAN Roland Druckmaschinen Aktiengesellschaft Muhlheimer Straße 341 D-63075 Offeribach/Main Postfach 101264 D-63012 Offenbach/Main Telefon (069) 83 05 - 0



- 2 - Europäisches Patentamt, München

Saugpumpe zur Flüssigkeitsrückfuhr unterscheide.

Dem widerspricht die Patentinhaberin, da der Gegenstand von Anspruch 1 sich auf spezielle Bauarten von Lackmodulen bezieht. Dieses ist aber durch K1 nicht beweisbar.

Gemäß K2 erfüllt der Auslegerzylinder 42 eine Doppelfunktion (siehe K2, Spalte 6, Zeile 64 bis 68). Dabei ist der Auslegerzylinder 42 mit einem Druckzylinder 36 in Funktionsverbindung, der gleichzeitig noch mit dem letzten Druckwerk für den Mehrfarbendruck in Funktionsverbindung ist. Der Anspruch 1 des Streitpatentes geht dagegen davon aus, daß jede Lackiereinheit jeweils einen Druckzylinder, einen Formzylinder und eine Auftragwalze aufweist (Zeile 4 bis 7). Insofern führt die Kombination der Dokumente K1 und K2 nicht zum Anspruch 1 des Streitpatentes.

Ausgehend von K1 behauptet die Einsprechende, es stelle sich die Aufgabe ein Kammerrakelsystem mit einer Flüssigkeit zu ver- und entsorgen.
Warum sich diese Aufgabe gemäß K1 stellt und warum einem Fachmann die Lösung dieser Aufgabe durch die K2 nahegelegt ist, wird nicht aufgezeigt.

Die Patentinhaberin hält ihre Anträge weiter aufrecht.

MAN Roland Druckmaschinen AG

ppa.

i.V.

Stahl. AV 20809

Richter, AV 36563

Obersandt 1 1. 03 99



Anmeldenummer: 94 103 832.5

1

Patentnummer:

EP-B-0620115

Vorbereitung einer mündlichen Verhandlung - Anweisungen an den Unterstützungsdienst

In obigem Patent wird eine mündliche Verhandlung durchgeführt.

1. Codierung von (2) ☐ D10, D12, D13

⊠ D20, D22, D23

Codiert ①②③

- Die zu erörternden Gegenstände sind in der Anlage genannt (Formblatt 2906). 2.
- 3. Absendung der Ladung mit Formblatt 2008/2310.
- an die Beteiligten zum vereinbarten Termin: 3.1.

Tag

Zeit

 \boxtimes nach Vereinbarung eines Termins mit den Beteiligten für 3.2. einen der folgenden Tage:

2452 03.08.1999 Zeit 10.00 Saal 0121 10.00 B Saal oder Tag 14.12.1999 Zeit

reserviert reserviert

ROSY

Saal 2452

ROSY

gebuch!

ORAL 1,2,3 codiert

17. 03.99 NH

Datum

- 3.3. Falls keine Vereinbarung für einen dieser Tage zustande kommt oder kein Saal zur Verfügung steht, ist die Einspruchsabteilung mit Formblatt 2088 zu benachrichtigen.
- 3.4. Schriftsätze der Beteiligten zur Vorbereitung der mündlichen Verhandlung können bis zu

1 Monat(e).

vor dem Tag der Verhandlung eingereicht werden (Übertrag auf Formblatt 2008.1 / 2310.1).

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3.5.	Codierung von ORAL(4) 4	codiert 14 2 2 64 99 MM	/Zeichen
3.6. 4 .	Absendung von Formblatt 2008.7 / 2310.7 an Einspruchsabteilung zur Benachrichtigung über den vereinbarten Tag: Bereitstellung folgender Sonderausstattung im Sitzungssaal:	2.3.14: .aaww	perchen
		 Datum	Zeichen
5 .	Beantragung der Simultan-Übersetzung beim Sprachendienst, soweit erforderlich:	Datum	Zeichen
6.	Wiedervorlage der Akte an den beauftragten Prüfer mit Formblatt 2041 (15 Tage vor der mündlichen Verhandlung):	Datum	Zeichen
7 . 8 .	Überwachung der Zustellung der Ladung (Empfangsbescheinigung - weiß / Rückschein - rot). Absendung von Formblatt 2041 an die Amtsbeteiligten 15 Tage vor der m Verhandlung und der Akte an den ersten Prüfer, falls noch nicht gescheh	nündlichen en.	
Date	O. 03. 99 ₃		Viitalied

Vorsitzender

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

October 3, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 17":
Summons to Oral Proceedings and Preliminary Position of Opposition
Division

(Christian König)

EPO D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Directorate General 2

COPY

Marek, Joachim, Cert. Eng.

c/o MAN Roland Druckmaschinen AG

To Fax no. (069) 8305-1140

Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Mar. 17, 99

	=
Ref.	Application No./Patent No.
JMa/GScho	94103832.5-2304/ 0620115
Applicant/Proprietor	
MAN Roland Druckmaschinen AG	

PREPARATION OF ORAL PROCEEDINGS

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on Aug. 3, 99

at 9:00 am.

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Alternative date: Dec. 14, 99

The alternative date must be coordinated by you in advance with all parties concerned. The summons would then be issued for the alternative date.

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Official in charge

Tel.: (+49-89) 2399-8734

/signature/

Eva Neresheimer

Enclosures

[] Annex as intended for the summons

[] Rule 2 EPC (EPO form 2043)

P.S. You will not receive a written confirmation of this faxed communication.

7053750

Mar.17, 99

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on Aug. 3, 99 at 10:00 am in room no. 2542 of the EPO Bayerstr. 34 PschorrHöfe, D-80335 München.

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Regarding the language of the proceedings we refer to rule 2 EPC.

Concerning the presentation of powers of attorney for employees and attorneys as representatives before the EPO, we refer to the notice in the official gazette 9/91, page 489.

The date until when written statements and/or documents can be submitted (rule 71a EPC) is July 3, 99

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/signature/

J. Mellady y Mellado

For the Opposition Division Tel.: (089) 2399 8734

Enclosures:

Acknowledgment of receipt (form 2936)

Rule 2 EPC (EPO form 2043) Communication (EPO form 2906)

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94103832.5	ORAL	4	Apr. 22, 99 MM	

EPO D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

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COPY

KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg Germany

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EPO form 2310.1	PH 01.98		7051423	Apr. 22, 99 M20576	
94103832.5	ORAL	4			

Date: April 27, 99 Page 1 Application No.: 94 103 832.5

In an interlocutory statement of opinion, the Opposition Division takes the following position:

- 1. State of the art:
- 1.1 At present, the following documents are part of the opposition proceedings:

K1 = copy from the magazine "Offsetpraxis", 3/1993, pages 12-15

K2 = US-A-5 176 077

K3 = copy from the reference book: "Flexodruck von A bis Z", cover page, masthead, page 160

- 1.2 In the opinion of the Opposition Division, on the basis of the documents submitted in a letter of Feb. 10, 1999, the document K1 and the document K1a comply with the requirements of article 54(2) EPC, and are therefore taken into consideration in the examination of the claims concerning novelty and inventive steps.
- 2. Novelty / Inventive steps:
- 2.1 In the opinion of the Opposition Division, claim 1 in the granted version is novel in relation to the published state of the art, in the sense of article 54 EPC.
- 2.2 Moreover, the subject matter of claim 1 also contains inventive steps in the sense of article 56 EPC.
- 2.3 After submission of the enlarged copy (document K1a), the Opposition Division is in doubt whether the following characteristic features of the contested claim 1 follow from the teachings of document 1:
 - (i) "and the coating unit that is upstream with respect to the sheet running direction" is executed as a flexo printing unit,

as well as

Page 2 Application No.: 94 103 832.5

(ii) "a coating unit (7) is located directly or indirectly downstream of the flexo printing unit (6)".

Furthermore, according to the conformable opinion of both parties, the following characteristic features of claim 1 are not explicitly disclosed in document K1:

(iii) " which is connected to a feed pump for liquid feed and a suction pump for liquid return".

It does not seem possible to derive the combination of these features (i), (ii), and (iii) from the document K2.

E.Greiner

3. Conclusion:

Date: April 27, 99

The Opposition probably will be rejected pursuant to article 102(2) EPC.

/signature/ /signature/ /signature/ /signature/ I. Thormählen M.E. AXTERS E.C

The final 4 pages of Tab 17 are trilingual (The Translator)

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA

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(Christian König)

Ch. Kow

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COPY

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c/o MAN Roland Druckmaschinen AG

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Official in charge

Tel.: (+49-89) 2399-8734

/signature/

Eva Neresheimer

Enclosures

[] Annex as intended for the summons

[] Rule 2 EPC (EPO form 2043)

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EPO D-80298 München Tel 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Directorate General 2

COPY

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J. Mellady y Mellado

For the Opposition Division

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KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg Germany

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M.E. AXTERS

E.Greiner

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Directorate General 2

 $\overline{\text{COPY}}$

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Communication (EPO form 2906)

EPO form 2310.1			7051420	Apr. 22, 99	M20576	
94103832.5	ORAL	4	Apr. 22, 99 MM			

EPO D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Directorate General 2

COPY

KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg Germany

Date: Apr. 27, 99

Ref. 1P3. 0620115 OPPO 01	Application No./Patent No. 94103832.5-2304/ 0620115
Applicant/Proprietor	
MAN Roland Druckmaschinen AG	

SUMMONS TO ORAL PROCEEDINGS PURSUANT TO RULE 71(1) EPC

Hereby you are summoned to oral proceedings in the matter of the above-mentioned European patent.

The subject matters to be discussed are listed in the communication (EPO form 2906) that is enclosed with this summons.

The public oral proceedings take place in front of the Opposition Division

on Aug. 3, 99 at 10:00 am in room no. 2542 of the EPO Bayerstr. 34
PschorrHöfe, D-80335 München.

The date for the proceedings has been arranged with you earlier. Changes are no longer possible, except in substantiated special cases. Should you not attend in accordance with this summons, then the proceedings can continue without you (Rule 71(2) EPC).

Regarding the language of the proceedings we refer to rule 2 EPC.

Concerning the presentation of powers of attorney for employees and attorneys as representatives before the EPO, we refer to the notice-in the official gazette 9/91, page 489.

The date until when written statements and/or documents can be submitted (rule 71a EPC) is July 3, 99

We ask you to register with the receptionist in the EPO entrance hall in due time before the commencement of the proceedings. Rooms 3473 and 3474 are available as day rooms. Pay-free parking is available in the underground parking garage; but only if accessed through the entrance Zollstrasse.

/signature/

J. Mellady y Mellado

For the Opposition Division

Tel.: (089) 2399 8734

Enclosures:

Acknowledgment of receipt (form 2936)

Rule 2 EPC (EPO form 2043)

Communication (EPO form 2906)

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94103832.5	ORAL	4				-	,

Date: April 27, 99 Page 1 Application No.: 94 103 832.5

In an interlocutory statement of opinion, the Opposition Division takes the following position:

- 1. State of the art:
- 1.1 At present, the following documents are part of the opposition proceedings:

K1 = copy from the magazine "Offsetpraxis", 3/1993, pages 12-15

K2 = US-A-5 176 077

K3 = copy from the reference book: "Flexodruck von A bis Z", cover page, masthead, page 160

- 1.2 In the opinion of the Opposition Division, on the basis of the documents submitted in a letter of Feb. 10, 1999, the document K1 and the document K1a comply with the requirements of article 54(2) EPC, and are therefore taken into consideration in the examination of the claims concerning novelty and inventive steps.
- 2. Novelty / Inventive steps:
- 2.1 In the opinion of the Opposition Division, claim 1 in the granted version is novel in relation to the published state of the art, in the sense of article 54 EPC.
- 2.2 Moreover, the subject matter of claim 1 also contains inventive steps in the sense of article 56 EPC.
- 2.3 After submission of the enlarged copy (document K1a), the Opposition Division is in doubt whether the following characteristic features of the contested claim 1 follow from the teachings of document 1:
 - (i) "and the coating unit that is upstream with respect to the sheet running direction" is executed as a flexo printing unit,

as well as

Page 2

Application No.: 94 103 832.5

(ii) "a coating unit (7) is located directly or indirectly downstream of the flexo printing unit (6)".

Furthermore, according to the conformable opinion of both parties, the following characteristic features of claim 1 are not explicitly disclosed in document K1:

(iii) " which is connected to a feed pump for liquid feed and a suction pump for liquid return".

It does not seem possible to derive the combination of these features (i), (ii), and (iii) from the document K2.

3. Conclusion:

Date: April 27, 99

The Opposition probably will be rejected pursuant to article 102(2) EPC.

/signature/

/signature/

/signature/

I. Thormählen M.E. AXTERS

E.Greiner

The final 4 pages of Tab 17 are trilingual (The Translator)

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

October 3, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 17":
Summons to Oral Proceedings and Preliminary Position of Opposition
Division

(Christian König)

EPO D-80298 München Tel 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Directorate General 2

COPY

Marek, Joachim, Cert. Eng.

c/o MAN Roland Druckmaschinen AG

To Fax no. (069) 8305-1140

Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Mar. 17, 99

03832.5-2304/ 0620115

PREPARATION OF ORAL PROCEEDINGS

The EPO intends to summon the concerned parties to oral proceedings (article 116 EPC)

on Aug. 3, 99

at 9:00 am.

If the EPO does not receive your reply within 10 days of the send date of this facsimile, we will assume that you accept the proposed date, and the corresponding summons will be sent. Should you not be able to attend the oral proceedings on the proposed date due to urgent reasons, then the EPO is willing to consider the following alternative date, provided that the EPO will be informed accordingly within the aforesaid period of 10 days.

Alternative date: Dec. 14, 99

The alternative date must be coordinated by you in advance with all parties concerned. The summons would then be issued for the alternative date.

We would like to point out that, after the summons has been issued, any requests to change the scheduled date will only be granted in exceptional cases.

Please direct your reply within 10 days (if applicable also in regard to language inquiries—Rule 2) by telex or fax to the official in charge. A written confirmation is not required. The deadline for submitting written statements and documents (Rule 71a EPC) will be set in the following summons.

Official in charge

Tel.: (+49-89) 2399-8734

/signature/

Eva Neresheimer

Enclosures

[] Annex as intended for the summons

[] Rule 2 EPC (EPO form 2043)

P.S. You will not receive a written confirmation of this faxed communication.

7053750

Mar.17, 99

EPO D-80298 München Tel 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Directorate General 2

COPY

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Apr. 27, 99

Ref. JMa/GScho	Application No./Patent No. 94103832.5-2304/ 0620115
Applicant/Proprietor	
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/signature/

J. Mellady y Mellado

For the Opposition Division

Tel.: (089) 2399 8734

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Rule 2 EPC (EPO form 2043)

Communication (EPO form 2906)

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Ref. 1P3. 0620115 OPPO 01	Application No./Patent No. 94103832.5-2304/ 0620115		
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J. Mellady y Mellado

For the Opposition Division

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Enclosures:

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Rule 2 EPC (EPO form 2043)

Communication (EPO form 2906)

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94103832.5	ORAL	4				

Date: April 27, 99 Page 1

Application No.: 94 103 832.5

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Page 2 Application No.: 94 103 832.5

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/signature/ /signature/ /signature/ /signature/
I. Thormählen M.E. AXTERS E.Greiner

The final 4 pages of Tab 17 are trilingual (The Translator)



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D-80298 Munchen
S 089/2399-0
TX 523 656 epmu d
FAX 089/2399-4465

Europäisches Patentamt

European
Patent Office

Office européen des brevets

Generaldirektion 2

Directorate General 2

Direction Générale 2

Marek, Joachim, Dipl.-Ing. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S, Postfach 10 12 64 63012 Offenbach ALLEMAGNE AN FAX-NR. (069) 8305 -1440

Datum/Date

17/03/99

Zeicher/Ref/Ref.

JMa/GScho

Anmeldung Nr/Application No/Demande n°/Patent Nr /Patent No /Brevet n°.

94103832.5-2304/0620115

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire

MAN Roland Druckmaschinen AG

VORBEREITUNG EINER MÜNDLICHEN VERHANDLUNG

Das EPA beabsichtigt, die Beteiligten zu einer mündlichen Verhandlung (Artikel 116 EPÜ)

Geht beim EPA innerhalb von 10 Tagen nach dem Absendedatum dieser Telekopie keine Anwort von Ihnen ein, so wird davon ausgegangen, dass Ihnen der vorgeschlagene Termin zusagt, und es erfolgt eine entsprechende Ladung. Sollten Sie an dem vorgeschlagenen Termin aus zwingenden Gründen nicht an der mündlichen Verhandlung teilnehmen können, so ist das EPA bereit, den nachfolgenden Alternativtermin in Betracht zu ziehen, sofern es innerhalb der genannten Frist von 10 Tagen entsprechend unterrichtet wird.

Alternativtermin 14.12.99....

Der Alternativtermin ist von I hnen vorher mit allen betroffenen Beteiligten abzustimmen. Die Ladung wird dann zu dem Alternativtermin ergehen.

Wir weisen darauf hin, dass nach Absendung der Ladung einem Antrag auf Änderung des anberaumten Termins nur in Ausnahmefällen stattgegeben werden kann.

Bitte richten Sie Ihre Anwort binnen 10 Tagen (soweit erforderlich auch zur Sprachenanfrage – Regel 2) per Fernschreiben oder Telekopie an den Formalsachbearbeiter. Einer schriftlichen Bestätigung bedarf es nicht. Der Zeitpunkt, bis zu dem Schriftsätze und Unterlagen eingereicht werden können (Regel 71a EPÜ), wird in der folgenden Ladung bestimmt.

Formalsachbearbeiter

Tel. No.: (+49-89) 2399- 1734

Eva Neresheimer

Anlagen: [] Anhang wie für die Ladung vorgesehen

[] Regel 2 EPÜ (EPA Form 2043)

P.S. Diese Mitteilung per Telekopie wird nicht schriftlich bestätigt.



EPA/EPO/OEB
D-80298 Munchen
089/2399-0
TX 523 656 epmu d
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Generaldirektion 2

Directorate General 2

Direction Générale 2

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Marek, Joachim, Dipl.-Ing. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S, Postfach 10 12 64 63012 Offenbach ALLEMAGNE



Datum/Date

2 7. 04. 99

Zeichen/Ref /Réf.

JMa/GScho

Anmeldung Nr./Application No./Demande n°./Patent Nr./Patent No./Brevet n°.

94103832.5-2304/0620115

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire
MAN Roland Druckmaschinen AG

LADUNG ZUR MÜNDLICHEN VERHANDLUNG GEMÄSS REGEL 71(1) EPÜ

Hiermit werden Sie zur mündlichen Verhandlung in Sachen des obengenannten europäischen Patents geladen.

Die zu erörternden Gegenstände sind in dem dieser Ladung beigefügten Bescheid (EPA Form 2906) genannt.

Die öffentliche mündliche Verhandlung findet statt vor der Einspruchsabteilung

* am 03.08.99 um 10h 00 Uhr in Saal Nr.2452 des EPA Bayerstr.34 *

* PschorrHöfe, D-80335 München

Der Verhandlungstermin wurde vorab mit Ihnen vereinbart. Änderungen sind nicht mehr möglich, ausser in begründeten Sonderfällen. Sollten Sie nicht ladungsgemäss erscheinen, so kann das Verfahren ohne Sie fortge-

setzt werden (Regel 71(2) EPÜ).

Hinsichtlich der Verhandlungssprache wird auf Regel 2 EPÜ hingewiesen. Bezüglich der Vorlage von Vollmachten für Angestellte und Rechtsanwälte als Vertreter vor dem EPA wird auf die Mitteilung im Amtsblatt 9/91, S. 489 verwiesen.

Der Zeitpunkt, bis zu dem Schriftsätze und/oder Unterlagen eingereicht werden können (Regel 71a EPÜ), ist der 3.7-99

Sie werden gebeten, rechtzeitig vor Beginn der Verhandlung beim Pförtner in der Eingangshalle des EPA vorzusprechen. Saal 3473 und Saal 3474 stehen als Aufenthaltsräume zur Verfügung. Kostenlose Parkplätze stehen in der Tiefgarage zur Verfügung) jedoch nur über die Einfahrt Zollstrasse.

Für die Einspruchsabteilung: Anlagen: Tel. Nr.: (089) 2399

Anlagen: Empfangsbestätigung (Form 2936)
Regel 2 EPÜ (EPA Form 2043)
Bescheid (EPA Form 2906)

Einschreiben mit Rückschein

EPA Form 2310.1PH 01.98 7051420 22/04/99 M20576



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Generaldirektion 2

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Direction Générale 2

COPY

KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg ALLEMAGNE

OPPO 01



Datum/Date

27.04.99

Zeicher/Ref./Réf. 1P3.0620115 Anmeldung Nr /Application No /Demande n° /Patent Nr /Patent No /Brevet n°.

94103832.5-2304/0620115

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire
MAN Roland Druckmaschinen AG

LADUNG ZUR MÜNDLICHEN VERHANDLUNG GEMÄSS REGEL 71(1) EPÜ

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J Mellado y Mellado

Für die Einspruchsabteilung: Anlagen: Empfangsb Tel. Nr.: (089) 2399

Anlagen: Empfangsbestätigung (Form 2936)
Regel 2 EPÜ (EPA Form 2043)
Bescheid (EPA Form 2906)

Einschreiben mit Rückschein

EPA Form 2310.1PH 01.98 7051423 22/04/99 M20576

1

Notification/Proces-verbal (Annexe)

Anmelde-Nr.: Application No.: Demande n':

94 103 832.5

27.04.99 Date

Feuille

In einer vorläufigen Stellungnahme nimmt die Einspruchsabteilung folgende Position ein:

- Stand der Technik: 1.
- Folgende Schriften befinden sich im Einspruchsverfahren:

K1 = Kopie aus der Zeitschrift: "Offsetpraxis", 3/1993, Seiten 12 - 15

K1a= Vergrößerung einer Abbildung aus der Kopie K1

K2 = US-A-5 176 077

K3 = Kopie aus dem Fachbuch: "Flexodruck von A bis Z", Deckblatt, Impressum, Seite 160

- 1.2 Das Dokument K1 und das Dokument K1a erfüllen nach Auffassung der Einspruchsabteilung auf Grund der im Schreiben vom 10.02.1999 eingereichten Unterlagen die Erfordernisse des Artikels 54(2) EPÜ und werden deshalb für die Prüfung der Ansprüche auf Neuheit und erfinderische Tätigkeit berücksichtigt.
- 2. Neuheit / erinderische Tätigkeit:
- Nach Auffassung der Einspruchsabteilung ist der Anspruch 1 in der erteilten 2.1 Fassung neu im Sinne des Artikels 54 EPÜ gegenüber dem bekanntgewordenen Stand der Technik.
- 2.2 Darüberhinaus weist der Gegenstand des Anspruchs 1 auch erfinderische Tätigkeit im Sinne des Artikels 56 EPÜ auf.
- 2.3 Nach Vorlage der Vergrößerung (Dokument K1a) hat die Einspruchsabteilung Zweifel daran, daß folgende Merkmale des bestrittenen Anspruchs 1 aus der Offenbarung des Dokuments K1 hervorgehen:
 - (i) "und die entsprechend Bogenlaufrichtung vorgeordnete Lackiereinheit " als Flexodruckwerk ausgebildet ist,

sowie

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E. H. T. W. H. H. H. H. H.

Hai.



Notification/Proces-verbal (Annexe)

Datum Date Date

27.04.99

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Anmelde-Nr.: Application No.: Demande n':

94 103 832.5

(ii) "dem Flexodruckwerk (6) eine Lackiereinheit (7) direkt oder indirekt nachgeordnet ist".

Darüberhinaus sind die folgenden Merkmale des Anspruchs 1 nach übereinstimmender Auffassung beider Parteien im Dokument K1 nicht explizit offenbart:

(iii) "das mit einer Förderpumpe zur Flüssigkeitszufuhr und einer Saugpumpe zur Flüssigkeitsrückführung verbunden ist".

Diese Merkmale (i), (ii) und (iii) erscheinen nicht in Kombination miteinander aus dem Dokument K2 herleitbar.

3. Schlußfolgerung:

Der Einspruch wird voraussichtlich gemäß Artikel 102(2) EPÜ zurückgewiesen.

I. Thormählen

H.E. AXTERS

E. Greiner



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EPA/EPO/OEB \boxtimes D - 80298 München T 089 / 2399 - 0 523 656 epmu d Tx Fax 089 / 2399 - 4465 -

Europäisches **Patentamt**

European **Patent Office** Office europée des brevets

Generaldirektion 2

Directorate General 2

Direction générale 2

Wichtige Hinweise zur mündlichen Verhandlung

Das Europäische Patentamt verfügt über keine eigenen Dolmetscher. Diese müssen im Bedarfsfall von außerhalb, teilweise sogar aus anderen Ländern, beigezogen werden. was mit einem hohen Aufwand an Kosten und organisatorischen Vorbereitungen verbunden ist. Muß ein Verhandlungtermin kurzfristig abberaumt werden, können Kosten für bestellte Dolmetscher nicht mehr vermieden werden.

Es wird daher gebeten, eine Simultanübersetzung nur bei wirklichem Bedarf in Anspruch zu nehmen. Es wäre wünschenswert, wenn sich die Beteiligten (zweckmäßigerweise gleichzeitig mit der Terminabstimmung) auf die Benutzung einer Amtssprache einigen könnten. Bei Verständigungsschwierigkeiten sind die Mitalieder der Einspruchsabteilung bereit zu helfen.

Die von den Verfahrensbeteiligten bevorzugte (abgestimmte) Verhandlungssprache und ggf. eine notwendige Simultanübersetzung sind dem Amt möglichst vor der in Regel 2(1) EPÜ angegebenen Frist mitzuteilen.

Important information concerning oral proceedings

The European Patent Office has no interpreters of its own. When interpreters are needed they have to be brought in from outside, sometimes even from other countries, which is costly and involves considerable organisation. If oral proceedings have to be cancelled at short notice. the cost of interpreters already engaged still has to be borne.

Please therefore make use of simultaneous interpreting facilities only where strictly necessary. If possible the parties should agree on an official language for the proceedings, preferably at the time when they arrange a date. The members of the Opposition Division will be willing to help should any communication problems arise.

The EPO should be told if possible before the period mentioned in Rule 2(1) EPC which language the parties prefer (agree on) and whether simultaneous interpreting facilities are required.

Très important Procédure orale

L'Office européen des brevets ne dispose pas de son propre service d'interprètes. Aussi fait-il appel le cas échéant à des interprètes de l'extérieur, qui viennent même parfois de l'étranger, ce qui occasionne des frais élevés et demande un grand travail d'organisation. Si la date d'une procédure orale doit être annulée au dernier moment, il n'est plus possible d'éviter les frais d'interprètes.

Les parties à une procédure sont donc priées de ne demander une tra duction simultanée qu'en cas de rée besoin. Il serait souhaitable qu'elles puissent se mettre d'accord en même temps qu'elles conviennent de la date sur l'utilisation d'une langue officielle comme langue des débats. Si les parties éprouvent des difficultés de compréhension lors des débats, les membres de la division d'opposition sont disposés leur prêter leur assistance.

L'Office doit être avisé si possible avant le début du délai mentionné dans la règle 2(1) CBE de la langue préférée par les parties pour le déroulement des débats (et sur laquelle elles se sont préalablemen mises d'accord) et de la nécessité éventuelle d'une traduction simultanée.

(voir au verso)

(bitte umblättern)

(please see overleaf)

Verfahrenssprache	ist
Dautech	

Englisch Französisch

benutzt.

Language of the proceedings is English.

The language used by the opponent/s was	. La langue utilisée par l'opposant/ les opposants était		
German	l'allemand		
French.	l'anglais.		

Eswird um eilige Mitteilung
- möglichst per Telefax oder fernschriftlich an den zuständigen Formalprüfer - gebetep

·Von der/dem/den Einsprechenden

arch Deutsch

- welche Sprache(n) Sie in der mündlichen Verhandlung verwenden werden (Sprechen)
- aus welcher Sprache Sie eine Simultanübersetzung benötigen (Hören).

Sollten Sie Ihren Antrag auf mündli Verhandlung zurückziehen oder zum anberaumten Verhandlungstermin nicht erscheinen wollen bzw. aus wichtigem Grund daran gehindert sein, werden Sie gebeten,

- unverzüglich das Amt

 möglichst per Telefax oder fernschriftlich - davon zu benachrichtigen, wobei das Schriftstück mit einem deutlichen Vermerk "Dringend, mündliche Verhandlung am ..." oder sinngemäß gekennzeichnet sein sollte;
- in dringenden Fällen (weniger als 1 Monat vor dem Verhandlungstermin), zusätzlich auch den/die anderen Verfahrensbeteiligten bzw. ihre(n) Vertreter auf schnellstem Weg direkt zu unterrichten.

In jedem solchen Fall obliegt der Einspruchsabteilung die Entscheidung, ob die Verhandlung durchgeführt oder abberaumt wird. Es wird jedoch darauf hingewiesen, daß einem Verfahrensbeteiligten, der eine nicht rechtzeitige oder unterbliebene Benachrichtigung zu verantworten hat, die dadurch den anderen Beteiligten verursachten Kosten auferlegt werden können (Art. 104 EPÜ).

Please inform us urgently - where possible by fax or telex addressed to the formalities officer concerned -

- which language(s) you intend to use during the oral proceedings (Speaking)
- from which language you need simultaneous interpretation (Listening).

Should you decide to withdraw your request for oral proceedings or not wish to attend on the date set, or if for some special reason you are unable to do sp, you are requested

- to notify the EPO immediately, where possible by fax or telex, marking the document clearly with the words "Urgent, oral proceedings on ..." or similar
- in urgent cases (less than one month before the date set for the proceedings), additionally to notify the other party/parties and/or their representative(s) direct as rapidly as possible.

In all such cases the Opposition Division will decide whether the proceedings are to go ahead or be cancelled. You should however note that costs incurred by the other parties may be charged to a party who either fails to notify them or does not do so in good time (Article 104 EPC).

Prière d'indiquer d'urgence à l'agent des formalités compétent, si possible par téléfax ou par télex.

de la procédure est le

- quelle(s) langue(s) vous utiliserez au cours de la procédure orale (pour parler)
- à partir de quelle langue vous aurez besoin d'une traduction simultanée (pour ecouter).

Si vous retirez votre requête tendant à recourir à la procédure orale ou si vous ne souhaitez pas vous présenter à la date fixée pour la procédure orale ou ne pouvez vous y présenter pour une raison sérieuse, veuillez

- en faire avis sans retard à l'Office, si possible par téléfax ou par télex, en portant sur votre communication clairement la mention "Urgent, procédure orale le ..." ou une indication similaire;
- dans les cas urgents (moins d'un mois avant la date fixée pour la procédure orale), en faire avis également directement par la voie la plus rapide à l'autre/aux autres partie(s) ou bien à son/leurs mandataire(s)

Il appartient alors à la division d'opposition de décider si la procédure orale aura lieu ou non. Il est néanmoins souligné que les frais causés aux autres parties par une partie qui est responsable de l'omission d'un tel avis ou de ce que cet avis n'a pas été fait en temps utile peuvent être mis à la charge de cette partie (art. 104 CBE).

Regel 2 Ausnahmen von den Vorschriften über die Verfahrenssprache im mündlichen Verfahren

- (1) Jeder an einem mündlichen Verfahren vor dem Europäischen Patentamt Beteiligte kann sich anstelle der Verfahrenssprache einer anderen Amtssprache des Europäischen Patentamts bedienen, sofern er dies entweder dem Europäischen Patentamt spätestens einen Monat vor dem angesetzten Termin mitgeteilt hat oder selbst für die Übersetzung in die Verfahrenssprache sorgt. Jeder Beteiligte kann sich auch einer Amtssprache eines der Vertragsstaaten bedienen, sofern er selbst für die Übersetzung in die 🕠 Verfahrenssprache sorgt. Von den Vorschriften dieses Absatzes kann das Europäische Patentamt Ausnahmen zulassen.
- (2) Die Bediensteten des Europäischen Patentamts können sich im mündlichen Verfahren anstelle der Verfahrenssprache einer anderen Amtssprache des Europäischen Patentamts bedienen.
- (3) In der Beweisaufnahme können sich die zu vernehmenden Beteiligten, Zeugen oder Sachverständigen, die sich in einer der Amtssprachen des Europäischen Patentamts oder der Vertragsstaaten nicht hinlänglich ausdrücken können, einer anderen Sprache bedienen. Ist die Beweisaufnahme auf Antrag eines Beteiligten angeordnet worden, so werden die zu vernehmenden Beteiligten, Zeugen oder Sachverständigen mit Erklärungen, die sie in anderen Sprachen als den Amtssprachen des Europäischen Patentamts abgeben, nur gehört, sofern der antragstellende Beteiligte selbst für die Übersetzung in die Verfahrenssprache sorgt; das Europäische Patentamt kann jedoch die Übersetzung in einer seiner anderen Amtssprachen zulassen.

Rule 2 Derogations from the provisions concerning the language of the proceedings in oral proceedings

Attention is draw to Rule 2 EPC

- Any party to oral proceedings before the European Patent Office may, in lieu of the language of the proceedings. use one of the other official languages of the European Patent Office, on condition either that such party gives notice to the European Patent Office at least one month before the date laid down for such oral proceedings or makes provision for interpreting into the language of the proceedings. Any party may likewise use one of the official languages of the Contracting States, on condition that he makes provision for interpretation into the language of the proceedings. The European Patent Office may permit derogations from the provisions of this paragraph.
- (2) In the course of oral proceedings, the employees of the European Patent Office may, in lieu of the language of the proceedings, use one of the other official languages of the European Patent Office.
- (3) In the case of taking of evidence, any party to be heard, witness or expert who is unable to express himself adequately in one of the official languages of the European Patent Office or the Contracting States may use another language. Should the taking of evidence be decided upon following a request by a party to the proceedings, parties to be heard, witnesses or experts who express themselves in languages other than the official languages of the European Patent Office may be heard only if the party who made the request makes provision for interpretation into the language of the proceedings: the European Patent Office may, however authorise interpretation into one of its other official languages.

Règle 2 Dérogations aux dispositions relatives à l'utilisation de la langue de la procédure au cours de la procédure orale

- (1) Toute partie à une procédure orale devant l'Office européen des brevets peut, aux lieu et place de la langue de la procédure, utiliser l'une des autres langues officielles de cet Office, à condition soit d'en aviser ledit Office un mois au moins avant la date fixée pour l'audience, soit d'assurer l'interprétation dans la langue de la procédure. Toute partie peut également utiliser l'une des langues officielles de l'un des Etats contractants à condition d'assurer l'interprétation dans la langue de la procédure. L'Office européen des brevets peut autoriser des dérogations aux dispositions du présent paragraphe.
- (2) Au cours de la procédure orale, les agents de l'Office européen des brevets peuvent utiliser l'une des autres langues officielles de cet Office aux lieu et place de la langue de la procédure.
- (3) Au cours de la procédure d'instruction, toute partie à l'audition de laquelle il doit être procédé, les témoins ou experts appelés à participer à la procedure, qui ne possèdent pas une maîtrise suffisante de l'une des langues officielles de l'Office européen des brevets ou de l'un des Etats contractants, peuvent utiliser une autre langue. Si l'instruction est décidée sur requête d'une partie à la procédure, les parties, témoins ou experts appelés à participer à cette instruction, qui s'expriment dans des langues autres que les langues officielles de l'Office européen des brevets ne peuvent être entendus que si la partie ayant formulé la requête assure l'interprétation dans la langue de la procédure : L'Office européen des brevets peut toutefois autoriser l'interprétation dans une de ses autres langues officielles.

- (4) Mit Einverständnis aller Beteilingten und des Europäischen Patentamts kann in einem mündlichen Verfahren jede Sprache verwendet werden.
- (5° Pas Europäischen Patentamt Loernimmt, soweit erforderlich, auf seine Kosten die Übersetzung in die Verfahrenssprache und gegebenenfalls in seine anderen Amtsprachen, sofern ein Beteiligter nicht selbst für die Übersetzung zu sorgen hat.
- (6) Erklärungen der Bediensteten des Europäischen Patentamts, der Beteiligten, Zeugen und Sachverständigen in einem mündlichen Verfahren, die in einer Amtssprache des Europäischen Patentamts abgegeen werden, werden in dieser sprache in die Niederschrift aufgenommen. Erklärungen in einer anderen Sprache werden in der Amtssprache aufgenommen, in die sie übersetzt worden sind. Änderungen des Textes der Beschreibung und der Patentansprüche der europäischen Patentanmeldung der des europäischen Patents werden in der Verfahrenssprache in die Niederschrift aufgenommen.

- If the parties and the European Patent Office agree, any language may be used in oral proceedings.
- (5) The European Patent Office shall, if neccessary, make provisions at its own expense for interpretation into the language of the proceedings, or, where appropriate, into its other official languages, unless this interpretation is the responsibility of one of the parties to the proceedings.
- (6) Statements by employees of the European Patent Office, by parties to the proceedings and by witnesses and experts, made in one of the official languages of the European Patent Office during oral proceedings shall be entered in the minutes in the language employed. Statements made in any other language shall be entered in the official language into which they are translated. Amendments to the text of the description or claims of a European patent application or European patent shall be entered in the minutes in the language of the proceedings.

- réserve de l'accord des perties et de l'Office européen des brevets, toute langue peut être utilisée dans la procédure orale.
- (5) L'Office européen des brevets assure à ses frais, en tant que de besoin, l'interprétation dans la langue de la procédure, ou le cas échéant, dans l'une de ses autres langues officielles, à moins que cette interprétation ne doive être assurée par l'une des parties à la procédure.
- Les interventions des agents de l'Office européen des brevets, des parties à la procédure, des témoins et experts, faites au cours d'une procedure orale dans l'une des langues officielles de cet Office, sont consignées au procés-verbal dans la langue utilisée. Les interventions faites dans une autre langue sont consignées dans la langue officielle dans laquelle elles sont traduites. Les modifications du texte de la description ou des revendications de la demande de brevet européen ou du brevet européen sont consignées au procés-verbal dans la langue de la procedure.

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 East 10^{TH} Ave, Vancouver BC, V5N 1X6 Canada

TELEPHONE: (604) 876 9955 FACSIMILE: (604) 876 9961

E-MAIL: koenig@ipTranslation.com

October 26, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 18"
KBA letter introducing new documents

(Christian König)

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

KBA

By Registered Mail

European Patent Office Erhardtstr. 27

80298 Munich

Our reference: 1P3.00620115/W-KL/99.1089/Sl/sa

European patent no. EP 06 20 115 B1 European application No. 94 103 832.5-2304 Patent proprietor: MAN Roland Druckmaschinen AG

Opponent: Koenig & Bauer Aktiengesellschaft

REGARDING THE COMMUNICATION OF April 27, 1999

1. All previously filed motions are being maintained.

2. We request to admit the following documents into the opposition proceedings:

K4: "Druckindustrie" 9001 St. Gallen, No. 5, Mar. 11, 1993

"Nach Golde drängt, am Golde hängt doch alles ...", Cover page, masthead, pages 22 and 23

K5: DE 41 22 990 A1 K6: EP 04 99 382 A1 Koenig & Bauer AG
P.O. box 60 60
D-97010 Würzburg
Friedrich-Koenig-Str. 4
D-97080 Würzburg
Tel. (0931) 9 09-0
Fax (0931) 9 09-41 01
Email: kba-wuerzburg@bba-print.de
Internet: www.kba-print.de

Date: June 30, 1999
Our reference: 1P3.0620115
Tel. (0931) 909- 43 26
Fax (0931) 909- 47 89
Your letter of Apr. 27, 1999
Your reference 94103832.5-2304

Chairman of the board:
Peter Rempel
Board of directors:
Reinhart Slewert, chairperson
Albrecht Bolza-Schünemann, Cert. Eng.
Claus Bolza-Schünemann, Cert. Eng.
Dieter Jensen, Cert. Eng.
Frank Junker, Ph.D. Eng.
Andreas Mössner, Bachelor. of Bus.
Admin.
Burkhard Roos,
Walter Schumacher, Cert. Eng.

Registered office: Würzburg Registrar of companies Würzburg Commercial Registration B 109

Postbank Nürnberg Routing number 760 100 85 Account no. 422-850 Landeszentralbank Würzburg Routing number 790 000 00 Account no. 79 008 600

3. Statement of reasons

Regarding K4: "Druckindustrie" 9001 ST. Gallen, No. 5, Mar. 11, 1993 K4 is meant to supplement K1.

Not only K1 and K4 should be consulted as prior art, but also the disclosure content of the presentation at the firm Fritz Busche Druckereigesellschaft mbH in Dortmund.

This disclosure content of the presentation also includes the teaching of K5: DE 41 22 990 A1, since this patent document is cited in K1 and in K4.

K4 discloses that in a first tower of the sheet-fed offset printing machine water soluble gold printing ink (Page 22, right column, paragraph 6) is applied in a flexo printing process and the overprint coating (Page 23, right column, paragraph 1) is applied last.

It is also disclosed that large metal pigments can be selected (Page 2, paragraph 2).

3.2 Regarding K5: DE 41 22 990 A1
K5 describes the ink that was introduced in the presentation at the company Busche. Column 2, lines 51 to 65 disclose large pigments as ink constituents, and column 1, lines 3 and 4 describe a water-dilutable (i.e. rapidly drying) effect printing ink.

It is also mentioned that in an advantageous manner the ink application should take place in a flexo printing unit of an offset machine with a relief plate and an anilox roller (Column 3, line 60 to column 4, line 16).

3.3 Regarding K6: EP 04 99 382 A1

K6 describes a system for the coating of materials to be printed in rotary printing machines by means of a flexo printing unit (Fig. 1, column 1, lines 43 to 47). This flexo printing unit contains a relief form carrying impression cylinder 30, an anilox roller 32, and a doctor blade chamber 36 with a doctor blade 48 positioned in the positive direction, and a doctor blade 50 positioned in the negative direction.

A feed pump 74 in a piping system with a reservoir 70 is located upstream of the doctor blade chamber 36, and a suction pump 92 in a piping system with reservoir 70 is located downstream (Fig. 2, column 7, line 42 to column 8, line13).

4. Summary

The presentation at the company Busche in Dortmund therefore teaches to configure a first coating unit in a sheet-fed offset printing machine as a flexo printing unit with anilox roller and doctor blade chamber and to provide a second coating unit downstream of this first coating unit.

Consequently, the subject matter of the presentation (K1) only differs by not explicitly mentioning a suction pump.

The will be a second than the second that the second than ingo that with

But installing such a suction pump in the fluid return, if so required, is part of the professional expertise of a person skilled in the art, and is well known, for example from K6: EP 04 99 382 A1.

Koenig & Bauer Aktiengesellschaft

/signature/
pp. Stiel per pro Schäfer
General power of
attorney No. 36992

Enclosures:

K4: "Druckindustrie" 9001 St. Gallen, Nr. 5, Mar. 11, 1993

"Nach Golde drängt, am Golde hängt doch alles ...",

Cover page, masthead, pages 22 and 23

K5: DE 41 22 990 A1 K6: EP 04 99 382 A1

/Stamp:/

Delivered: Jul. 12, 99 /initial/

Date Initial

Koenig & Bauer Aktiengesellschaft Druckmaschinen, Würzburg



Einschreiben

Europäisches Patentamt Erhardtstr. 27

80298 München

Unser Zeichen: 1P3.0620115/W-KL/99.1089/SI/sa

Koenig & Bauer AG
Postfach 60 60
D-97010 Würzburg
Friedrich-Koenig-Str. 4
D-97080 Würzburg
Telefon (09 31) 9 09-0
Fax (09 31) 9 09-41 01
E-Mail: kba-wuerzburg@kba-print.de
Internet: http://www.kba-print.de

 Datum
 1999-06-30

 Unsere Zeichen
 1P3.0620115

 Tel. (09 31) 9 09 43 26

 Fax (09 31) 9 09 47 89

 Ihr Schreiben vom
 27.04.1999

 Ihre Zeichen
 94103832.5-2304

Europäisches Patent Nr. ÉP 06 20 115 B1

Europäische Anmeldung Nr. 94 103 832.5-2304

Patentinhaber: MAN Roland Druckmaschinen AG

Einsprechende: Koenig & Bauer Aktiengesellschaft

oral 3.8.99

AUF DEN BESCHEID VOM 27.04.1999

1. Alle bisher gestellten Anträge werden aufrecht erhalten.

2. Es wird beantragt, die Dokumente

K4: "Druckindustrie" 9001 St. Gallen, Nr. 5, 11.3.1993

"Nach Golde drängt, am Golde hängt doch alles ...",

Titelblatt, Impressum, Seiten 22 und 23

K5: DE 41 22 990 A1 K6: EP 04 99 382 A1

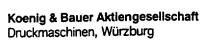
in das Einspruchsverfahren aufzunehmen.

Aufsichtsratsvorsitzender
Peter Rempell
Vorstand:
Reinhart Siewert - Vorsitzen ber
Dipl.-Ing. Albrecht Botta ischunermann
Dipl.-Ing. Claus Botza Schunermann
Dipl.-Ing. Deter Jensen
Dr.-Ing. Frank Junker
Dipl.-Betnebswi Andreas Moßner (Stelly.)
Dipl.-Ing. Walter Schumacher

Sitz der Gesellschaft Wurzburg Amtsgenicht Wurzburg Handelsregister B 109

Postbank Numberg BLZ 760 100 85 Konto-Nr. 422-850 Landeszentralbank Wurzburg BLZ 790 000 00 Konto-Nr. 79 008 600

1/4





3. Begründung

3.1. Zur K4: "Druckindustrie" 9001 St. Gallen, Nr. 5, 11.3.1993 Die K4 soll als Ergänzung zur K1 dienen.

Als Stand der Technik soll also nicht nur die K1 oder die K4 herangezogen werden, sondern der Offenbarungsgehalt der Präsentation bei der Firma Fritz Busche Druckereigesellschaft mbH, Dortmund.

Zu diesem Offenbarungsgehalt der Präsentation gehört auch die Lehre der K5: DE 41 22 990 A1, da auf diese Patentveröffentlichung sowohl in der K1 als auch in der K4 verwiesen wird.

Der K4 ist zu entnehmen, daß in der Bogenoffsetdruckmaschine im ersten Turm im Flexodruck die wasserlösliche Golddruckfarbe (Seite 22, rechte Spalte, Absatz 6) und zum Schluß der Überdrucklack (Seite 23, rechte Spalte, Absatz 1) aufgetragen wird.

Auch ist offenbart, daß große Metallpigmente gewählt werden können (Seite 2, Absatz 2).

3.2. Zur K5: DE 41 22 990 A1
In der K5 wird die in der Präsentation der Firma Busche vorgestellte Farbe beschrieben. Spalte 2, Zeilen 51 bis 65 offenbaren als Farbbestandteile grobe Pigmente und Spalte 1, Zeilen 3 und 4 eine wasserverdünnbare (also schnell

Koenig & Bauer Aktiengesellschaft Druckmaschinen, Würzburg



trocknende) Effektdruckfarbe.

Außerdem erfolgt der Hinweis, daß der Farbauftrag vorteilhaft in einem Flexodruckwerk einer Offsetmaschine mit Hochdruckplatte und Rasterwalze erfolgen soll (Spalte 3, Zeile 60 bis Spalte 4, Zeile 16).

3.3. Zur K6: EP 04 99 382 A1

Die K6 beschreibt eine Einrichtung zum Beschichten von Bedruckstoffen in Rotationsdruckmaschinen mit einem Flexodruckwerk (Fig. 1, Spalte 1, Zeilen 43 bis 47). Dieses Flexodruckwerk weist einen eine Hochdruckform tragenden Formzylinder 30, eine Rasterwalze 32 und eine Kammerrakel 36 mit positiv angestellter Rakel 48 und negativ angestellter Rakel 50 auf.

Der Kammerrakel 36 ist eine Förderpumpe 74 in Leitungssystemen mit Reservoir 70 vorgeordnet und eine Saugpumpe 92 in Leitungssystemen mit Reservoir 70 nachgeordnet (Fig. 2, Spalte 7, Zeile 42 bis Spalte 8, Zeile 13).

4. Zusammenfassung

Die Präsentation bei der Firma Busche, Dortmund lehrt also, in einer Bogenoffsetdruckmaschine eine erste Lackiereinheit als Flexodruckeinheit mit Rasterwalze und Kammerrakel auszubilden und dieser eine zweite Lackiereinheit nachzuschalten.

Somit unterscheidet sich der Gegenstand der Präsentation (der K1) lediglich dadurch, daß eine Saugpumpe nicht explizit erwähnt ist. Eine derartige Saugpumpe im Flüssigkeitsrücklauf bei Bedarf anzuordnen,





Koenig & Bauer Aktiengesellschaft Druckmaschinen, Würzburg

gehört aber zum handwerklichen Handeln eines Fachmannes und ist auch beispielsweise aus der K6: EP 04 99 382 A1 bekannt.

Koenig & Bauer Aktiengesellschaft

i.V, Stiel

i.A. Schäfe

Mig Volim. Nr. 36992

Anlagen:

K4: "Druckindustrie" 9001 St. Gallen, Nr. 5, 11.3.1993

"Nach Golde drängt, am Golde hängt doch alles ...",

Titelblatt, Impressum, Seiten 22 und 23

K5: DE 41 22 990 A1

K6: EP 04 99 382 A1

Dersandt .

1 2, 97, 9

Unser Zeichen: 1P3.0620115/W-KL/99.1089/SI/sa

4/4

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA

TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

September 20, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 19"
Notice of Revocation and text of Decision to Revoke

(Christian König)

Page 1 of Tab 19 is tri-lingual. (The translator)

EPA/EPO/OEB D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465 European Patent Office

Directorate General 2

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Aug. 25th 1999

Ref. Jma/Gscho	Application No./Patent No. 94103832.5-2304/0620115		
Applicant/Proprietor MAN Roland Druckmaschinen AG			

DECISION ON THE REVOCATION OF THE EUROPEAN PATENT (ART.102(1) EPC)

In the oral proceedings of Aug. 3rd 199, the Opposition Division has decided:

The European Patent No. 0620115 is being revoked.

[] Additional decision:

The grounds for the decision are enclosed.

INSTRUCTIONS ON THE RIGHTS TO APPEAL

An appeal against this decision is admissible. We refer to the enclosed text of the articles 106 to 108 EPC.

OPPOSITION DIVISION:

THORMAEHLEN I A C

GREINER E F

Chairman

1st examiner

AXTERS M E 2nd examiner

Enclosures:

Grounds for decision (Form 2916, 11 pages) Text of articles 106 – 108 EPC (Form 2019) [x] Minutes of the oral proceedings

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EPA form 2331	Feb. 99		7053340	to post office on: Aug. 20 1999	
94103832.5	REVO+	D40			
	ORAL	9	Aug. 20 '99 MM		

EPA/EPO/OEB D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465 European Patent Office

Directorate General 2

KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg Germany

Date: Aug. 25th 1999

Ref. 1P3.0620115 OPPO 01	Application No./Patent No. 94103832.5-2304/0620115			
Applicant/Proprietor MAN Roland Druckmaschinen AG				

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OPPOSITION DIVISION:

THORMAEHLEN I A C

GREINER E F

Chairman

1st examiner

AXTERS M E 2nd examiner

Enclosures:

Grounds for decision (Form 2916, 11 pages)

Text of articles 106 – 108 EPC (Form 2019)
[x] Minutes of the oral proceedings

+ F2936

REGISTERED MAIL WITH RETURN RECEIPT

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94103832.5	REVO			
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Page 1

Application No.: 94 103 832.5

Statement of facts and motions I.

European Patent No.: 1.

0 620 115 94103832.5

Application No.:

Application date:

March 12th 1994

Priority:

April 4th 1993

DE 9305552

Publication of application:

October 19th 1994

Patentblatt 1994/42 April 23rd 1997

Disclosure of patent granting:

Patentblatt 1997/17

Proprietor:

MAN Roland Druckmaschinen AG

D-63075 Offenbach (DE)

Title:

Einrichtung for Inline-Beschichten von

Bedruckstoffen in Offsetdruckmachinen.¹

2. Opponents: Koenig & Bauer Aktiengesellschaft

Friedrich-Koenig-Strasse 4 D-97080 Würzburg (DE)

Opposition filed:

January 22nd 1998

by letter dated:

January 20th 1998

- Motions and development of the opposition proceedings 3.
- 3.1
- a) In his letter dated Jan. 20th 1998, the opponent requests the revocation of the 3.1.1 patent in the granted version in its entirety, pursuant to article 102(1) EPC based on article 100a) EPC in combination with articles 52(1) and 56 EPC (Inventive step)
 - b) In addition, as an alternative he requested oral proceedings pursuant to article 116 EPC.

¹ Device for inline coating of materials to be printed in offset printing presses. (The Translator)

Application No.: 94 103 832.5

In his letter dated July 14th 1998, the opponent upheld his previous motions, and presented additional arguments relating to the aforesaid state of the art.

3.2 Proprietor

- In his letter dated May 5th 1998 the proprietor requested the upholding of the 3.2.1 contested patent in unmodified form, and the dismissal of the opposition pursuant to article 102(2) EPC.
- a) In his letter dated August 8th 1998, the proprietor presented additional 3.2.2 arguments relating to his motions already at hand. b) In addition, as an alternative he requested oral proceedings pursuant to article 116 EPC.
- **Opposition Division** 3.3 In a communication pursuant to article 101(2) EPC and rules 58(1) to (4) EPC dated October 10th 1998, the Opposition division, in an interlocutory opinion on the patent subject matter, invited further statements of opinion from the two parties.
- Proprietor 3.4 In a letter dated December 14th 1998, the proprietor renewed the motions he already had made, and presented further arguments relating to the aforesaid state of the art.
- Opponent 3.5 In a letter dated February 10th 1999, the opponent upheld his previous motions, and submitted additional documents relating to the state of the art.
- Proprietor 3.6 In a letter dated March 2nd 1999, the proprietor again upheld his previous motions.

- 3.7 Opposition Division
 Summons for the 27th April 1999 for oral proceedings pursuant to rules 71(1) and 71A EPC, combined with a further interlocutory opinion on the subject matter of the patent.
- In his letter dated June 30th 1999, the opponent again upheld his previous motions, and requested for the first time to include the following documents into the opposition proceedings, in particular to take them into consideration for the assessment of inventive steps:

K4 = "Druckindustrie²" 9001 St. Gallen, No. 5 Mar. 11th 1993 "Nach Golde drängt, am Golde hängt doch alles ...³", Title page, masthead, pages 22 and 23 K5 = DE-A-4 122 990 K6 = EP-A-0 499 382

- 3.9 The oral proceedings took place on August 3rd 1999. The course of the proceedings can be obtained from the minutes. Towards the end, the chairperson announced the decision to revoke the patent pursuant to article 102(1) EPC.
- 4. The decision is based on the following documents:
- 4.1 The independent claim 1 in the granted version has the following text:

 "1. Device in a rotary printing press for multi-color offset printing for coating material to be printed with at least two coating units, wherein each coating unit comprises one impression cylinder (8), one form cylinder (10), and one applicator roller (11, 14), and the coating unit that is upstream, in sheet running direction, is constructed as a flexo print unit (6), whereby the flexo print unit consists of the following elements:

 a form cylinder (10.1) that carries a relief form and is in contact with the impression cylinder (8.1),

² "Printing trade" (The Translator)

³ "To push for gold, all depends on gold ..." (The Translator)

an applicator roller (11) with raster structure, which is in contact with the form cylinder (10.1), and an adjustable doctor blade chamber (12) that is connected to a feed pump for liquid feed and a suction pump for liquid return,

whereby a coating unit is arranged directly or indirectly after the flexo print unit, and whereby in the coating unit (7) an applicator roller (14) is provided to form a common metering slot together with an associated metering roller (13)."

- 4.2 The dependent claims 2 to 4 in the granted version relate to favored embodiments of the subject matter of claim 1.
- 5. The opponent and the proprietor list the following publications related to the state of the art.
- 5.1 K1 = copy from the magazine "Offsetpraxis", 3/1993, pages 12-15 K1a = Enlargement of an illustration of copy K1

K2 = US-A-5 176 077

K3 = Copy from the reference book:" Flexodruck von A bis Z^4 ", Cover page, masthead, page 160

K4 = "Druckindustrie" 9001 ST. Gallen, No. 5, Mar. 11th 1993, "Nach Golde drängt, am Golde hängt doch alles ... ", Title page, masthead, pages 22 and 23 K5 = DE-A-4 122 990

K6 = EP-A-0499382

K7 = Magazine: "FlexoDruck", 2-93, pages 42-43, "Goldlackdruck löst Metall-Bronzierung ab⁵".

- The Opposition Division hereby determines that the documents K4, K5, and K6 were first mentioned by the opponent in a letter dated June 30th 1999, only after the end of the period for entering an opposition pursuant to article 99(1) EPC.
- 5.3 The Opposition Division also determines that the document K7 was mentioned by the proprietor for the first time in these opposition proceedings during the oral proceedings.

⁴ "Flexo printing from A to Z" (The Translator)

⁵ "Gold coat printing replaces metallic bronzing" (The Translator)

II Grounds for the decision:

1. Admissibility

All aforesaid grounds for opposition relating to inventive steps pursuant to article 1.1 56 EPC are admissible, since they comply with all requirements of articles 99(1) and 100 EPC as well as of rules 1(1) and 55 EPC.

- The objections presented by the opposition in the course of the oral proceedings 1.2 with respect to the clarity of the independent claim 1, as well as to the object definition of the granted version within the meaning of article 84 EPC, are not admissible in the opinion of the Opposition Division, since such faults do not constitute grounds for opposition within the meaning of article 100 EPC. Thus a more detailed statement of opinion is not required here.
- All the documents K1 to K7 mentioned in the opposition proceedings comply 1.3 with the regulations of article 54(2) EPC. The opinion that was already stated earlier in the interlocutory opinion of the Opposition Division of April 27th 1999, stating that the documents K1 and K1a fulfill the conditions of article 54(2) EPC, was not disputed by the proprietor.
- Documents submitted or mentioned with delay: 1.4
- Documents K4 and K6 1.4.1

Since the proprietor did not raise any objections against taking the publications listed below into consideration, and the Opposition Division is of the opinion that these documents are pertinent in the assessment of the question of the inventive step of claim 1 pursuant to article 56 EPC, the Opposition Division decided to admit these documents into the present opposition proceedings for decision making.

The document K4 does not represent any further independent state of the art with respect to the documents mentioned for the first time, but only serves to further clarify the technical teaching of document K1.

1.4.2 Documents K5 and K7:

The document K5, which was mentioned by the opponent only after the end of the period for entering an opposition pursuant to article 99(1) EPC, and the document K7 mentioned first by the proprietor during the oral proceedings, are already listed in the specification of the disputed patent: column 1, lines 7 to 16 and lines 30 to 38. Due to this, the Opposition Division takes the two documents K5 and K7 into consideration in the decision making in the present opposition proceedings.

2. Novelty:

The examination of the published state of the art has convinced the Opposition Division that the subject matter of the independent claim 1 is novel within the meaning of article 54 EPC. None of the documents, which are mentioned in the opposition proceedings, by itself discloses the features of the independent claim 1. Since this fact is not a matter of contention, a detailed substantiation is not required here.

- 3. Inventive step
- In the opinion of the Opposition Division, the subject matter of the independent claim 1 does not contain any inventive step within the meaning of article 56 EPC.
- 3.2 After careful examination of all documents involved in the opposition proceedings, the Opposition Division reaches the conclusion, in agreement with the opponent, that the document K1 (magazine: "Offsetpraxis") is to be seen as the closest related state of the art.
- 3.3 Documents K1, K1a, K4, and K7:
- 3.3.1 Based on the agreeing opinion of both parties, the Opposition Division determines that the following feature of the contested claim 1 is already described in document K1:

"Device in a rotary printing press for multi-color offset printing for coating materials to be printed with at least two coating units, whereby each coating unit comprises one impression cylinder (8), one form cylinder (10), and one applicator roller (11, 14), and the coating unit, upstream with respect to the sheet running direction ... is constructed, whereby ... a coating unit (7) is arranged directly or indirectly downstream, and whereby in the coating unit (7) an applicator roller (14) is provided, which is associated with an adjustable metering roller (13) to form a common metering slot."

The opponent could give *prima facie* evidence, that the multi-color offset rotary printing press "Fünffarben Roland 700", which is illustrated explicitly in the document K1, e.g. by means of a schematic drawing on page 13, also see document K1a, and which was presented to experts during the course of a so-called presentation in the Druckerei Busche in Dortmund (DE), contained two coating units, both of which were constructed with one form cylinder and a double-roller unit as a metering system for coating.

The same special embodiment of the presented printing press is seen in the schematic drawing in document K4.

3.3.2

Contrary to the argument by the proprietor, in addition to this, the document K1 discloses to a person skilled in the art the following, additional features of the contested claim 1:

"... the coating unit, upstream with respect to the sheet running direction, is executed as a flexo print unit, whereby the flexo print unit consists of the following elements:

a relief form carrying form cylinder (10.1), which is in contact with the impression cylinder, an applicator roller (11) with raster structure, which is in contact with the form cylinder (10.1), and an adjustable doctor blade chamber (12) ... whereby a coating unit (7) is located downstream of the flexo print unit ...

Even if the actually presented multi-color offset printing press did not possess a coating tower with flexo printing plate and doctor blade chamber, every person skilled in the art can learn explicitly from the text of each of the submitted documents K1, K4, and K7, that it is possible to apply a special gold- or silver printing ink, which is based on an aqueous fixing agent or is water-soluble, onto a material to be printed by means of a flexo printing plate, see for example document K1, page 13, left column, second paragraph – page 14, right column, document K4, page 22, right column, penultimate paragraph – page 23, right column line 4, as well as document K7, page 42, left column, first paragraph, middle column, second paragraph, and page 43, middle column, first paragraph.

3.3.3

In addition, each of the documents K1 and K7 describes a doctor blade chamber system with anilox roller as an ink feed system for the plate cylinder that is equipped with a flexo printing plate. In particular from Document K7, a person skilled in the art obtains the statement that the final version of the presented multicolor offset printing press will comprise an anilox roller and a doctor blade chamber system, which was not installed in the actually presented machine only due to time limitations, see document K7, page 43, middle column, first paragraph. Document K4 only discloses a short inking attachment with anilox roller.

3.3.4

Both documents K1 and K4 directly and unambiguously state that at first the gold- or silver printing ink on a water basis is applied to the material to be printed by flexo printing, and only afterwards, i.e. downstream in sheet running direction, a conventional coating unit, i.e. e.g. as installed in the presented printing press, is used to apply a so-called overprint coating or dispersion coating, see for example document K1, page 14, middle column, last paragraph, and document K4, page 22, right column, penultimate paragraph, and page 23, left column, second paragraph, and right column, first paragraph. Document K7 does not state anything relevant to this matter.

3.3.5 -

In consideration of the arguments presented by both parties, the subject matter of the independent claim 1 is different from the technical teaching of document K1 only in the following features:

- (a) "...doctor blade chamber (12), which is connected with a feed pump for liquid feed and with a suction pump for liquid return ..."
- 3.4 Thus, the published state of the art defines the problem of enabling the inline processing of quickly-evaporating, aqueous printing inks or printing coats with high pigment content or rough pigments in a simple manner, see patent specification, column 1, lines 47-51.
- 3.5 Based on the disclosure of document K1 illustrated above, the Opposition Division sides with the opinion of the opponent, that the object of the disputed patent is to improve the per se known doctor blade chamber system with anilox roller in such a way, so that a problem free transport of aforesaid special printing inks or printing coats can take place within the per se known doctor blade chamber system of a per se known flexo print unit within a multi-color offset rotary printing press.
- 3.6 Documents K2 and K6:
- 3.6.1

Both, the document K2, see e.g. column 2, lines 22-38, column 8, lines 37-52 and figures 1 and 2, and the document K6, see e.g. column 2, lines 3 –10, column 7, line 42 – column 8, line 14, and figures 1 and 2, not only clearly and directly described the feature (a) of the contested claim 1, which was mentioned above in item II 3.3.5, but also the exact reason why a feed pump is provided for liquid feed, and a suction pump is provided for liquid return.

Both of the known devices must be suitable for the problem-free transport of aqueous, quickly evaporating flexo printing inks, whereby document K6 explicitly names pigment particles in inks or coatings of that type.

Grounds for the decision (Annex)
Date: Aug. 25, 1999 Page 10

Application No.: 94 103 832.5

3.6.2

In view of the above mentioned object it is evidently obvious for a person skilled in the art to either install the ink pumps according to document K2, or the ink pumps according to document K6, into the doctor blade chamber system according to document K1, since he can learn from each of the two documents K2 and K6 independently how the aforesaid special problematic printing inks can be transported problem-free within the doctor blade chamber system. Hereby it is irrelevant for the present definition of the object, whether one deals with a pure flexo printing press without reference to an offset printing press as in document K6, or, as in document K2, with a flexo printing plate cylinder with the added function of a sheet transport cylinder or a type of satellite printing unit with respect to the counter pressure cylinder "(36)" of figures 1 and 2 of K2. Contrary to the arguments of the proprietor, the Opposition Division can not see how a person skilled in the art could be led away from the contested invention by the technical teaching of the documents K2 or K6. Through the identical problem definition in K2 and in K6 with respect to the stated problem definition of the disputed patent, the person skilled in the art almost receives a direct tip to install the ink pumps described in K2 and K6 to solve his task in the doctor blade chamber system inside a multi-color offset printing press of document K1.

- 3.6.3

 Thus, the subject matter of the contested claim1 follows in a manner that is obvious to a person skilled in the art.
- 3.7 Thus, the Opposition Division reaches the conclusion, that the subject matter of the independent claim 1 of the disputed patent with respect to the published state of the art, in particular with respect to a combination of the document K1, combined either with the document K2 or combined with the document K6, does contain **no inventive step** in the sense of article 56 EPC.

Grounds for the decision (Annex)
Date: Aug. 25, 1999 Page 11

3.8 The Opposition Division considers the remaining documents K3 and K5 mentioned in the course of the opposition proceedings as less relevant for the assessment of an inventive step, and cannot show a lack of an inventive step of the independent claim 1 by either considering each of the documents on its own, or by considering them in any combinations with each other, or with the other documents K1, K2, K4, K6, and K6, which were mentioned in the opposition proceedings.

Application No.: 94 103 832.5

3.9 Dependent claims 2-4:

In view of the present lack of an inventive step of the independent claim 1, and the fact that no motion by the proprietor is at hand to include any features of any dependent claim into the independent claim, there exists no need for a careful examination of the inventive steps of the claims 2-4 in question.

- 6. The opponent and the proprietor were given the opportunity to express their opinion in the sense of article 113(1) EPC with respect to all grounds on which the present decision is based.
- 7. Since the independent claim 1 in the granted version of the disputed European patent No. 0 620 115 does not meet the requirements of articles 52 and 56 EPC (Inventive step), due to the above mentioned grounds, the European patent had to be revoked in the sense of article 102(1) EPC.

European Patent Office DG2 - Opposition

Application No.

94 103 832.5

Patent No.

EP-B-0620115

Minutes of the oral proceedings before the Opposition Division

The proceedings were open to the public.

The proceedings started at 10 am on Aug. 3rd 1999

Members of the Opposition Division:

Chairperson:

THORMAEHLEN I A C

1. Member:

GREINER E F

2. Member:

AXTERS M E

Recorder of minutes: AXTERS M E

Present as/for the involved parties:

RICHTER

For proprietor:

MAN Roland Druckmaschinen AG

Accompanied by:

STAHL

STIEL

For opponent 1:

KOENIG & BAUER Aktiengesellschaft

The essential progress of the oral proceedings and a material statement of the involved parties are presented in the enclosure (EPA form 2906)

• After the Opposition Division's deliberations, the chairperson announced the following decision:

"The European patent is revoked"

The involved parties were informed that the minutes of the oral proceedings, as well as the written grounds of the decision including instructions on the rights to appeal would be served immediately.

The chairperson closed the proceedings at 11:30 am on Aug. 3rd 1999

/signature/

/signature/

THORMAELEN I A C

AXTERS M E

Chairperson

Recorder of minutes

Enclosure(s):

Minutes (Form 2906)

Communications/Minutes (Annex)

Page 5 Application No.: 94 103 832.5

Appendix to the minutes of the oral proceedings

The proceedings opened at 10 am.

Date: Aug. 25, 1999

The motions of the parties from the written proceedings were upheld unaltered.

The chairperson declared that it would be decided in the course of the proceedings whether the documents K4 ("Druckindustrie" 9001 St. Gallen, Nr. 5, March 11th 1993, "Nach Golde drängt, am Golde hängt doch alles ...", Title page, masthead, pages 22-23), K5 (DE-A-4 122 990), and K6 (EP-A-0 499 382), which were submitted later, would be taken into consideration in the opposition proceedings pursuant to article 114(1) EPC.

It followed a discussion on the inventive step of the granted claim 1, which was based on the teachings of documents K1 (copy from the magazine "Offsetpraxis", 3/1993, pages 12-15), K2 (US-A-5 176 077), K4, K5, and K6. Furthermore, the proprietor mentioned the document K7 (Magazine FlexoDruck, 2-93, pages 42-43), which is cited in the specification of the disputed patent.

In the course of the discussion the opponent still found fault with the clarity of parts of claims 1, as well as with the definition of the object.

Upon inquiry by the chairperson, the parties filed no further motions.

The proceedings were interrupted between 11:00 am and 11:29 am for the deliberation of the Opposition Division.

The chairwoman informed the parties that the documents K4, K5, and K6 are included in the proceedings, that the objections relating to clarity to not constitute an admissible ground for opposition pursuant to article 100 EPC, and announced the decision that the patent will be revoked pursuant to article 102(1) EPC.

The proceedings concluded at 11:30 am.

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA

TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

September 20, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 19"
Notice of Revocation and text of Decision to Revoke

(Christian König)

Page 1 of Tab 19 is tri-lingual. (The translator)

EPA/EPO/OEB D-80298 Munchen Tel 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465 European Patent Office

Directorate General 2

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Aug. 25th 1999

Ref.	Application No./Patent No.			
Jma/Gscho	94103832.5-2304/0620115			
Applicant/Proprietor				
MAN Roland Druckmaschinen AG				

DECISION ON THE REVOCATION OF THE EUROPEAN PATENT (ART.102(1) EPC)

In the oral proceedings of Aug. 3rd 199, the Opposition Division has decided:

The European Patent No. 0620115 is being revoked.

[] Additional decision:

The grounds for the decision are enclosed.

INSTRUCTIONS ON THE RIGHTS TO APPEAL

An appeal against this decision is admissible. We refer to the enclosed text of the articles 106 to 108 EPC.

OPPOSITION DIVISION:

THORMAEHLEN I A C

GREINER E F

Chairman

1st examiner

AXTERS M E 2nd examiner

Enclosures:

Grounds for decision (Form 2916, 11 pages) Text of articles 106 – 108 EPC (Form 2019) [x] Minutes of the oral proceedings

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REGISTERED MAIL WITH RETURN RECEIPT

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EPA form 2331	Feb. 99		7053340	to post office on: Aug. 20 1999
94103832.5	REVO+	D40		
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EPA/EPO/OEB D-80298 München Tel 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465 European Patent Office

Directorate General 2

KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg Germany

Date: Aug. 25th 1999

Ref.	Application No./Patent No.			
1P3.0620115 OPPO 01	94103832.5-2304/0620115			
Applicant/Proprietor				
MAN Roland Druckmaschinen AG				

DECISION ON THE REVOCATION OF THE EUROPEAN PATENT (ART.102(1) EPC)

In the oral proceedings of Aug. 3rd 199, the Opposition Division has decided:

The European Patent No. 0620115 is being revoked.

[] Additional decision:

The grounds for the decision are enclosed.

INSTRUCTIONS ON THE RIGHTS TO APPEAL

An appeal against this decision is admissible. We refer to the enclosed text of the articles 106 to 108 EPC.

OPPOSITION DIVISION:

THORMAEHLEN I A C

GREINER E F

Chairman

1st examiner

AXTERS M E 2nd examiner

Enclosures:

Grounds for decision (Form 2916, 11 pages) Text of articles 106 – 108 EPC (Form 2019)

[x] Minutes of the oral proceedings

+ F2936

REGISTERED MAIL WITH RETURN RECEIPT

EPA form 2331	Feb. 99	7053340	to post office on: Aug. 20 1999
94103832.5	REVO		

Date: Aug. 25, 1999

Page 1

Application No.: 94 103 832.5

I. Statement of facts and motions

1. European Patent No.: 0 620 115

Application No.:

94103832.5

Application date:

March 12th 1994

Priority:

April 4th 1993 DE 9305552

Publication of application:

October 19th 1994

Patentblatt 1994/42

Disclosure of patent granting:

April 23rd 1997

Patentblatt 1997/17

Proprietor:

MAN Roland Druckmaschinen AG

D-63075 Offenbach (DE)

Title:

Einrichtung for Inline-Beschichten von

Bedruckstoffen in Offsetdruckmachinen.¹

2. Opponents:

Koenig & Bauer Aktiengesellschaft

Friedrich-Koenig-Strasse 4

D-97080 Würzburg (DE)

Opposition filed:

by letter dated:

January 22nd 1998 January 20th 1998

- 3. Motions and development of the opposition proceedings
- 3.1
- a) In his letter dated Jan. 20th 1998, the opponent requests the revocation of the 3.1.1 patent in the granted version in its entirety, pursuant to article 102(1) EPC based on article 100a) EPC in combination with articles 52(1) and 56 EPC (Inventive step)
 - b) In addition, as an alternative he requested oral proceedings pursuant to article 116 EPC.

Device for inline coating of materials to be printed in offset printing presses. (The Translator)

3.1.2 In his letter dated July 14th 1998, the opponent upheld his previous motions, and presented additional arguments relating to the aforesaid state of the art.

3.2 Proprietor

- 3.2.1 In his letter dated May 5th 1998 the proprietor requested the upholding of the contested patent in unmodified form, and the dismissal of the opposition pursuant to article 102(2) EPC.
- a) In his letter dated August 8th 1998, the proprietor presented additional arguments relating to his motions already at hand.
 b) In addition, as an alternative he requested oral proceedings pursuant to article 116 EPC.

3.3 Opposition Division

In a communication pursuant to article 101(2) EPC and rules 58(1) to (4) EPC dated October 10th 1998, the Opposition division, in an interlocutory opinion on the patent subject matter, invited further statements of opinion from the two parties.

3.4 Proprietor

In a letter dated December 14th 1998, the proprietor renewed the motions he already had made, and presented further arguments relating to the aforesaid state of the art.

3.5 Opponent

In a letter dated February 10th 1999, the opponent upheld his previous motions, and submitted additional documents relating to the state of the art.

3.6 Proprietor

In a letter dated March 2nd 1999, the proprietor again upheld his previous motions.

3.7 Opposition Division
Summons for the 27th April 1999 for oral proceedings pursuant to rules 71(1) and 71A EPC, combined with a further interlocutory opinion on the subject matter of the patent.

3.8 In his letter dated June 30th 1999, the opponent again upheld his previous motions, and requested for the first time to include the following documents into the opposition proceedings, in particular to take them into consideration for the assessment of inventive steps:

K4 = "Druckindustrie²" 9001 St. Gallen, No. 5 Mar. 11th 1993 "Nach Golde drängt, am Golde hängt doch alles ...³", Title page, masthead, pages 22 and 23 K5 = DE-A-4 122 990 K6 = EP-A-0 499 382

- 3.9 The oral proceedings took place on August 3rd 1999. The course of the proceedings can be obtained from the minutes. Towards the end, the chairperson announced the decision to revoke the patent pursuant to article 102(1) EPC.
- 4. The decision is based on the following documents:
- 4.1 The independent claim 1 in the granted version has the following text:

 "1. Device in a rotary printing press for multi-color offset printing for coating material to be printed with at least two coating units, wherein each coating unit comprises one impression cylinder (8), one form cylinder (10), and one applicator roller (11, 14), and the coating unit that is upstream, in sheet running direction, is constructed as a flexo print unit (6), whereby the flexo print unit consists of the
 - a form cylinder (10.1) that carries a relief form and is in contact with the impression cylinder (8.1),

following elements:

² "Printing trade" (The Translator)

³ "To push for gold, all depends on gold ..." (The Translator)

2

an applicator roller (11) with raster structure, which is in contact with the form cylinder (10.1), and an adjustable doctor blade chamber (12) that is connected to a feed pump for liquid feed and a suction pump for liquid return,

whereby a coating unit is arranged directly or indirectly after the flexo print unit, and whereby in the coating unit (7) an applicator roller (14) is provided to form a common metering slot together with an associated metering roller (13)."

- 4.2 The dependent claims 2 to 4 in the granted version relate to favored embodiments of the subject matter of claim 1.
- 5. The opponent and the proprietor list the following publications related to the state of the art.
- 5.1 K1 = copy from the magazine "Offsetpraxis", 3/1993, pages 12-15 K1a = Enlargement of an illustration of copy K1

K2 = US-A-5 176 077

K3 = Copy from the reference book:" Flexodruck von A bis \mathbb{Z}^4 ", Cover page, masthead, page 160

K4 = "Druckindustrie" 9001 ST. Gallen, No. 5, Mar. 11th 1993, "Nach Golde drängt, am Golde hängt doch alles ... ", Title page, masthead, pages 22 and 23

K5 = DE-A-4 122 990

K6 = EP-A-0499382

K7 = Magazine: "FlexoDruck", 2-93, pages 42-43, "Goldlackdruck löst Metall-Bronzierung ab⁵".

- 5.2 The Opposition Division hereby determines that the documents K4, K5, and K6 were first mentioned by the opponent in a letter dated June 30th 1999, only after the end of the period for entering an opposition pursuant to article 99(1) EPC.
- 5.3 The Opposition Division also determines that the document K7 was mentioned by the proprietor for the first time in these opposition proceedings during the oral proceedings.

⁴ "Flexo printing from A to Z" (The Translator)

⁵ "Gold coat printing replaces metallic bronzing" (The Translator)

Application No.: 94 103 832.5

II Grounds for the decision:

1. Admissibility

1.1 All aforesaid grounds for opposition relating to inventive steps pursuant to article 56 EPC are admissible, since they comply with all requirements of articles 99(1) and 100 EPC as well as of rules 1(1) and 55 EPC.

- 1.2 The objections presented by the opposition in the course of the oral proceedings with respect to the clarity of the independent claim 1, as well as to the object definition of the granted version within the meaning of article 84 EPC, are not admissible in the opinion of the Opposition Division, since such faults do not constitute grounds for opposition within the meaning of article 100 EPC. Thus a more detailed statement of opinion is not required here.
- 1.3 All the documents K1 to K7 mentioned in the opposition proceedings comply with the regulations of article 54(2) EPC. The opinion that was already stated earlier in the interlocutory opinion of the Opposition Division of April 27th 1999, stating that the documents K1 and K1a fulfill the conditions of article 54(2) EPC, was not disputed by the proprietor.
- 1.4 Documents submitted or mentioned with delay:

1.4.1 Documents K4 and K6

Since the proprietor did not raise any objections against taking the publications listed below into consideration, and the Opposition Division is of the opinion that these documents are pertinent in the assessment of the question of the inventive step of claim 1 pursuant to article 56 EPC, the Opposition Division decided to admit these documents into the present opposition proceedings for decision making.

The document K4 does not represent any further independent state of the art with respect to the documents mentioned for the first time, but only serves to further clarify the technical teaching of document K1.

1.4.2 Documents K5 and K7:

The document K5, which was mentioned by the opponent only after the end of the period for entering an opposition pursuant to article 99(1) EPC, and the document K7 mentioned first by the proprietor during the oral proceedings, are already listed in the specification of the disputed patent: column 1, lines 7 to 16 and lines 30 to 38. Due to this, the Opposition Division takes the two documents K5 and K7 into consideration in the decision making in the present opposition proceedings.

2. Novelty:

The examination of the published state of the art has convinced the Opposition Division that the subject matter of the independent claim 1 is novel within the meaning of article 54 EPC. None of the documents, which are mentioned in the opposition proceedings, by itself discloses the features of the independent claim 1. Since this fact is not a matter of contention, a detailed substantiation is not required here.

- 3. Inventive step
- 3.1 In the opinion of the Opposition Division, the subject matter of the independent claim 1 does not contain any inventive step within the meaning of article 56 EPC.
- 3.2 After careful examination of all documents involved in the opposition proceedings, the Opposition Division reaches the conclusion, in agreement with the opponent, that the document K1 (magazine: "Offsetpraxis") is to be seen as the closest related state of the art.
- 3.3 Documents K1, K1a, K4, and K7:
- 3.3.1 Based on the agreeing opinion of both parties, the Opposition Division determines that the following feature of the contested claim 1 is already described in document K1:

"Device in a rotary printing press for multi-color offset printing for coating materials to be printed with at least two coating units, whereby each coating unit comprises one impression cylinder (8), one form cylinder (10), and one applicator roller (11, 14), and the coating unit, upstream with respect to the sheet running direction ... is constructed, whereby ... a coating unit (7) is arranged directly or indirectly downstream, and whereby in the coating unit (7) an applicator roller (14) is provided, which is associated with an adjustable metering roller (13) to form a common metering slot."

The opponent could give *prima facie* evidence, that the multi-color offset rotary printing press "Fünffarben Roland 700", which is illustrated explicitly in the document K1, e.g. by means of a schematic drawing on page 13, also see document K1a, and which was presented to experts during the course of a socalled presentation in the Druckerei Busche in Dortmund (DE), contained two coating units, both of which were constructed with one form cylinder and a double-roller unit as a metering system for coating.

The same special embodiment of the presented printing press is seen in the schematic drawing in document K4.

3.3.2

Contrary to the argument by the proprietor, in addition to this, the document K1 discloses to a person skilled in the art the following, additional features of the contested claim 1:

"... the coating unit, upstream with respect to the sheet running direction, is executed as a flexo print unit, whereby the flexo print unit consists of the following elements:

a relief form carrying form cylinder (10.1), which is in contact with the impression cylinder, an applicator roller (11) with raster structure, which is in contact with the form cylinder (10.1), and an adjustable doctor blade chamber (12) ... whereby a coating unit (7) is located downstream of the flexo print unit ... Even if the actually presented multi-color offset printing press did not possess a coating tower with flexo printing plate and doctor blade chamber, every person skilled in the art can learn explicitly from the text of each of the submitted documents K1, K4, and K7, that it is possible to apply a special gold- or silver printing ink, which is based on an aqueous fixing agent or is water-soluble, onto a material to be printed by means of a flexo printing plate, see for example document K1, page 13, left column, second paragraph – page 14, right column, document K4, page 22, right column, penultimate paragraph – page 23, right column line 4, as well as document K7, page 42, left column, first paragraph, middle column, second paragraph, and page 43, middle column, first paragraph.

3.3.3

In addition, each of the documents K1 and K7 describes a doctor blade chamber system with anilox roller as an ink feed system for the plate cylinder that is equipped with a flexo printing plate. In particular from Document K7, a person skilled in the art obtains the statement that the final version of the presented multicolor offset printing press will comprise an anilox roller and a doctor blade chamber system, which was not installed in the actually presented machine only due to time limitations, see document K7, page 43, middle column, first paragraph. Document K4 only discloses a short inking attachment with anilox roller.

3.3.4

Both documents K1 and K4 directly and unambiguously state that at first the gold- or silver printing ink on a water basis is applied to the material to be printed by flexo printing, and only afterwards, i.e. downstream in sheet running direction, a conventional coating unit, i.e. e.g. as installed in the presented printing press, is used to apply a so-called overprint coating or dispersion coating, see for example document K1, page 14, middle column, last paragraph, and document K4, page 22, right column, penultimate paragraph, and page 23, left column, second paragraph, and right column, first paragraph. Document K7 does not state anything relevant to this matter.

Page 9

Application No.: 94 103 832.5

3.3.5

In consideration of the arguments presented by both parties, the subject matter of the independent claim 1 is different from the technical teaching of document K1 only in the following features:

- (a) "...doctor blade chamber (12), which is connected with a feed pump for liquid feed and with a suction pump for liquid return ..."
- Thus, the published state of the art defines the problem of enabling the inline 3.4 processing of quickly-evaporating, aqueous printing inks or printing coats with high pigment content or rough pigments in a simple manner, see patent specification, column 1, lines 47-51.
- Based on the disclosure of document K1 illustrated above, the Opposition 3.5 Division sides with the opinion of the opponent, that the object of the disputed patent is to improve the per se known doctor blade chamber system with anilox roller in such a way, so that a problem free transport of aforesaid special printing inks or printing coats can take place within the per se known doctor blade chamber system of a per se known flexo print unit within a multi-color offset rotary printing press.
- 3.6 Documents K2 and K6:

3.6.1

Both, the document K2, see e.g. column 2, lines 22-38, column 8, lines 37-52 and figures 1 and 2, and the document K6, see e.g. column 2, lines 3 –10, column 7, line 42 – column 8, line 14, and figures 1 and 2, not only clearly and directly described the feature (a) of the contested claim 1, which was mentioned above in item II 3.3.5, but also the exact reason why a feed pump is provided for liquid feed, and a suction pump is provided for liquid return. Both of the known devices must be suitable for the problem-free transport of

aqueous, quickly evaporating flexo printing inks, whereby document K6 explicitly names pigment particles in inks or coatings of that type.

Grounds for the decision (Annex)
Date: Aug. 25, 1999 Page 10

Application No.: 94 103 832.5

3.6.2

In view of the above mentioned object it is evidently obvious for a person skilled in the art to either install the ink pumps according to document K2, or the ink pumps according to document K6, into the doctor blade chamber system according to document K1, since he can learn from each of the two documents K2 and K6 independently how the aforesaid special problematic printing inks can be transported problem-free within the doctor blade chamber system. Hereby it is irrelevant for the present definition of the object, whether one deals with a pure flexo printing press without reference to an offset printing press as in document K6, or, as in document K2, with a flexo printing plate cylinder with the added function of a sheet transport cylinder or a type of satellite printing unit with respect to the counter pressure cylinder "(36)" of figures 1 and 2 of K2. Contrary to the arguments of the proprietor, the Opposition Division can not see how a person skilled in the art could be led away from the contested invention by the technical teaching of the documents K2 or K6. Through the identical problem definition in K2 and in K6 with respect to the stated problem definition of the disputed patent, the person skilled in the art almost receives a direct tip to install the ink pumps described in K2 and K6 to solve his task in the doctor blade chamber system inside a multi-color offset printing press of document K1.

- 3.6.3

 Thus, the subject matter of the contested claim1 follows in a manner that is obvious to a person skilled in the art.
- 3.7 Thus, the Opposition Division reaches the conclusion, that the subject matter of the independent claim 1 of the disputed patent with respect to the published state of the art, in particular with respect to a combination of the document K1, combined either with the document K2 or combined with the document K6, does contain **no inventive step** in the sense of article 56 EPC.

3.8 The Opposition Division considers the remaining documents K3 and K5 mentioned in the course of the opposition proceedings as less relevant for the assessment of an inventive step, and cannot show a lack of an inventive step of the independent claim 1 by either considering each of the documents on its own, or by considering them in any combinations with each other, or with the other documents K1, K2, K4, K6, and K6, which were mentioned in the opposition proceedings.

Application No.: 94 103 832.5

3.9 Dependent claims 2-4:

In view of the present lack of an inventive step of the independent claim 1, and the fact that no motion by the proprietor is at hand to include any features of any dependent claim into the independent claim, there exists no need for a careful examination of the inventive steps of the claims 2-4 in question.

- 6. The opponent and the proprietor were given the opportunity to express their opinion in the sense of article 113(1) EPC with respect to all grounds on which the present decision is based.
- 7. Since the independent claim 1 in the granted version of the disputed European patent No. 0 620 115 does not meet the requirements of articles 52 and 56 EPC (Inventive step), due to the above mentioned grounds, the European patent had to be revoked in the sense of article 102(1) EPC.

European Patent Office DG2 - Opposition

Application No.

94 103 832.5

Patent No.

EP-B-0620115

Minutes of the oral proceedings before the Opposition Division

The proceedings were open to the public.

The proceedings started at 10 am on Aug. 3rd 1999

Members of the Opposition Division:

Chairperson:

THORMAEHLEN I A C

1. Member:

GREINER E F

2. Member:

AXTERS M E

Recorder of minutes: AXTERS M E

Present as/for the involved parties:

RICHTER

For proprietor:

MAN Roland Druckmaschinen AG

Accompanied by:

STAHL

STIEL

For opponent 1:

KOENIG & BAUER Aktiengesellschaft

The essential progress of the oral proceedings and a material statement of the involved parties are presented in the enclosure (EPA form 2906)

• After the Opposition Division's deliberations, the chairperson announced the following decision:

"The European patent is revoked"

The involved parties were informed that the minutes of the oral proceedings, as well as the written grounds of the decision including instructions on the rights to appeal would be served immediately.

The chairperson closed the proceedings at 11:30 am on Aug. 3rd 1999

/signature/

/signature/

THORMAELEN I A C Chairperson

AXTERS M E

Recorder of minutes

Enclosure(s):

Minutes (Form 2906)

Communications/Minutes (Annex)

Date: Aug. 25, 1999 Page 5 Application No.: 94 103 832.5

Appendix to the minutes of the oral proceedings

The proceedings opened at 10 am.

The motions of the parties from the written proceedings were upheld unaltered.

The chairperson declared that it would be decided in the course of the proceedings whether the documents K4 ("Druckindustrie" 9001 St. Gallen, Nr. 5, March 11th 1993, "Nach Golde drängt, am Golde hängt doch alles ...", Title page, masthead, pages 22-23), K5 (DE-A-4 122 990), and K6 (EP-A-0 499 382), which were submitted later, would be taken into consideration in the opposition proceedings pursuant to article 114(1) EPC.

It followed a discussion on the inventive step of the granted claim 1, which was based on the teachings of documents K1 (copy from the magazine "Offsetpraxis", 3/1993, pages 12-15), K2 (US-A-5 176 077), K4, K5, and K6. Furthermore, the proprietor mentioned the document K7 (Magazine FlexoDruck, 2-93, pages 42-43), which is cited in the specification of the disputed patent.

In the course of the discussion the opponent still found fault with the clarity of parts of claims 1, as well as with the definition of the object.

Upon inquiry by the chairperson, the parties filed no further motions.

The proceedings were interrupted between 11:00 am and 11:29 am for the deliberation of the Opposition Division.

The chairwoman informed the parties that the documents K4, K5, and K6 are included in the proceedings, that the objections relating to clarity to not constitute an admissible ground for opposition pursuant to article 100 EPC, and announced the decision that the patent will be revoked pursuant to article 102(1) EPC.

The proceedings concluded at 11:30 am.

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA

TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

September 20, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 19"
Notice of Revocation and text of Decision to Revoke

(Christian König)

Page 1 of Tab 19 is tri-lingual. (The translator)

EPA/EPO/OEB D-80298 Munchen Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465 European Patent Office

Directorate General 2

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Aug. 25th 1999

Ref. Jma/Gscho	Application No./Patent No. 94103832.5-2304/0620115
Applicant/Proprietor	
MAN Roland Druckmaschinen AG	

DECISION ON THE REVOCATION OF THE EUROPEAN PATENT (ART.102(1) EPC)

In the oral proceedings of Aug. 3rd 199, the Opposition Division has decided:

The European Patent No. 0620115 is being revoked.

[] Additional decision:

The grounds for the decision are enclosed.

INSTRUCTIONS ON THE RIGHTS TO APPEAL

An appeal against this decision is admissible. We refer to the enclosed text of the articles 106 to 108 EPC.

OPPOSITION DIVISION:

THORMAEHLEN I A C

GREINER E F

Chairman

1st examiner

AXTERS M E 2nd examiner

Enclosures:

Grounds for decision (Form 2916, 11 pages) Text of articles 106 – 108 EPC (Form 2019)

[x] Minutes of the oral proceedings

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REGISTERED MAIL WITH RETURN RECEIPT

EPA form 2331	Feb. 99			to post office on: Aug. 20 1999
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EPA/EPO/OEB D-80298 Munchen Tei 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465 European Patent Office

Directorate General 2

KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg Germany

Date: Aug. 25th 1999

Ref. 1P3.0620115 OPPO 01	Application No./Patent No. 94103832.5-2304/0620115
Applicant/Proprietor MAN Roland Druckmaschinen AG	•

DECISION ON THE REVOCATION OF THE EUROPEAN PATENT (ART.102(1) EPC)

In the oral proceedings of Aug. 3rd 199, the Opposition Division has decided:

The European Patent No. 0620115 is being revoked.

[] Additional decision:

The grounds for the decision are enclosed.

INSTRUCTIONS ON THE RIGHTS TO APPEAL

An appeal against this decision is admissible. We refer to the enclosed text of the articles 106 to 108 EPC.

OPPOSITION DIVISION:

THORMAEHLEN I A C

GREINER E F

Chairman

1st examiner

AXTERS M E 2nd examiner

Enclosures:

Grounds for decision (Form 2916, 11 pages) Text of articles 106 – 108 EPC (Form 2019)

[x] Minutes of the oral proceedings

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REGISTERED MAIL WITH RETURN RECEIPT

EPA form 2331	Feb. 99	7053340	to post office on: Aug. 20 1999
94103832.5	REVO	,	

Statement of facts and motions I.

Publication of application:

1. European Patent No.:

Application No.:

Application date:

Priority:

0 620 115

94103832.5

March 12th 1994

April 4th 1993

DE 9305552

October 19th 1994

Patentblatt 1994/42

April 23rd 1997 Disclosure of patent granting:

Patentblatt 1997/17

Proprietor:

MAN Roland Druckmaschinen AG

D-63075 Offenbach (DE)

Title:

Einrichtung for Inline-Beschichten von

Bedruckstoffen in Offsetdruckmachinen.¹

2. Opponents: Koenig & Bauer Aktiengesellschaft

Friedrich-Koenig-Strasse 4

D-97080 Würzburg (DE) January 22nd 1998

Opposition filed:

January 20th 1998 by letter dated:

- Motions and development of the opposition proceedings 3.
- 3.1
- a) In his letter dated Jan. 20th 1998, the opponent requests the revocation of the 3.1.1 patent in the granted version in its entirety, pursuant to article 102(1) EPC based on article 100a) EPC in combination with articles 52(1) and 56 EPC (Inventive step)
 - b) In addition, as an alternative he requested oral proceedings pursuant to article 116 EPC.

¹ Device for inline coating of materials to be printed in offset printing presses. (The Translator)

- 3.1.2 In his letter dated July 14th 1998, the opponent upheld his previous motions, and presented additional arguments relating to the aforesaid state of the art.
- 3.2 Proprietor
- 3.2.1 In his letter dated May 5th 1998 the proprietor requested the upholding of the contested patent in unmodified form, and the dismissal of the opposition pursuant to article 102(2) EPC.
- a) In his letter dated August 8th 1998, the proprietor presented additional arguments relating to his motions already at hand.
 b) In addition, as an alternative he requested oral proceedings pursuant to article 116 EPC.
- 3.3 Opposition Division
 In a communication pursuant to article 101(2) EPC and rules 58(1) to (4) EPC dated October 10th 1998, the Opposition division, in an interlocutory opinion on the patent subject matter, invited further statements of opinion from the two parties.
- 3.4 Proprietor
 In a letter dated December 14th 1998, the proprietor renewed the motions he already had made, and presented further arguments relating to the aforesaid state of the art.
- 3.5 Opponent
 In a letter dated February 10th 1999, the opponent upheld his previous motions, and submitted additional documents relating to the state of the art.
- 3.6 Proprietor
 In a letter dated March 2nd 1999, the proprietor again upheld his previous motions.

3.7 Opposition Division
Summons for the 27th April 1999 for oral proceedings pursuant to rules 71(1) and 71A EPC, combined with a further interlocutory opinion on the subject matter of the patent.

- In his letter dated June 30th 1999, the opponent again upheld his previous motions, and requested for the first time to include the following documents into the opposition proceedings, in particular to take them into consideration for the assessment of inventive steps:
 - K4 = "Druckindustrie²" 9001 St. Gallen, No. 5 Mar. 11th 1993 "Nach Golde drängt, am Golde hängt doch alles ...³", Title page, masthead, pages 22 and 23 K5 = DE-A-4 122 990 K6 = EP-A-0 499 382
- 3.9 The oral proceedings took place on August 3rd 1999. The course of the proceedings can be obtained from the minutes. Towards the end, the chairperson announced the decision to revoke the patent pursuant to article 102(1) EPC.
- 4. The decision is based on the following documents:
- 4.1 The independent claim 1 in the granted version has the following text:

 "1. Device in a rotary printing press for multi-color offset printing for coating material to be printed with at least two coating units, wherein each coating unit comprises one impression cylinder (8), one form cylinder (10), and one applicator roller (11, 14), and the coating unit that is upstream, in sheet running direction, is constructed as a flexo print unit (6), whereby the flexo print unit consists of the following elements:

 a form cylinder (10.1) that carries a relief form and is in contact with the impression cylinder (8.1),

² "Printing trade" (The Translator)

³ "To push for gold, all depends on gold ..." (The Translator)

an applicator roller (11) with raster structure, which is in contact with the form cylinder (10.1), and an adjustable doctor blade chamber (12) that is connected to a feed pump for liquid feed and a suction pump for liquid return,

whereby a coating unit is arranged directly or indirectly after the flexo print unit, and whereby in the coating unit (7) an applicator roller (14) is provided to form a common metering slot together with an associated metering roller (13)."

- 4.2 The dependent claims 2 to 4 in the granted version relate to favored embodiments of the subject matter of claim 1.
- 5. The opponent and the proprietor list the following publications related to the state of the art.
- 5.1 K1 = copy from the magazine "Offsetpraxis", 3/1993, pages 12-15 K1a = Enlargement of an illustration of copy K1

K2 = US-A-5 176 077

K3 = Copy from the reference book:" Flexodruck von A bis Z^4 ", Cover page, masthead, page 160

K4 = "Druckindustrie" 9001 ST. Gallen, No. 5, Mar. 11th 1993, "Nach Golde drängt, am Golde hängt doch alles ... ", Title page, masthead, pages 22 and 23 K5 = DE-A-4 122 990

K6 = EP-A-0499382

K7 = Magazine: "FlexoDruck", 2-93, pages 42-43, "Goldlackdruck löst Metall-Bronzierung ab⁵".

- The Opposition Division hereby determines that the documents K4, K5, and K6 were first mentioned by the opponent in a letter dated June 30th 1999, only after the end of the period for entering an opposition pursuant to article 99(1) EPC.
- 5.3 The Opposition Division also determines that the document K7 was mentioned by the proprietor for the first time in these opposition proceedings during the oral proceedings.

⁴ "Flexo printing from A to Z" (The Translator)

⁵ "Gold coat printing replaces metallic bronzing" (The Translator)

Application No.: 94 103 832.5

II Grounds for the decision:

1. Admissibility

- All aforesaid grounds for opposition relating to inventive steps pursuant to article 1.1 56 EPC are admissible, since they comply with all requirements of articles 99(1) and 100 EPC as well as of rules 1(1) and 55 EPC.
- The objections presented by the opposition in the course of the oral proceedings 1.2 with respect to the clarity of the independent claim 1, as well as to the object definition of the granted version within the meaning of article 84 EPC, are not admissible in the opinion of the Opposition Division, since such faults do not constitute grounds for opposition within the meaning of article 100 EPC. Thus a more detailed statement of opinion is not required here.
- All the documents K1 to K7 mentioned in the opposition proceedings comply 1.3 with the regulations of article 54(2) EPC. The opinion that was already stated earlier in the interlocutory opinion of the Opposition Division of April 27th 1999, stating that the documents K1 and K1a fulfill the conditions of article 54(2) EPC, was not disputed by the proprietor.
- Documents submitted or mentioned with delay: 1.4
- Documents K4 and K6

Since the proprietor did not raise any objections against taking the publications listed below into consideration, and the Opposition Division is of the opinion that these documents are pertinent in the assessment of the question of the inventive step of claim 1 pursuant to article 56 EPC, the Opposition Division decided to admit these documents into the present opposition proceedings for decision making.

The document K4 does not represent any further independent state of the art with respect to the documents mentioned for the first time, but only serves to further clarify the technical teaching of document K1.

1.4.2 Documents K5 and K7:

The document K5, which was mentioned by the opponent only after the end of the period for entering an opposition pursuant to article 99(1) EPC, and the document K7 mentioned first by the proprietor during the oral proceedings, are already listed in the specification of the disputed patent: column 1, lines 7 to 16 and lines 30 to 38. Due to this, the Opposition Division takes the two documents K5 and K7 into consideration in the decision making in the present opposition proceedings.

2. Novelty:

The examination of the published state of the art has convinced the Opposition Division that the subject matter of the independent claim 1 is novel within the meaning of article 54 EPC. None of the documents, which are mentioned in the opposition proceedings, by itself discloses the features of the independent claim 1. Since this fact is not a matter of contention, a detailed substantiation is not required here.

- 3. Inventive step
- 3.1 In the opinion of the Opposition Division, the subject matter of the independent claim 1 does not contain any inventive step within the meaning of article 56 EPC.
- 3.2 After careful examination of all documents involved in the opposition proceedings, the Opposition Division reaches the conclusion, in agreement with the opponent, that the document K1 (magazine: "Offsetpraxis") is to be seen as the closest related state of the art.
- 3.3 Documents K1, K1a, K4, and K7:
- 3.3.1 Based on the agreeing opinion of both parties, the Opposition Division determines that the following feature of the contested claim 1 is already described in document K1:

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"Device in a rotary printing press for multi-color offset printing for coating materials to be printed with at least two coating units, whereby each coating unit comprises one impression cylinder (8), one form cylinder (10), and one applicator roller (11, 14), and the coating unit, upstream with respect to the sheet running direction ... is constructed, whereby ... a coating unit (7) is arranged directly or indirectly downstream, and whereby in the coating unit (7) an applicator roller (14) is provided, which is associated with an adjustable metering roller (13) to form a common metering slot."

The opponent could give *prima facie* evidence, that the multi-color offset rotary printing press "Fünffarben Roland 700", which is illustrated explicitly in the document K1, e.g. by means of a schematic drawing on page 13, also see document K1a, and which was presented to experts during the course of a so-called presentation in the Druckerei Busche in Dortmund (DE), contained two coating units, both of which were constructed with one form cylinder and a double-roller unit as a metering system for coating.

The same special embodiment of the presented printing press is seen in the schematic drawing in document K4.

3.3.2

Contrary to the argument by the proprietor, in addition to this, the document K1 discloses to a person skilled in the art the following, additional features of the contested claim 1:

"... the coating unit, upstream with respect to the sheet running direction, is executed as a flexo print unit, whereby the flexo print unit consists of the following elements:

a relief form carrying form cylinder (10.1), which is in contact with the impression cylinder, an applicator roller (11) with raster structure, which is in contact with the form cylinder (10.1), and an adjustable doctor blade chamber (12) ... whereby a coating unit (7) is located downstream of the flexo print unit ...

Even if the actually presented multi-color offset printing press did not possess a coating tower with flexo printing plate and doctor blade chamber, every person skilled in the art can learn explicitly from the text of each of the submitted documents K1, K4, and K7, that it is possible to apply a special gold- or silver printing ink, which is based on an aqueous fixing agent or is water-soluble, onto a material to be printed by means of a flexo printing plate, see for example document K1, page 13, left column, second paragraph – page 14, right column, document K4, page 22, right column, penultimate paragraph – page 23, right column line 4, as well as document K7, page 42, left column, first paragraph, middle column, second paragraph, and page 43, middle column, first paragraph.

In addition, each of the documents K1 and K7 describes a doctor blade chamber system with anilox roller as an ink feed system for the plate cylinder that is equipped with a flexo printing plate. In particular from Document K7, a person skilled in the art obtains the statement that the final version of the presented multicolor offset printing press will comprise an anilox roller and a doctor blade chamber system, which was not installed in the actually presented machine only due to time limitations, see document K7, page 43, middle column, first paragraph. Document K4 only discloses a short inking attachment with anilox roller.

3.3.4

Both documents K1 and K4 directly and unambiguously state that at first the gold- or silver printing ink on a water basis is applied to the material to be printed by flexo printing, and only afterwards, i.e. downstream in sheet running direction, a conventional coating unit, i.e. e.g. as installed in the presented printing press, is used to apply a so-called overprint coating or dispersion coating, see for example document K1, page 14, middle column, last paragraph, and document K4, page 22, right column, penultimate paragraph, and page 23, left column, second paragraph, and right column, first paragraph. Document K7 does not state anything relevant to this matter.

PARAMETER OF CONTROL

3.3.5

In consideration of the arguments presented by both parties, the subject matter of the independent claim 1 is different from the technical teaching of document K1 only in the following features:

(a) "...doctor blade chamber (12), which is connected with a feed pump for liquid feed and with a suction pump for liquid return ..."

- 3.4 Thus, the published state of the art defines the problem of enabling the inline processing of quickly-evaporating, aqueous printing inks or printing coats with high pigment content or rough pigments in a simple manner, see patent specification, column 1, lines 47-51.
- 3.5 Based on the disclosure of document K1 illustrated above, the Opposition Division sides with the opinion of the opponent, that the object of the disputed patent is to improve the per se known doctor blade chamber system with anilox roller in such a way, so that a problem free transport of aforesaid special printing inks or printing coats can take place within the per se known doctor blade chamber system of a per se known flexo print unit within a multi-color offset rotary printing press.
- 3.6 Documents K2 and K6:

3.6.1

Both, the document K2, see e.g. column 2, lines 22-38, column 8, lines 37-52 and figures 1 and 2, and the document K6, see e.g. column 2, lines 3 –10, column 7, line 42 – column 8, line 14, and figures 1 and 2, not only clearly and directly described the feature (a) of the contested claim 1, which was mentioned above in item II 3.3.5, but also the exact reason why a feed pump is provided for liquid feed, and a suction pump is provided for liquid return.

Both of the known devices must be suitable for the problem-free transport of aqueous, quickly evaporating flexo printing inks, whereby document K6 explicitly names pigment particles in inks or coatings of that type.

Grounds for the decision (Annex)
Date: Aug. 25, 1999 Page 10

Application No.: 94 103 832.5

3.6.2

In view of the above mentioned object it is evidently obvious for a person skilled in the art to either install the ink pumps according to document K2, or the ink pumps according to document K6, into the doctor blade chamber system according to document K1, since he can learn from each of the two documents K2 and K6 independently how the aforesaid special problematic printing inks can be transported problem-free within the doctor blade chamber system. Hereby it is irrelevant for the present definition of the object, whether one deals with a pure flexo printing press without reference to an offset printing press as in document K6, or, as in document K2, with a flexo printing plate cylinder with the added function of a sheet transport cylinder or a type of satellite printing unit with respect to the counter pressure cylinder "(36)" of figures 1 and 2 of K2. Contrary to the arguments of the proprietor, the Opposition Division can not see how a person skilled in the art could be led away from the contested invention by the technical teaching of the documents K2 or K6. Through the identical problem definition in K2 and in K6 with respect to the stated problem definition of the disputed patent, the person skilled in the art almost receives a direct tip to install the ink pumps described in K2 and K6 to solve his task in the doctor blade chamber system inside a multi-color offset printing press of document K1.

- 3.6.3

 Thus, the subject matter of the contested claim1 follows in a manner that is obvious to a person skilled in the art.
- 3.7 Thus, the Opposition Division reaches the conclusion, that the subject matter of the independent claim 1 of the disputed patent with respect to the published state of the art, in particular with respect to a combination of the document K1, combined either with the document K2 or combined with the document K6, does contain **no inventive step** in the sense of article 56 EPC.

Application No.: 94 103 832.5

3.8 The Opposition Division considers the remaining documents K3 and K5 mentioned in the course of the opposition proceedings as less relevant for the assessment of an inventive step, and cannot show a lack of an inventive step of the independent claim 1 by either considering each of the documents on its own, or by considering them in any combinations with each other, or with the other documents K1, K2, K4, K6, and K6, which were mentioned in the opposition proceedings.

3.9 Dependent claims 2-4:

In view of the present lack of an inventive step of the independent claim 1, and the fact that no motion by the proprietor is at hand to include any features of any dependent claim into the independent claim, there exists no need for a careful examination of the inventive steps of the claims 2-4 in question.

- 6. The opponent and the proprietor were given the opportunity to express their opinion in the sense of article 113(1) EPC with respect to all grounds on which the present decision is based.
- 7. Since the independent claim 1 in the granted version of the disputed European patent No. 0 620 115 does not meet the requirements of articles 52 and 56 EPC (Inventive step), due to the above mentioned grounds, the European patent had to be revoked in the sense of article 102(1) EPC.



European Patent Office DG2 – Opposition

Application No.

94 103 832.5

Patent No.

EP-B-0620115

Minutes of the oral proceedings before the Opposition Division

The proceedings were open to the public.

The proceedings started at 10 am on Aug. 3rd 1999

Members of the Opposition Division:

Chairperson:

THORMAEHLEN I A C

1. Member:

GREINER E F

2. Member:

AXTERS M E

Recorder of minutes: AXTERS M E

Present as/for the involved parties:

RICHTER

For proprietor:

MAN Roland Druckmaschinen AG

Accompanied by:

STAHL

STIEL

For opponent 1:

KOENIG & BAUER Aktiengesellschaft

The essential progress of the oral proceedings and a material statement of the involved parties are presented in the enclosure (EPA form 2906)

 After the Opposition Division's deliberations, the chairperson announced the following decision:

"The European patent is revoked"

The involved parties were informed that the minutes of the oral proceedings, as well as the written grounds of the decision including instructions on the rights to appeal would be served immediately.

The chairperson closed the proceedings at 11:30 am on Aug. 3rd 1999

/signature/ THORMAELEN I A C /signature/ AXTERS M E

Chairperson

Recorder of minutes

Enclosure(s):

Minutes (Form 2906)

Communications/Minutes (Annex)

Page 5 Application No.: 94 103 832.5

Appendix to the minutes of the oral proceedings

The proceedings opened at 10 am.

Date: Aug. 25, 1999

The motions of the parties from the written proceedings were upheld unaltered.

The chairperson declared that it would be decided in the course of the proceedings whether the documents K4 ("Druckindustrie" 9001 St. Gallen, Nr. 5, March 11th 1993, "Nach Golde drängt, am Golde hängt doch alles ...", Title page, masthead, pages 22-23), K5 (DE-A-4 122 990), and K6 (EP-A-0 499 382), which were submitted later, would be taken into consideration in the opposition proceedings pursuant to article 114(1) EPC.

It followed a discussion on the inventive step of the granted claim 1, which was based on the teachings of documents K1 (copy from the magazine "Offsetpraxis", 3/1993, pages 12-15), K2 (US-A-5 176 077), K4, K5, and K6. Furthermore, the proprietor mentioned the document K7 (Magazine FlexoDruck, 2-93, pages 42-43), which is cited in the specification of the disputed patent.

In the course of the discussion the opponent still found fault with the clarity of parts of claims 1, as well as with the definition of the object.

Upon inquiry by the chairperson, the parties filed no further motions.

The proceedings were interrupted between 11:00 am and 11:29 am for the deliberation of the Opposition Division.

The chairwoman informed the parties that the documents K4, K5, and K6 are included in the proceedings, that the objections relating to clarity to not constitute an admissible ground for opposition pursuant to article 100 EPC, and announced the decision that the patent will be revoked pursuant to article 102(1) EPC.

The proceedings concluded at 11:30 am.

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA

TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

September 20, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 19"
. Notice of Revocation and text of Decision to Revoke

(Christian König)

Page 1 of Tab 19 is tri-lingual. (The translator)

EPA/EPO/OEB D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465 European Patent Office

Directorate General 2

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Aug. 25th 1999

Ref. Jma/Gscho	Application No./Patent No. 94103832.5-2304/0620115
Applicant/Proprietor	
MAN Roland Druckmaschinen AG	

DECISION ON THE REVOCATION OF THE EUROPEAN PATENT (ART.102(1) EPC)

In the oral proceedings of Aug. 3rd 199, the Opposition Division has decided:

The European Patent No. 0620115 is being revoked.

[] Additional decision:

The grounds for the decision are enclosed.

INSTRUCTIONS ON THE RIGHTS TO APPEAL

An appeal against this decision is admissible. We refer to the enclosed text of the articles 106 to 108 EPC.

OPPOSITION DIVISION:

THORMAEHLEN I A C

GREINER E F

Chairman

1st examiner

AXTERS M E 2nd examiner

Enclosures:

Grounds for decision (Form 2916, 11 pages) Text of articles 106 – 108 EPC (Form 2019) [x] Minutes of the oral proceedings

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Directorate General 2

KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg Germany

Date: Aug. 25th 1999

Ref. 1P3.0620115 OPPO 01	Application No./Patent No. 94103832.5-2304/0620115
Applicant/Proprietor MAN Roland Druckmaschinen AG	

DECISION ON THE REVOCATION OF THE EUROPEAN PATENT (ART.102(1) EPC)

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[] Additional decision:

The grounds for the decision are enclosed.

INSTRUCTIONS ON THE RIGHTS TO APPEAL

An appeal against this decision is admissible. We refer to the enclosed text of the articles 106 to 108 EPC.

OPPOSITION DIVISION:

THORMAEHLEN I A C

GREINER E F

Chairman

1st examiner

AXTERS M E 2nd examiner

Enclosures:

Grounds for decision (Form 2916, 11 pages) Text of articles 106 – 108 EPC (Form 2019)

[x] Minutes of the oral proceedings

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REGISTERED MAIL WITH RETURN RECEIPT

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Page 1

Application No.: 94 103 832.5

Statement of facts and motions I.

1. European Patent No.:

Application No.:

Application date:

Priority:

0 620 115

94103832.5

March 12th 1994

April 4th 1993 DE 9305552

Publication of application:

October 19th 1994

Patentblatt 1994/42

Disclosure of patent granting:

April 23rd 1997

Patentblatt 1997/17

Proprietor:

MAN Roland Druckmaschinen AG

D-63075 Offenbach (DE)

Title:

Einrichtung for Inline-Beschichten von

Bedruckstoffen in Offsetdruckmachinen.¹

2. Opponents: Koenig & Bauer Aktiengesellschaft

Friedrich-Koenig-Strasse 4 D-97080 Würzburg (DE)

Opposition filed:

January 22nd 1998

by letter dated:

January 20th 1998

- Motions and development of the opposition proceedings 3.
- 3.1
- a) In his letter dated Jan. 20th 1998, the opponent requests the revocation of the 3.1.1 patent in the granted version in its entirety, pursuant to article 102(1) EPC based on article 100a) EPC in combination with articles 52(1) and 56 EPC (Inventive step)
 - b) In addition, as an alternative he requested oral proceedings pursuant to article 116 EPC.

¹ Device for inline coating of materials to be printed in offset printing presses. (The Translator)

3.1.2 In his letter dated July 14th 1998, the opponent upheld his previous motions, and presented additional arguments relating to the aforesaid state of the art.

3.2 Proprietor

- 3.2.1 In his letter dated May 5th 1998 the proprietor requested the upholding of the contested patent in unmodified form, and the dismissal of the opposition pursuant to article 102(2) EPC.
- a) In his letter dated August 8th 1998, the proprietor presented additional arguments relating to his motions already at hand.
 b) In addition, as an alternative he requested oral proceedings pursuant to article 116 EPC.
- 3.3 Opposition Division
 In a communication pursuant to article 101(2) EPC and rules 58(1) to (4) EPC dated October 10th 1998, the Opposition division, in an interlocutory opinion on the patent subject matter, invited further statements of opinion from the two parties.
- 3.4 Proprietor
 In a letter dated December 14th 1998, the proprietor renewed the motions he already had made, and presented further arguments relating to the aforesaid state of the art.
- 3.5 Opponent
 In a letter dated February 10th 1999, the opponent upheld his previous motions, and submitted additional documents relating to the state of the art.
- 3.6 Proprietor
 In a letter dated March 2nd 1999, the proprietor again upheld his previous motions.

Application No.: 94 103 832.5 Date: Aug. 25, 1999 Page 3

Opposition Division 3.7 Summons for the 27th April 1999 for oral proceedings pursuant to rules 71(1) and 71A EPC, combined with a further interlocutory opinion on the subject matter of the patent.

In his letter dated June 30th 1999, the opponent again upheld his previous motions, 3.8 and requested for the first time to include the following documents into the opposition proceedings, in particular to take them into consideration for the assessment of inventive steps:

K4 = "Druckindustrie²" 9001 St. Gallen, No. 5 Mar. 11th 1993 "Nach Golde drängt, am Golde hängt doch alles ... 3", Title page, masthead, pages 22 and 23 K5 = DE-A-4 122 990K6 = EP-A-0499382

- The oral proceedings took place on August 3rd 1999. The course of the 3.9 proceedings can be obtained from the minutes. Towards the end, the chairperson announced the decision to revoke the patent pursuant to article 102(1) EPC.
- The decision is based on the following documents: 4.
- The independent claim 1 in the granted version has the following text: 4.1 Device in a rotary printing press for multi-color offset printing for coating material to be printed with at least two coating units, wherein each coating unit comprises one impression cylinder (8), one form cylinder (10), and one applicator roller (11, 14), and the coating unit that is upstream, in sheet running direction, is constructed as a flexo print unit (6), whereby the flexo print unit consists of the following elements: a form cylinder (10.1) that carries a relief form and is in contact with the impression cylinder (8.1),

² "Printing trade" (The Translator)

³ "To push for gold, all depends on gold ..." (The Translator)

an applicator roller (11) with raster structure, which is in contact with the form cylinder (10.1), and an adjustable doctor blade chamber (12) that is connected to a feed pump for liquid feed and a suction pump for liquid return,

whereby a coating unit is arranged directly or indirectly after the flexo print unit, and whereby in the coating unit (7) an applicator roller (14) is provided to form a common metering slot together with an associated metering roller (13)."

- 4.2 The dependent claims 2 to 4 in the granted version relate to favored embodiments of the subject matter of claim 1.
- 5. The opponent and the proprietor list the following publications related to the state of the art.
- 5.1 K1 = copy from the magazine "Offsetpraxis", 3/1993, pages 12-15 K1a = Enlargement of an illustration of copy K1

K2 = US-A-5 176 077

K3 = Copy from the reference book:" Flexodruck von A bis Z^4 ", Cover page, masthead, page 160

K4 = "Druckindustrie" 9001 ST. Gallen, No. 5, Mar. 11th 1993, "Nach Golde drängt, am Golde hängt doch alles ... ", Title page, masthead, pages 22 and 23 K5 = DE-A-4 122 990

K6 = EP-A-0499382

K7 = Magazine: "FlexoDruck", 2-93, pages 42-43, "Goldlackdruck löst Metall-Bronzierung ab⁵".

- The Opposition Division hereby determines that the documents K4, K5, and K6 were first mentioned by the opponent in a letter dated June 30th 1999, only after the end of the period for entering an opposition pursuant to article 99(1) EPC.
- 5.3 The Opposition Division also determines that the document K7 was mentioned by the proprietor for the first time in these opposition proceedings during the oral proceedings.

⁴ "Flexo printing from A to Z" (The Translator)

⁵ "Gold coat printing replaces metallic bronzing" (The Translator)

II Grounds for the decision:

Admissibility 1.

All aforesaid grounds for opposition relating to inventive steps pursuant to article 1.1 56 EPC are admissible, since they comply with all requirements of articles 99(1) and 100 EPC as well as of rules 1(1) and 55 EPC.

The objections presented by the opposition in the course of the oral proceedings 1.2 with respect to the clarity of the independent claim 1, as well as to the object definition of the granted version within the meaning of article 84 EPC, are not admissible in the opinion of the Opposition Division, since such faults do not constitute grounds for opposition within the meaning of article 100 EPC. Thus a more detailed statement of opinion is not required here.

All the documents K1 to K7 mentioned in the opposition proceedings comply 1.3 with the regulations of article 54(2) EPC. The opinion that was already stated earlier in the interlocutory opinion of the Opposition Division of April 27th 1999, stating that the documents K1 and K1a fulfill the conditions of article 54(2) EPC, was not disputed by the proprietor.

Documents submitted or mentioned with delay: 1.4

Documents K4 and K6 1.4.1

Since the proprietor did not raise any objections against taking the publications listed below into consideration, and the Opposition Division is of the opinion that these documents are pertinent in the assessment of the question of the inventive step of claim 1 pursuant to article 56 EPC, the Opposition Division decided to admit these documents into the present opposition proceedings for decision making.

The document K4 does not represent any further independent state of the art with respect to the documents mentioned for the first time, but only serves to further clarify the technical teaching of document K1.

The document K5, which was mentioned by the opponent only after the end of the period for entering an opposition pursuant to article 99(1) EPC, and the document K7 mentioned first by the proprietor during the oral proceedings, are already listed in the specification of the disputed patent: column 1, lines 7 to 16 and lines 30 to 38. Due to this, the Opposition Division takes the two documents K5 and K7 into consideration in the decision making in the present opposition proceedings.

2. Novelty:

The examination of the published state of the art has convinced the Opposition Division that the subject matter of the independent claim 1 is novel within the meaning of article 54 EPC. None of the documents, which are mentioned in the opposition proceedings, by itself discloses the features of the independent claim 1. Since this fact is not a matter of contention, a detailed substantiation is not required here.

- 3. Inventive step
- 3.1 In the opinion of the Opposition Division, the subject matter of the independent claim 1 does not contain any inventive step within the meaning of article 56 EPC.
- 3.2 After careful examination of all documents involved in the opposition proceedings, the Opposition Division reaches the conclusion, in agreement with the opponent, that the document K1 (magazine: "Offsetpraxis") is to be seen as the closest related state of the art.
- 3.3 Documents K1, K1a, K4, and K7:
- 3.3.1 Based on the agreeing opinion of both parties, the Opposition Division determines that the following feature of the contested claim 1 is already described in document K1:

"Device in a rotary printing press for multi-color offset printing for coating materials to be printed with at least two coating units, whereby each coating unit comprises one impression cylinder (8), one form cylinder (10), and one applicator roller (11, 14), and the coating unit, upstream with respect to the sheet running direction ... is constructed, whereby ... a coating unit (7) is arranged directly or indirectly downstream, and whereby in the coating unit (7) an applicator roller (14) is provided, which is associated with an adjustable metering roller (13) to form a common metering slot."

The opponent could give *prima facie* evidence, that the multi-color offset rotary printing press "Fünffarben Roland 700", which is illustrated explicitly in the document K1, e.g. by means of a schematic drawing on page 13, also see document K1a, and which was presented to experts during the course of a so-called presentation in the Druckerei Busche in Dortmund (DE), contained two coating units, both of which were constructed with one form cylinder and a double-roller unit as a metering system for coating.

The same special embodiment of the presented printing press is seen in the schematic drawing in document K4.

3.3.2

Contrary to the argument by the proprietor, in addition to this, the document K1 discloses to a person skilled in the art the following, additional features of the contested claim 1:

"... the coating unit, upstream with respect to the sheet running direction, is executed as a flexo print unit, whereby the flexo print unit consists of the following elements:

a relief form carrying form cylinder (10.1), which is in contact with the impression cylinder, an applicator roller (11) with raster structure, which is in contact with the form cylinder (10.1), and an adjustable doctor blade chamber (12) ... whereby a coating unit (7) is located downstream of the flexo print unit ...

Even if the actually presented multi-color offset printing press did not possess a coating tower with flexo printing plate and doctor blade chamber, every person skilled in the art can learn explicitly from the text of each of the submitted documents K1, K4, and K7, that it is possible to apply a special gold- or silver printing ink, which is based on an aqueous fixing agent or is water-soluble, onto a material to be printed by means of a flexo printing plate, see for example document K1, page 13, left column, second paragraph – page 14, right column, document K4, page 22, right column, penultimate paragraph – page 23, right column line 4, as well as document K7, page 42, left column, first paragraph, middle column, second paragraph, and page 43, middle column, first paragraph.

In addition, each of the documents K1 and K7 describes a doctor blade chamber system with anilox roller as an ink feed system for the plate cylinder that is equipped with a flexo printing plate. In particular from Document K7, a person skilled in the art obtains the statement that the final version of the presented multicolor offset printing press will comprise an anilox roller and a doctor blade chamber system, which was not installed in the actually presented machine only due to time limitations, see document K7, page 43, middle column, first paragraph. Document K4 only discloses a short inking attachment with anilox roller.

3.3.4

Both documents K1 and K4 directly and unambiguously state that at first the gold- or silver printing ink on a water basis is applied to the material to be printed by flexo printing, and only afterwards, i.e. downstream in sheet running direction, a conventional coating unit, i.e. e.g. as installed in the presented printing press, is used to apply a so-called overprint coating or dispersion coating, see for example document K1, page 14, middle column, last paragraph, and document K4, page 22, right column, penultimate paragraph, and page 23, left column, second paragraph, and right column, first paragraph. Document K7 does not state anything relevant to this matter.

Page 9

Application No.: 94 103 832.5

3.3.5

In consideration of the arguments presented by both parties, the subject matter of the independent claim 1 is different from the technical teaching of document K1 only in the following features:

- (a) "...doctor blade chamber (12), which is connected with a feed pump for liquid feed and with a suction pump for liquid return ..."
- Thus, the published state of the art defines the problem of enabling the inline 3.4 processing of quickly-evaporating, aqueous printing inks or printing coats with high pigment content or rough pigments in a simple manner, see patent specification, column 1, lines 47-51.
- Based on the disclosure of document K1 illustrated above, the Opposition 3.5 Division sides with the opinion of the opponent, that the object of the disputed patent is to improve the per se known doctor blade chamber system with anilox roller in such a way, so that a problem free transport of aforesaid special printing inks or printing coats can take place within the per se known doctor blade chamber system of a per se known flexo print unit within a multi-color offset rotary printing press.
- 3.6 Documents K2 and K6:

3.6.1

Both, the document K2, see e.g. column 2, lines 22-38, column 8, lines 37-52 and figures 1 and 2, and the document K6, see e.g. column 2, lines 3 –10, column 7, line 42 - column 8, line 14, and figures 1 and 2, not only clearly and directly described the feature (a) of the contested claim 1, which was mentioned above in item II 3.3.5, but also the exact reason why a feed pump is provided for liquid feed, and a suction pump is provided for liquid return. Both of the known devices must be suitable for the problem-free transport of aqueous, quickly evaporating flexo printing inks, whereby document K6 explicitly names pigment particles in inks or coatings of that type.

Grounds for the decision (Annex) Date: Aug. 25, 1999 Page 10

3.6.2

In view of the above mentioned object it is evidently obvious for a person skilled in the art to either install the ink pumps according to document K2, or the ink pumps according to document K6, into the doctor blade chamber system according to document K1, since he can learn from each of the two documents K2 and K6 independently how the aforesaid special problematic printing inks can be transported problem-free within the doctor blade chamber system. Hereby it is irrelevant for the present definition of the object, whether one deals with a pure flexo printing press without reference to an offset printing press as in document K6, or, as in document K2, with a flexo printing plate cylinder with the added function of a sheet transport cylinder or a type of satellite printing unit with respect to the counter pressure cylinder "(36)" of figures 1 and 2 of K2. Contrary to the arguments of the proprietor, the Opposition Division can not see how a person skilled in the art could be led away from the contested invention by the technical teaching of the documents K2 or K6. Through the identical problem definition in K2 and in K6 with respect to the stated problem definition of the disputed patent, the person skilled in the art almost receives a direct tip to install the ink pumps described in K2 and K6 to solve his task in the doctor blade chamber system inside a multi-color offset printing press of document K1.

Application No.: 94 103 832.5

- 3.6.3 Thus, the subject matter of the contested claim1 follows in a manner that is obvious to a person skilled in the art.
- Thus, the Opposition Division reaches the conclusion, that the subject matter of 3.7 the independent claim 1 of the disputed patent with respect to the published state of the art, in particular with respect to a combination of the document K1, combined either with the document K2 or combined with the document K6, does contain no inventive step in the sense of article 56 EPC.

Application No.: 94 103 832.5

3.9 Dependent claims 2-4:

In view of the present lack of an inventive step of the independent claim 1, and the fact that no motion by the proprietor is at hand to include any features of any dependent claim into the independent claim, there exists no need for a careful examination of the inventive steps of the claims 2 – 4 in question.

- The opponent and the proprietor were given the opportunity to express their 6. opinion in the sense of article 113(1) EPC with respect to all grounds on which the present decision is based.
- Since the independent claim 1 in the granted version of the disputed European 7. patent No. 0 620 115 does not meet the requirements of articles 52 and 56 EPC (Inventive step), due to the above mentioned grounds, the European patent had to be revoked in the sense of article 102(1) EPC.

European Patent Office DG2 – Opposition

Application No.

94 103 832.5

Patent No.

EP-B-0620115

Minutes of the oral proceedings before the Opposition Division

The proceedings were open to the public.

The proceedings started at 10 am on Aug. 3rd 1999

Members of the Opposition Division:

Chairperson:

THORMAEHLEN I A C

1. Member:

GREINER E F

2. Member:

AXTERS M E

Recorder of minutes: AXTERS M E

Present as/for the involved parties:

RICHTER

For proprietor:

MAN Roland Druckmaschinen AG

Accompanied by:

STAHL

STIEL

For opponent 1:

KOENIG & BAUER Aktiengesellschaft

The essential progress of the oral proceedings and a material statement of the involved parties are presented in the enclosure (EPA form 2906)

After the Opposition Division's deliberations, the chairperson announced the following decision:

"The European patent is revoked"

The involved parties were informed that the minutes of the oral proceedings, as well as the written grounds of the decision including instructions on the rights to appeal would be served immediately.

The chairperson closed the proceedings at 11:30 am on Aug. 3rd 1999

/signature/

/signature/

THORMAELEN I A C

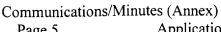
AXTERS M E

Chairperson

Recorder of minutes

Enclosure(s):

Minutes (Form 2906)



Date: Aug. 25, 1999 Page 5 Application No.: 94 103 832.5

Appendix to the minutes of the oral proceedings

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The motions of the parties from the written proceedings were upheld unaltered.

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The chairwoman informed the parties that the documents K4, K5, and K6 are included in the proceedings, that the objections relating to clarity to not constitute an admissible ground for opposition pursuant to article 100 EPC, and announced the decision that the patent will be revoked pursuant to article 102(1) EPC.

The proceedings concluded at 11:30 am.



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E. Greiner

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Europäisches **Patentamt**

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Marek, Joachim, Dipl.-Ing. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S, Postfach 10 12 64 63012 Offenbach ALLEMAGNE



Datum/Date

2 5, 08, 99

Zeichen/Ref /Réf.

Anmeldung Nr /Application No /Demande no /Patent Nr /Patent No /Brevet no.

JMa/GScho

94103832.5-2304/0620115

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire MAN Roland Druckmaschinen AG

ENTSCHEIDUNG ÜBER DEN WIDERRUF DES EUROPÄISCHEN PATENTS (ART. 102(1) EPÜ)

Die Einspruchsabteilung hat - in der mündlichen Verhandlung vom .3-8-99 - entschieden:

Das Europäische Patent Nr. 0620115 wird widerrufen.

[] Zusätzliche Entscheidung:

Die Entscheidungsgründe sind beigefügt.

RECHTSMITTELBELEHRUNG

Gegen diese Entscheidung ist die Beschwerde statthaft. Auf den beigefügten Wortlaut der Artikel 106 bis 108 EPÜ wird aufmerksam gemacht.

EINSPRUCHSABTEILUNG:

THORMAEHLEN I A C

GREINER E F

Vorsitzender

1. Prüfer

AXTERS M E

2. Prüfer

Anlagen: Entscheidungsgründe (Form 2916 ... Seiten) Wortlaut Art. 106 - 108 EPÜ (Form 2019)

Protokoll der mündlichen Verhandlung

+ F 2936



EINSCHREIBEN MIT RÜCKSCHEIN

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KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg ALLEMAGNE



Datum/Date

2 5, 08, 99

Zeichen/Ref./Réf.

Anmeldung Nr./Application No./Demande nº./Patent Nr. /Patent No./Brevet nº.

1P3.0620115

OPPO 01

94103832.5-2304/0620115

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire
MAN Roland Druckmaschinen AG

ENTSCHEIDUNG ÜBER DEN WIDERRUF DES EUROPÄISCHEN PATENTS (ART. 102(1) EPÜ)

Die Einspruchsabteilung hat - in der mündlichen Verhandlung vom 3:8:.99 - entschieden:

Das Europäische Patent Nr. 0620115 wird widerrufen.

[] Zusätzliche Entscheidung:

Die Entscheidungsgründe sind beigefügt.

RECHTSMITTELBELEHRUNG

Gegen diese Entscheidung ist die Beschwerde statthaft. Auf den beigefügten Wortlaut der Artikel 106 bis 108 EPÜ wird aufmerksam gemacht.

EINSPRUCHSABTEILUNG:

THORMAEHLEN I A C

Vorsitzender

GREINER E F

1. Prüfer

AXTERS M E

2. Prüfer

Anlagen: Entscheidungsgründe (Form 2916, /d. Seiten)

Wortlaut Art. 106 - 108 EPÜ (Form 2019)
[X] Protokoll der mündlichen Verhandlung

4 F 2936



EINSCHREIBEN MIT RÜCKSCHEIN

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Entscheidungsgrüf Anlage)

2 5. 08. 99

Grounds for the decision (

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Motifs de la décision (Annexe)

Anmelde-Nr.: Application No.: Demande n':

94 103 832.5

I. Sachverhalt und Anträge:

1. Europäisches Patent Nr.:

0 620 115

Anmeldenummer:

94103832.5

Anmeldetag:

12.03.1994

Priorităt:

Datum

Date

16.04.1993

DE 9305552

Veröffentlichung der Anmeldung:

19.10.1994

Patentblatt 1994/42

Bekanntmachung der Patenterteilung:

23.04.1997

Patentblatt 1997/17

Patentinhaberin:

MAN Roland Druckmaschinen AG

D-63075 Offenbach (DE)

Titel:

Einrichtung zum Inline-Beschichten von Bedruckstoffen

in Offsetdruckmaschinen

2. Einsprechende:

Koenig & Bauer Aktiengesellschaft

Friedrich-Koenig-Straße 4 D-97080 Würzburg (DE)

Einspruch eingelegt:

22.01.1998

mit Schreiben vom

20.01.1998

- 3. Anträge und Verfahrensverlauf des Einspruchsverfahrens:
- 3.1 Einsprechende:
- a) In ihrem Schreiben vom 20.01 1998 beantragte die Einsprechende den Widerruf des Patents in vollem Umfang in der erteilten Fassung gemäß Artikel 102(1) EPÜ auf Grund von Artikel 100a) EPÜ in Verbindung mit den Artikeln 52(1) und 56 EPÜ (erfinderische Tätigkeit).
 - b) Desweiteren beantragte sie hilfsweise eine mündliche Verhandlung gemäß Artikel 116 EPÜ.

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Anmelde-Nr.: Application No.: Demande n*:

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3.1.2 In ihrem Schreiben vom 14.07.1998 hielt die Einsprechende ihre bisherigen Anträge aufrecht und brachte weitere Argumente bezüglich des genannten Standes der Technik vor.

3.2 Patentinhaberin:

- 3.2.1 Mit ihrem Schreiben vom 05.05.1998 beantragte die Patentinhaberin die Aufrechterhaltung des bestrittenen Patents in unveränderter Form und die Zurückweisung des Einspruchs gemäß Artikel 102(2) EPÜ.
- a) Mit ihrem Schreiben vom 21.08.1998 brachte die Patentinhaberin weitere Argumente bezüglich ihrer bereits vorliegenden Anträge vor.
 b) Desweiteren beantragte sie hilfsweise eine mündliche Verhandlung gemäß Artikel 116 EPÜ.

3.3 Einspruchsabteilung:

In dem Bescheid gemäß Artikel 101(2) EPÜ und Regel 58 (1) bis (4) EPÜ vom 02.10.1998 forderte die Einspruchsabteilung mit einer vorläufigen Stellungnahme zum Patentgegenstand die beiden Parteien zur weiteren Stellungnahme auf.

3.4 Patentinhaberin:

In dem Schreiben vom 14.12.1998 wiederholte die Patentinhaberin ihre bereits genannten Anträge und brachte weitere Argumente bezüglich des genannten Standes der Technik vor.

3.5 Einsprechende:

In ihrem Schreiben vom 10.02.1999 hielt die Einsprechende ihre bisherigen Anträge aufrecht und reichte weitere Unterlagen zum Stand der Technik ein.

3.6 Patentinhaberin:

In ihrem Schreiben vom 02.03.1999 hielt die Patentinhaberin ein weiteres Mal ihre bisherigen Anträge aufrecht.

Datum Date Date

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Anmelde-Nr.: Application No.: Demande n*;

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3.7 Einspruchsabteilung:

Ladung vom 27.04.1999 zur mündlichen Verhandlung gemäß den Regeln 71(1) und 71a EPÜ zusammen mit einer weiteren vorläufigen Stellungnahme zum Patentgegenstand.

3.8 In ihrem Schreiben vom 30.06.1999 hielt die Einsprechende erneut ihre bisherigen Anträge aufrecht und beantragte erstmals die folgenden Dokumente in das Einspruchsverfahren aufzunehmen und insbesondere für die Beurteilung der erfinderischen Tätigkeit zu berücksichtigen:

K4 = "Druckindustrie" 9001 St. Gallen, Nr. 5, 11.3.1993 "Nach Golde drängt, am Golde hängt doch alles ...", Titelblatt, Impressum, Seiten 22 und 23

K5 = DE-A-4 122 990

K6 = EP-A-0 499 382.

- 3.9 Die mündliche Verhandlung fand am 03.08.1999 statt. Der Verlauf ist aus dem Protokoll hierzu ersichtlich. Am Ende verkündete die Vorsitzende die Entscheidung, daß das Patent gemäß Artikel 102(1) EPÜ widerrufen wird.
- Der Entscheidung liegen folgende Unterlagen zugrunde:
- 4.1 Der unabhängige Anspruch 1 in der erteilten Fassung hat folgenden Wortlaut:
 - "1. Einrichtung in einer Rotationsdruckmaschine für mehrfarbigen Offsetdruck zum Beschichten von Bedruckstoffen mit wenigstens zwei Lackiereinheiten, wobei jede Lackiereinheit einen Druckzylinder (8), einen Formzylinder (10) und eine Auftragwalze (11, 14) aufweist, und die entsprechend Bogenlaufrichtung vorgeordnete Lackiereinheit als Flexodruckwerk (6) ausgebildet ist, wobei das Flexodruckwerk aus folgenden Elementen besteht:

dem eine Hochdruckform tragenden Formzylinder (10.1), der mit dem Druckzylinder (8.1) in Kontakt steht, einer Auftragwalze (11) mit Rasterstruktur, die mit dem Formzylinder (10.1) in tum 2 5. 08. 99

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Anmelde-Nr.: Application No.: Demande n':

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Kontakt steht und einem anstellbaren Kammerrakel (12), das mit einer Förderpumpe zur Flüssigkeitszufuhr und einer Saugpumpe zur Flüssigkeitsrückführung verbunden ist,

wobei dem Flexodruckwerk (6) eine Lackiereinheit (7) direkt oder indirekt nachgeordnet ist, und wobei in der Lackiereinheit (7) eine Auftragwalze (14) vorgesehen ist, der eine Dosierwalze (13) zur Bildung eines gemeinsamen Dosierspaltes anstellbar zugeordnet ist."

- 4.2 Die abhängigen Ansprüche 2 bis 4 in der erteilten Fassung beziehen sich auf vorteilhafte Ausbildungen des Gegenstandes des Anspruchs 1.
- 5. Die Einsprechende und die Patentinhaberin nennen zum Stand der Technik folgende Druckschriften:
- 5.1 K1 = Kopie aus der Zeitschrift: "Offsetpraxis", 3/1993, Seiten 12 15K1a = Vergrößerung einer Abbildung aus der Kopie K1

K2 = US-A-5 176 077

K3 = Kopie aus dem Fachbuch: "Flexodruxk von A bis Z", Deckblatt, Impressum, Seite 160

K4 = "Druckindustrie" 9001 St. Gallen, Nr. 5, 11.3.1993 "Nach Golde drängt, am Golde hängt doch alles ...", Titelblatt, Impressum, Seiten 22 und 23

K5 = DE-A-4 122 990

K6 = EP-A-0.499.382

K7 = Zeitschrift: "FlexoDruck", 2-93, Seite 42 - 43, "Goldlackdruck löst Metall-Bronzierung ab"

- 5.2 Die Einspruchsabteilung stellt hiermit fest, daß die Dokumente K4, K5 und K6 von der Einsprechenden erst nach Ablauf der Einspruchsfrist gemäß Artikel 99(1) EPÜ erstmals mit dem Schreiben vom 30.06.1999 genannt wurden.
- 5.3 Desweiteren stellt die Einspruchsabteilung fest, daß das Dokument K7 von der Patentinhaberin erstmals im vorliegenden Einspruchsverfahren im Laufe der mündlichen Verhandlung genannt wurde.

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5

Anmelde-Nr.: Application No.: Demande n*:

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II. Entscheidungsgründe:

1. Zulässigkeit:

- 1.1 Alle genannten Einspruchsgründe bezüglich der erfinderischen Tätigkeit gemäß Artikel 56 EPÜ sind zulässig, weil sie allen Anforderungen der Artikel 99(1) und 100 EPÜ sowie den Regeln 1(1) und 55 EPÜ entsprechen.
- 1.2 Die von der Einsprechenden im Laufe der mündlichen Verhandlung vorgetragenen Einwände bezüglich der Klarheit des unabhängigen Anspruchs 1 sowie der Aufgabenstellung in der erteilten Fassung im Sinne des Artikels 84 EPÜ sind nach Auffassung der Einspruchsabteilung nicht zulässig, da derartige Mängel keinen Einspruchsgrund im Sinne des Artikels 100 EPÜ darstellen. Eine ausführlichere Stellungnahme ist deshalb hier nicht erforderlich.
- 1.3 Alle im Einspruchsverfahren genannten Dokumente K1 bis K7 erfüllen die Bestimmungen des Artikels 54(2) EPÜ. Die bereits in der vorläufigen Stellungnahme der Einspruchsabteilung vom 27.04.1999 genannte Auffassung, daß auch die Dokumente K1 und K1a die Bedingungen des Artikels 54(2) EPÜ erfüllen, blieb von Seiten der Patentinhaberin unwidersprochen.
- 1.4 Verspätet eingereichte bzw. genannte Dokumente:

1.4.1 Dokumente K4 und K6:

Da die Patentinhaberin keine Einwände gegen die Berücksichtigung dieser nachgenannten Druckschriften vorgebracht hat, und die Einspruchsabteilung der Auffassung war, daß diese Dokumente sachdienlich dafür sind, die Frage der erfinderischen Tätigkeit des Anspruchs 1 gemäß Artikel 56 EPÜ zu bestimmen. beschloß die Einspruchsabteilung, diese Dokumente in das vorliegende Einspruchsverfahren zur Entscheidungsfindung aufzunehmen.

Das Dokument K4 stellt dabei keinen weiteren selbständigen Stand der Technik gegenüber den erstmals genannten Dokumenten dar, sondern dient lediglich dazu, die technische Lehre des Dokuments K1 weiter zu verdeutlichen.

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20,38,88

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Anmelde-Nr.: Application No.: Demande n':

94 103 832.5

1.4.2 Dokumente K5 und K7:

Das von der Einsprechenden erst nach Ablauf der Einspruchsfrist gemäß Artikel 99(1) EPŪ genannte Dokument K5 und das von der Patentinhaberin erst während der mündlichen Verhandlung genannte Dokument K7 sind bereits in der Beschreibung des Streitpatents in Spalte 1, Zeilen 7 bis 16 und Zeilen 30 bis 38 genannt. Die Einspruchsabteilung berücksichtigt deshalb diese beiden Dokumente K5 und K7 zur Entscheidungsfindung im vorliegenden Einspruchsverfahren.

2. **Neuheit:**

Die Prüfung des bekanntgewordenen Standes der Technik hat die Einspruchsabteilung davon überzeugt, daß der Gegenstand des unabhängigen Anspruchs 1 neu im Sinne des Artikels 54 EPÜ ist. Keines der im Einspruchsverfahren genannten Dokumente offenbart für sich allein betrachtet alle Merkmale des unabhängigen Anspruchs 1. Da diese Tatsache nicht bestritten wurde, besteht hier kein Bedarf für eine ausführliche Begründung.

Erfinderische Tätigkeit: 3.

- Der Gegenstand des unabhängigen Anspruchs 1 weist nach Auffassung der 3.1 Einspruchsabteilung keine erfinderische Tätigkeit im Sinne des Artikels 56 EPÜ auf.
- 3.2 Nach eingehender Prüfung aller im Einspruchsverfahren befindlichen Dokumente kommt die Einspruchsabteilung in Übereinstimmung mit der Einsprechenden zur Schlußfolgerung, daß das Dokument K1 (Zeitschrift: "Offsetpraxis") als nächstliegender Stand der Technik anzusehen ist.
- 3.3 Dokumente K1, K1a, K4 und K7:
- 3.3.1

Nach übereinstimmender Auffassung beider Parteien stellt die



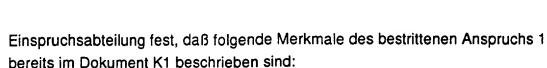
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7

Anmelde-Nr.: Application No.:

94 103 832.5

Demanda n*:



"Einrichtung in einer Rotationsdruckmaschine für mehrfarbigen Offsetdruck zum Beschichten von Bedruckstoffen mit wenigstens zwei Lackiereinheiten, wobei jede Lackiereinheit einen Druckzylinder (8), einen Formzylinder (10) und eine Auftragwalze (11, 14) aufweist und die entsprechend Bogenlaufrichtung vorgeordnete Lackiereinheit ... ausgebildet ist, wobei ... eine Lackiereinheit (7) direkt oder indirekt nachgeordnet ist, und wobei in der Lackiereinheit (7) eine Auftragwalze (14) vorgesehen ist, der eine Dosierwalze (13) zur Bildung eines gemeinsamen Dosierspaltes anstellbar zugeordnet ist."

Die Einsprechende konnte glaubhaft machen, daß die in dem Dokument K1 explizit z.B. mittels Schemazeichnung auf Seite 13, siehe auch Dokument K1a, dargestellte Mehrfarbenoffsetrotationsdruckmaschine: "Fünffarben Roland 700", welche in der genannten Druckerei Busche in Dortmund (DE) im Laufe einer sogenannten Präsentation der Fachwelt bekanntgemacht wurde, zwei Lackiereinheiten aufwies, von denen beide jeweils mit einem Formzylinder und einem Zweiwalzenwerk als Dosiersystem für den Lack ausgeführt waren.

Dieselbe spezielle Ausführungsform der vorgestellten Druckmaschine ist der Schemazeichnung aus dem Dokument K4 zu entnehmen.

3.3.2

Entgegen dem Vorbringen der Patentinhaberin offenbart jedoch das Dokument K1 darüberhinaus für den Fachmann die folgenden, weiteren Merkmale des bestrittenen Anspruchs 1:

"... die entsprechend Bogenlaufrichtung vorgeordnete Lackiereinheit als Flexodruckwerk (6) ausgebildet ist, wobei das Flexodruckwerk aus folgenden Elementen besteht:

dem eine Hochdruckform tragenden Formzylinder (10.1), der mit dem Druckzylinder (8.1) in Kontakt steht, einer Auftragwalze (11) mit Rasterstruktur, die mit dem Formzylinder (10.1) in Kontakt steht und einem anstellbaren

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Kammerrakel (12) ... wobei dem Flexodruckwerk (6) eine Lackiereinheit (7) ... nachgeordnet ist ...".

Auch wenn die tatsächlich präsentierte Mehrfarbenoffsetdruckmaschine keinen Lackturm mit Flexodruckplatte und Kammerrakel aufwies, so kann jedoch der Fachmann aus jedem der eingereichten Dokumente K1, K4 und K7 explizit im Text die Lehre entnehmen, daß es möglich ist, eine spezielle Gold- oder Silberdruckfarbe, die auf einem wässrigen Bindemittel aufbaut bzw. wasserlöslich ist, mittels einer Flexodruckplatte auf einen Bedruckstoff aufzubringen, siehe z.B. Dokument K1, Seite 13, linke Spalte, zweiter Absatz - Seite 14, rechte Spalte, Dokument K4, Seite 22, rechte Spalte, vorletzter Absatz - Seite 23, rechte Spalte, Zeile 4, sowie Dokument K7, Seite 42, linke Spalte, erster Absatz, mittlere Spalte, zweiter Absatz und Seite 43, mittlere Spalte, erster Absatz.

3.3.3

Darüberhinaus wird in jedem der Dokumente K1 und K7 ein Kammerrakelsystem mit Rasterwalze als Farbzufuhrsystem für den mit einer Flexodruckplatte versehenen Plattenzylinder beschrieben. Speziell aus dem Dokument K7 entnimmt der Fachmann die Aussage, daß die Endversion der vorgestellten Mehrfarbenoffsetdruckmaschine eine Rasterwalze und ein Kammerrakelsystem umfassen wird, welches lediglich aus Zeitgründen noch nicht auf der tatsächlich präsentierten Maschine installiert war, siehe Dokument K7, Seite 43, mittlere Spalte, erster Absatz. Das Dokument K4 offenbart dabei lediglich ein Kurzfarbwerk mit Rasterwalze.

3.3.4

Beide Dokumente K1 und K4 liefern unmittelbar und eindeutig die Aussage, daß zuerst mittels Flexodruck die Gold- bzw. Silberdruckfarbe auf Wasserbasis auf den Bedruckstoff aufgetragen wird und erst anschließend, d.h. in Bogenlaufrichtung nachgeordnet, mittels einer üblichen Lackiereinheit, also z.B. wie in der vorgestellten Druckmaschine eingebaut, ein sogenannter Überdrucklack bzw. Dispersionlack aufgetragen wird, siehe z.B. Dokument K1, Seite 14, mittlere Spalte letzter Absatz und Dokument K4, Seite 22, rechte Spalte, vorletzter Absatz und Seite 23, linke Spalte, zweiter Absatz und rechte Spalte, erster Absatz. Das Dokument K7 liefert diesbezüglich keine verwertbare Aussage.

Datum Date

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Anmelde-Nr.: Application No.: Demande n*:

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3.3.5

Unter Berücksichtigung der vorgebrachten Argumente beider Parteien unterscheidet sich der Gegenstand des unabhängigen Anspruchs 1 lediglich durch die folgenden Merkmale von der Lehre des Dokuments K1:

- (a) "... Kammerrakel (12), das mit einer Förderpumpe zur Flüssigkeitszufuhr und einer Saugpumpe zur Flüssigkeitsrückführung verbunden ist ...".
- 3.4 Der bekanntgewordene Stand der Technik weist somit das Problem auf, in einfacher Weise eine Inline-Verarbeitung von schnellverdunstenden, wäßrigen Druckfarben bzw. Drucklacken mit hohem Pigmentanteil bzw. groben Pigmenten zu ermöglichen, siehe Patentschrift, Spalte 1, Zeilen 47 51.
- 3.5 Basierend auf der oben dargestellten Offenbarung des Dokuments K1 schließt sich die Einspruchsabteilung der Auffassung der Einsprechenden an, daß sich für das Streitpatent die objektive Aufgabe stellt, das an sich bekannte Kammerrakelsystem mit Rasterwalze dahingehend zu verbessern, daß ein problemloser Transport der genannten, speziellen Druckfarben bzw. Drucklacke innerhalb des an sich bekannten Kammerrakelsystems eines an sich bekannten Flexodruckwerks innerhalb einer Mehrfarbenoffsetrotationsdruckmaschine erfolgen kann.
- 3.6 Dokumente K2 und K6:

3.6.1

Sowohl das Dokument K2, siehe z.B. Spalte 2, Zeilen 22 - 38, Spalte 8, Zeilen 37 - 52 und Figuren 1 und 4, als auch das Dokument K6, siehe z.B. Spalte 2, Zeilen 3 - 10, Spalte 7, Zeile 42 - Spalte 8, Zeile 14 und Figuren 1 und 2, beschreiben nicht nur eindeutig und unmittelbar das oben in Punkt II 3.3.5 genannte Merkmal (a) aus dem bestrittenen Anspruch 1, sondern auch den Grund, warum gerade eine Förderpumpe zur Flüssigkeitszufuhr und eine Saugpumpe zur Flüssigkeitsrückführung vorgesehen sind.

Beide der bekannten Vorrichtungen müssen dafür geeignet sein, problemlos wäßrige; schnellverdunstende Flexodruckfarben zu transportieren, wobei im

9)

Datum Date Date 2 5, 08, **99**

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10

Anmelde-Nr.: Application No.: Demande n*:

94 103 832.5

Dokument K6 explizit Pigmentpartikel in derartigen Farben bzw. Lacken genannt werden.

3.6.2

Angesichts der oben genannten Aufgabe ist es für den Fachmann offensichtlich naheliegend, entweder die Farbpumpen gemäß Dokument K2 oder die Farbpumpen gemäß Dokument K6 in dem Kammerrakelsystem gemäß Dokument K1 einzubauen, da er aus jedem der beiden Dokumente K2 und K6 unabhängig voneinander die Lehre entnehmen kann, wie die genannten speziellen problematischen Druckfarben innerhalb des Kammerrakelsystems problemlos transportiert werden können. Es ist dabei für die vorliegende Aufgabenstellung unerheblich, ob es sich wie im Dokument K6 um eine reine Flexodruckmaschine ohne Bezug zu einer Offsetdruckmaschine handelt, oder ob es sich wie im Dokument K2 um einen Flexodruckplattenzylinder mit der Zusatzfunktion eines Bogentransportzylinders bzw. um eine Art von Satellitendruckwerk bezüglich des Gegendruckzylinders "(36)" gemäß Figuren 1 und 2 aus K2 handelt. Entgegen der Argumentation der Patentinhaberin kann die Einspruchsabteilung daher nicht erkennen, daß der Fachmann durch die technische Lehre der Dokumente K2 oder K6 von der angegriffenen Erfindung weggeführt werden könnte. Durch die gleiche Problemstellung sowohl in K2 als auch in K6 in Bezug zur dargelegten Problemstellung des Streitpatents erhält der Fachmann nämlich geradezu einen direkten Hinweis darauf, die in K2 und K6 beschriebenen Farbpumpen zur Lösung seiner Aufgabe in dem Kammerrakelsystem innerhalb der Mehrfarbenoffsetdruckmaschine gemäß Dokument K1 vorzusehen.

3.6.3

Der Gegenstand des angegriffenen Anspruchs 1 ergibt sich damit in einer für den Fachmann naheliegenden Weise.

3.7 Die Einspruchsabteilung gelangt daher zur Schlußfolgerung, daß der Gegenstand des unabhängigen Anspruchs 1 des Streitpatents gegenüber dem bekanntgewordenen Stand der Technik, insbesondere gegenüber einer Kombination ausgehend von dem Dokument K1 in Verbindung entweder mit dem Dokument K2 oder in Verbindung mit dem Dokument K6, keine erfinderische Tätigkeit im Sinne des Artikels 56 EPÜ aufweist.

11

Anmeide-Nr.: Application No.: Demande n*:

94 103 832.5

Datum 2.5.03.99 Date

Blatt Sheet Feuille

1

3.8 Die übrigen im Verlauf des Einspruchsverfahrens genannten Dokumente K3 und K5 werden von der Einspruchsabteilung als weniger relevant für die Beurteilung der erfinderischen Tätigkeit angesehen und können auch weder jeweils für sich allein betrachtet noch in irgendeiner Kombination zueinander oder mit den anderen im Einspruchsverfahren genannten Dokumenten K1, K2, K4, K6 und K7 einen Mangel an erfinderischer Tätigkeit des unabhängigen Anspruchs 1 nachweisen.

Deshalb besteht hier kein Bedarf für eine ausführlichere Abhandlung ihres Inhalts bezüglich des unabhängigen Anspruchs 1.

3.9 Abhängige Ansprüche 2 - 4:

Angesichts des vorliegenden Mangels an erfinderischer Tätigkeit des unabhängigen Anspruchs 1 sowie der Tatsache, daß kein Antrag der Patentinhaberin vorliegt, irgendein Merkmal irgendeines abhängigen Anspruchs in den unabhängigen Anspruch aufzunehmen, besteht hier kein Bedarf für eine eingehende Prüfung der erfinderischen Tätigkeit der vorliegenden Ansprüche 2 - 4.

- 6. Die Einsprechende und die Patentinhaberin hatten die Gelegenheit, sich zu allen Gründen, auf die die vorliegende Entscheidung aufgebaut ist, im Sinne des Artikels 113(1) EPÜ zu äußern.
- 7. Da der unabhängige Anspruch 1 in der erteilten Fassung des bestrittenen europäischen Patents Nr. 0 620 115 die Erfordernisse der Artikel 52 und 56 EPÜ (erfinderische Tätigkeit) wegen der oben angegeben Gründe nicht erfüllt, war das europäische Patent im Sinne des Artikels 102(1) EPÜ zu widerrufen.

European Patent Office DG2 - Opposition



Anmeldenummer:

94 103 832.5

Patentnummer:

EP-B-0620115

Niederschrift über die mündliche Verhandlung vor der EINSPRUCHSABTEILUNG

Die Verhandlung war öffentlich.

Beginn der Verhandlung am 03.08.1999 um 10.00 Uhr

Mitglieder der Einspruchsabteilung:

Vorsitzender:

THORMAEHLEN LA C

1. Mitglied:

GREINER E F

2. Mitglied:

AXTERS M E

Protokoliführer:

AXTERS M E

Anwesend als/für die/ Beteiligte/n/:

•

RICHTER

für Patentinhaber/in: in Begleitung von:

MAN Roland Druckmaschinen AG

STAHL

•

STIEL

für Einsprechende(n) 1:

KOENIG & BAUER Aktiengesellschaft

Der wesentliche Verlauf der mündlichen Verhandlung und rechtserhebliche Erklärungen der Beteiligten sind in den Anlagen (EPA Formblatt 2906) wiedergegeben.

Nach Beratung der Einspruchsabteilung verkündete die/der Vorsitzende folgende Entscheidung:

"Das europäische Patent wird widerrufen."

Die Beteiligten wurden informiert, daß die Niederschrift über die mündliche Verhandlung sowie die schriftliche Begründung der Entscheidung samt Rechtsmittelbelehrung alsbald zugestellt werden.

Protokollführer/in

Die/der Vorsitzende schloß die Verhandlung am 03.08.1999 um 11.30 Uhr.

THORMAEHLEN I A C

Vorsitzende/r

Anlage(n):

Protokoli (Form 2906)





Datum Date Date

Bescheid/Protokolt (Anlage)

Blatt Sheet Feuille

1

Anmelde-Nr.: Application No.: Demande n°:

94 103 832.5

976

Anlage zur Niederschrift über die mündliche Verhandlung

Die Verhandlung wurde um 10.00 Uhr eröffnet.

Die Anträge der Parteien aus dem schriftlichen Verfahren wurden unverändert aufrechterhalten.

Die Vorsitzende teilte mit, daß im Laufe der Verhandlung entschieden wird, ob die nachgereichten Unterlagen K4 ("DruckIndustrie" 9001 St. Gallen, Nr. 5, 11.3.1993, "Nach Golde drängt, am Golde hängt doch alles...", Titelblatt, Impressum, Seiten 22-23), K5 (DE-A-4 122 990) und K6 (EP-A-0 499 382) im Einspruchsverfahren gemäß Art. 114(1) EPÜ berücksichtigt werden.

Danach folgte eine Diskussion über die erfinderische Tätigkeit des erteilten Anspruchs 1, die sich auf die Lehren der Dokumente K1 (Kopie aus der Zeitschrift "Offsetpraxis, 3/1993, Seiten 12-15), K2 (US-A-5 176 077), K4, K5 und K6 stützte. Die Patentinhaberin nannte weiterhin das Dokument K7 (Zeitschrift FlexoDruck, 2-93, Seiten 42-43), das in der Beschreibung des Streitpatents genannt ist. Im Laufe der Diskussion hat die Einsprechende weiterhin die Klarheit von Teilen des Anspruchs 1 sowie der Aufgabenstellung bemängelt.

Die Parteien stellten, auf Anfrage der Vorsitzenden, keine weiteren Anträge.

Die Verhandlung wurde zur Beratung der Einspruchsabteilung von 11.00 bis 11.29 Uhr unterbrochen.

Die Vorsitzende teilte den Parteien mit, daß die Dokumente K4, K5 und K6 ins Verfahren aufgenommen werden, daß die Klarheitseinwände keinen zulässigen Einspruchsgrund gemäß Art.100 EPÜ darstellen, und verkündete als Entscheidung, daß das Patent gemäß Art. 102(1) EPÜ widerrufen wird.

Die Verhandlung schloß um 11.30 Uhr.

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 East 10^{TH} Ave, Vancouver BC, V5N 1X6 Canada

TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

October 6, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 20"
Appeal and Grounds for Appeal from MAN

(Christian König)

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

D-80298 München

Your reference, your message of

Our references, our message of

Extension

Date

MR00644/DS/RW

2158

Oct. 18, 1999

RE: European Patent application no. 94103832.5 - 2304 0620115 B "Device for inline-coating of materials to be printed in offset printing machines"

Regarding the ruling on the revocation of the European patent of Aug. 25, 1999 pursuant to article 102(1) EPC.

Hereby, we file an

Appeal

against the revocation ruling of the Opposition Division of Aug. 25, 1999.

At the same time we request oral proceedings. The grounds for appeal will be submitted later.

Please debit the scheduled appeal fees in the amount of 1022.00 Euro to our current account No. 28000521 using the enclosed payment form.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

Stahl

Richter

General power of attorney 20809

General power of attorney 36563

Chairman of the board.
Rudolf Rupprecht, Ph D.
Eng
Board of directors:
Gerd Finkbeiner, Martin
Lange, Rainer
Opferkuch, Ph.D. Eng.,
Anton Weinmann
District Court:
Offenbach Register of
Companies No. 5137
Registered Office:
Offenbach/Main

Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M Landeszentralbank, Offenbach/M. VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P O box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440 Page 2 of Tab 20 is trilingual. (The Translator)

EPO D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Boards of Appeal

COPY

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Oct. 25, 99

Ref. JMa/GScho	Application No./Patent No. 94103832.5 0620115
Applicant/Proprietor	
MAN Roland Druckmaschinen AG	

The appeal against the ruling of the Opposition Division of the European Patent Office of Aug. 25, 99 that has been submitted by you in a written statement of Oct. 18, 99 has been forwarded to the Technical Board of Appeal 325.

The file number of the appeal is T0984/99-325.

We ask you to direct any further submissions in this matter to the Directorate General 3 (Appeals) of the European Patent Office in Munich, quoting the above file number.

Furthermore, we ask you in view of rule 36(4) EPC to enclose extra copies of all submissions for the patent proprietor / opponent.

/signature/ Townend

Registry Tel. (089)2399-

EPO form 2242 06 88

EPO D-80298 Munchen Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Boards of Appeal

COPY

KOENIG & BAUER Aktiengesellschaft Postfach 60 60 97010 Würzburg Germany

Date: Oct. 25, 99

1P3.0620115 01	94103832.5 2304 / 0620115
Applicant/Proprietor MAN Roland Druckmaschinen AG	

The patent proprietor / opponent

has filed an appeal against the ruling of the Opposition Division of the European Patent Office.

The appeal has the file number T0984/99-325.

We enclose a copy of the written appeal. A copy of the grounds for appeal will be forwarded to you after they have been submitted.

We ask you to direct any submissions in this matter to the Directorate General 3 (Appeals) of the European Patent Office in Munich, quoting the above file number.

Furthermore, we ask you in view of rule 36(4) EPC to enclose duplicates of all submissions for the patent proprietor/opponent.

/initial/

Tel. (089)2399-

Enclosure	
EPO form 3343 06.xx	

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

80298 München

Your reference: TO 984/99-325

Your letter of: Our reference:

Jan. 25, 1999 MR00644/RI/PE

Our letter of:

Name:

F.-P. Richter

Department:

Patents/Licenses

Telephone: Facsimile:

(069) 8305-2872

Email: Franz-Peter Richter@mro.man.de

(069) 8305-1440

Date:

December 23, 1999

Sent by fax Dec 23, 99

European Patent Application No 94103832.5-2304 / EP 0 620 115 B1,

"Device for inline-coating of materials to be printed in offset printing machines"

Proprietor: MAN Roland Druckmaschinen AG

Appeal file number: TO 984/99-325

In a written statement of Oct. 18, 1999, the patent proprietor has filed an appeal against the revocation decision of the Opposition Division of Aug. 25, 1999.

Hereby we submit the grounds for appeal in the enclosure. The appellant requests to reverse the ruling of the Opposition Division, and to grant the patent in its entirety.

We will adhere to abbreviated document titles whenever such have already been in use in the opposition proceedings (see I. Statement of facts and motions, item 5.1).

Chairman of the board: Rudolf Rupprecht, Ph.D. Board of directors: Gerd Finkbeiner, (Chairman) Rainer Opferkuch, Ph.D. Eng., Anton Weinmann, Paul Steidle District Court: Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main

Commerzbank AG, Offenbach/M Routing # 505 400 28 Account # 4 289 666 DE70 5054 0026 0428 9666 00, COBA DE FF 605 Dresdner Bank AG, Offenbach/M Routing # 505 800 05, Account # 5 082 821 DE10 5058 0005 0508 2821 00, DRES DE FF 505 Deutsche Bank AG, Offenbach/M Routing # 505 700 18, Account # 0 750 000 DE19 5057 0018 0000 7500 00, DEUT DE FF 605 Landeszentralbank, Offenbach/M Routing # 505 000 00, Account # 50 508 110 ZB HEDE F1 505 VAT Id # 811136557

MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main PO box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

In its ruling on the revocation of the aforesaid patent, the Opposition Division has confirmed that novelty pursuant to article 54 EPC is not a matter of dispute, but that the claimed subject matter does not contain any inventive steps as contemplated by article 56 EPC.

The following items refer to II. Grounds of opposition.

It is assumed that the document K1 (Offset Praxis 3/1993, pages 12-15) is the most closely related prior art, and it is established that K1 discloses that an additional coating unit is located <u>directly or indirectly</u> downstream of a coating unit that is upstream with respect to the sheet running direction (Item 3.3.1).

The patent proprietor did not share this view on the basis of K1 during the opposition proceedings, and still does not share it. Rather, it is correct, that it follows from K1 and K1a that at the company Busche in Dortmund was demonstrated a Fünffarben Roland 700 (with five ink printing stations) with two coating units located directly downstream, wherein each coating unit contains a form cylinder and a twin-roller unit as metering system.

Without discussing the configuration of the coating unit in more detail at this point, it does not follow from K1, that, in the sheet running direction, the second coating unit is located directly or indirectly downstream of the first coating unit. This view of the facts has been stated in retrospect, and does not correspond to the embodiments according to K1.

The grounds of opposition (item 3.3.2) assume, based on K1, that the coating unit that is positioned upstream, with respect to the sheet running direction, is configured as a flexo printing unit comprising the following elements:

- a relief form carrying form cylinder that is in contact with the impression cylinder,
- an applicator roller with screen structure, that is in contact with the form cylinder, and
- a positionable doctor blade chamber.

The appellant is of the opinion that the characteristic features of this cited configuration can not be inferred explicitly from K1. For example, K1 does not disclose that the, in sheet running direction, first coating unit is a flexo printing unit. Rather, one gathers from K1, page 14, middle column, last paragraph, that the R700 at the firm Busche contains two coating modules in addition to the ink printing units, and that a coating module contains the flexo printing unit, and that the second coating module is the true coating module. But from this one can not infer the configuration of the coating units with respect to the sheet running direction. Furthermore, in our opinion, the disclosure of K1 that is quoted in item 3.3.2 does not follow from the cited document passages K1, page 13, left column, second paragraph, and K1, page 14, right column.

In addition, one is referred to K4, page 22, right column, penultimate paragraph, which discloses a Fünffarben Roland 700 with two coating towers, wherein the first coating tower operates in flexo printing mode and the second coating tower is used for coating (K4, page 23, top left column).

Finally, pages 42 and 43 of K7 are cited.

Not from a single one of the cited documents can one infer the configuration of a flexo printing machine comprising the elements listed in the independent claim. Only in K1, page 13, lower right column, is it pointed out that experiments to employ an anilox roller and a doctor blade chamber will serve to optimize the process. This is confirmed in K4, page 23, lower middle column, and the author of the article further concludes: "Thus the trial phase has not ended yet. Short inking stations for gold printing?" Through this the author asks his professional audience how such a coating unit might be configured.

Item 3.3.3 lists the embodiments of K1 (trials still required) and K7 (the announced final version of doctor blade chamber / anilox roller). But K1 does not specify in what kind of coating unit (coating module) and in which configuration these elements are to be employed, or how they will interact.

It can be gathered from K1 and K4 that an overprint coating is applied onto the gold ink by means of a second coating unit (item 3.3.4). This statement of facts reflects only a partial aspect of the independent claim of the contested patent, since the subject matter of the contested patent deals with a much more universal process. In this respect, the characterizing feature of connecting the doctor blade chamber by means of a feed pump and a suction pump (item 3.3.5) is not the only distinguishing feature of the subject matter of the independent claim.

Based on the cited prior art, item 3.4 presents the problem of inline-coating of quickly-evaporating aqueous printing inks / print coatings, and in item 3.5 the Opposition Division follows the object definition that was put forward by the opponent and is limited to "... improving the known doctor blade chamber system with anilox roller in such a manner that a problem-free transport of the aforesaid special printing inks or print coatings can take place within the *per se* known doctor blade chamber system or a *per se* known flexo printing unit within a multi color offset printing machine".

This decision does not make large enough an allowance for the fact that the problem definition continues further, namely to create a coating capability, which can be implemented in combination with additional printing- or coating processes downstream. Rather, the Opposition Division sided with the opponent, since allegedly all characteristic features of the independent claim – except the connection of feed- and suction pumps - were already known from K1.

Item 3.6 cites the documents K2 and K6. The assumption that a person skilled in the art – starting from document K1- would start examining the documents K2 and K6 is not comprehensible. Indeed, the opponent does not introduce any convincing reasons that would support this. It is true that K2 describes a doctor blade chamber with a screen applicator roller, but the form cylinder carries a blanket (coating blanket 124).

Consequently, the system that is configured as a selectively operable device is not a flexo printing unit, as is the subject matter of the independent claim of the contested patent, but the system at hand is a planographic printing unit. It can not be inferred from K1 that it is possible to operate a doctor blade chamber with a supply- and return line and with feedand suction pumps (circulating system). Neither K2 nor K6 prove or suggest that such a circulating system can be employed for the processing of quickly-evaporating aqueous printing inks / print coatings with high pigment content or coarse pigments (This is part of the problem definition of the contested patent).

It is therefore the opinion of the appellant, that the contested patent is by all means based on inventive steps.

MAN Roland Druckmaschinen AG

Per pro pp.

/signature/
D. Stahl
General power of attorney 20809

/signature/
F.-P. Richter
General power of attorney 36563

EPO D-80298 München Tel 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Boards of Appeal

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: May 16, 2000

Ref. JMa/GScho	Application No./Patent No. 94103832.5-2304 / 0620115
Applicant/Proprietor MAN Roland Druckmaschinen AG	

File number T 0984 /99 -325

Please take note of the enclosed copy of	
the written statement by the patent proprietor of the written statement by the opponent 01 of May 10, 200 our written statement of)0
Michel Patin /signature/ Registry Tel. (089) 23 99 –3261	
Enclosures 1	
By registered mail	

Page 11 of Tab 20 is trilingual (The Translator)

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

KBA

Express Post

European Patent Office Erhardtstr. 27

80331 Munich

Our references: 1P3.00620115/W-KL/00.0671/Sl/sa

Appeal file number T0984/99-325

Opposition against European patent EP000620115B1 European Patent application 94103832.5 Appellant: MAN Roland Druckmaschinen AG Respondent: Koenig & Bauer Aktiengesellschaft

REGARDING THE COMMUNICATION OF JAN. 5, 2000

1. Motions

We request

- 1.1 to dismiss the appeal,
- 1.2 to uphold the Opposition Division's ruling on revocation of the aforesaid patent of Aug. 25, 1999, due to the contested patent not being based on inventive steps;
- 1.3 in the event that the filed motion can not be granted straight away, to issue an interlocutory communication to publicize the opinion of the Opposition Division to be formed after a provisional review.

Koenig & Bauer AG
P.O box 60 60
D-97010 Würzburg
Friedrich-Koenig-Str 4
D-87080 Würzburg
Tel (0931) 9 09-0
Fax (0931) 9 09-41 01
Email: kba-wuerzburg@bba-print de
Internet: www.kba-print.de

Date: 2000 05 10
Our reference: 1P3.0620115
Tel. (0931) 909- 44 30
Fax (0931) 909- 47 89
Your letter of Jan 5, 2000
Your reference 94103832.5-2304

Chairman of the board:
Peter Rempel
Board of directors
Reinhart Slewert, chairperson
Albrecht Bolza-Schünemann, Cert. Eng
Claus Bolza-Schunemann, Cert. Eng
Dieter Jensen, Cert. Eng
Frank Junker, Ph D Eng
Andreas Mössner, Bachelor of Bus
Admin
Burkhard Roos,
Walter Schumacher, Cert. Eng

Registered office: Würzburg Registrar of companies Würzburg Commercial Registration B 109

Postbank Nümberg Routing number 760 100 85 Account no 422-850 Landeszentralbank Wurzburg Routing number 790 000 00 Account no. 79 008 600

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

- 1.4 as alternative, to schedule oral proceedings, if the filed motion can not be granted during the written proceedings.
- 1.5 If the request for oral proceedings is granted, we request to schedule the beginning of the oral proceedings for 10:00 am.

2. Grounds

Since in our opinion the patent proprietor does not present any new facts in the grounds for appeal, we refer – to avoid repetitions– to the "Ruling on the revocation of the European patent (article 102(1) EPC)" of Aug. 25, 1999, and to the statement by the opponent of June 30, 1999.

Only in regard to K6, we refer explicitly to item 3.6.1 of the "Ruling on the revocation of the European patent (article 102(1) EPC)" of Aug. 25, 1999.

Koenig & Bauer Aktiengesellschaft

/signature/ /signature/
pp. Stiel per pro Schäfer
General power of
attorney No. 36992

Enclosure:

1 duplicate of this letter

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

October 6, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 20"
Appeal and Grounds for Appeal from MAN

(Christian König)

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

D-80298 München

Your reference, your message of

Our references, our message of

Extension

Date

MR00644/DS/RW

2158

Oct. 18, 1999

RE: European Patent application no. 94103832.5 – 2304 0620115 B "Device for inline-coating of materials to be printed in offset printing machines"

Regarding the ruling on the revocation of the European patent of Aug. 25, 1999 pursuant to article 102(1) EPC.

Hereby, we file an

Appeal

against the revocation ruling of the Opposition Division of Aug. 25, 1999.

At the same time we request oral proceedings. The grounds for appeal will be submitted later.

Please debit the scheduled appeal fees in the amount of 1022.00 Euro to our current account No. 28000521 using the enclosed payment form.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

Stahl

Richter

General power of

General power of

attorney 20809

attorney 36563

Chairman of the board: Rudolf Rupprecht, Ph.D. Eng. Board of directors:

Eng.
Board of directors:
Gerd Finkbeiner, Martin
Lange, Rainer
Opferkuch, Ph.D. Eng.,
Anton Weinmann
District Court:
Offenbach Register of

District Court:
Offenbach Register of
Companies No. 5137
Registered Office:
Offenbach/Main

Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank,

Offenbach/M.
VAT Id # 611136557

Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00

Account # 50 508 110

MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P O box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440 Page 2 of Tab 20 is trilingual. (The Translator)

EPO D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Boards of Appeal

COPY

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Oct. 25, 99

Ref.	Application No./Patent No.
JMa/GScho	94103832.5 0620115
Applicant/Proprietor	
MAN Roland Druckmaschinen AG	·

The appeal against the ruling of the Opposition Division of the European Patent Office of Aug. 25, 99 that has been submitted by you in a written statement of Oct. 18, 99 has been forwarded to the Technical Board of Appeal 325.

The file number of the appeal is T0984/99-325.

We ask you to direct any further submissions in this matter to the Directorate General 3 (Appeals) of the European Patent Office in Munich, quoting the above file number.

Furthermore, we ask you in view of rule 36(4) EPC to enclose extra copies of all submissions for the patent proprietor / opponent.

/signature/ Townend

Registry Tel. (089)2399-

EPO form 2242 06.88		,		

EPO D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Boards of Appeal

COPY

KOENIG & BAUER Aktiengesellschaft Postfach 60 60 97010 Würzburg Germany

Date: Oct. 25, 99

		24.0. 00. 20, 33
Ref.		Application No./Patent No.
1P3.0620115	01	94103832.5 2304 / 0620115
Applicant/Proprietor		·
MAN Roland Druckmaschinen AG		

The patent proprietor / opponent

has filed an appeal against the ruling of the Opposition Division of the European Patent Office.

The appeal has the file number T0984/99-325.

We enclose a copy of the written appeal. A copy of the grounds for appeal will be forwarded to you after they have been submitted.

We ask you to direct any submissions in this matter to the Directorate General 3 (Appeals) of the European Patent Office in Munich, quoting the above file number.

Furthermore, we ask you in view of rule 36(4) EPC to enclose duplicates of all submissions for the patent proprietor/opponent.

/initial/

Tel. (089)2399-

Enclosure		
EPO form 3343 06.xx		

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

80298 München

Your reference: TO 984/99-325

Your letter of:

Jan. 25, 1999

Our reference:

MR00644/RI/PE

Our letter of:

Name:

F.-P. Richter

Department: Telephone:

Patents/Licenses

Facsimile:

(069) 8305-2872

(069) 8305-1440

Email: Franz-Peter Richter@mro.man.de

December 23, 1999

Sent by fax Dec 23, 99

European Patent Application No 94103832.5-2304 / EP 0 620 115 B1,

"Device for inline-coating of materials to be printed in offset printing machines"

Proprietor: MAN Roland Druckmaschinen AG

Appeal file number: TO 984/99-325

In a written statement of Oct. 18, 1999, the patent proprietor has filed an appeal against the revocation decision of the Opposition Division of Aug. 25, 1999.

Hereby we submit the grounds for appeal in the enclosure. The appellant requests to reverse the ruling of the Opposition Division, and to grant the patent in its entirety.

We will adhere to abbreviated document titles whenever such have already been in use in the opposition proceedings (see I. Statement of facts and motions, item 5.1).

Chairman of the board: Rudolf Rupprecht, Ph.D. Eng. Board of directors: Gerd Finkbeiner, (Chairman) Rainer Opferkuch, Ph.D. Eng., Anton Weinmann, Paul Steidle. District Court: Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main

Commerzbank AG, Offenbach/M. Routing # 505 400 28 Account # 4 289 666 DE70 5054 0026 0428 9666 00, COBA DE FF 605 Dresdner Bank AG, Offenbach/M Routing # 505 800 05, Account # 5 082 821 DE10 5058 0005 0508 2821 00, DRES DE FF 505 Deutsche Bank AG, Offenbach/M Routing # 505 700 18, Account # 0 750 000 DE19 5057 0018 0000 7500 00, DEUT DE FF 605 Landeszentralbank, Offenbach/M. Routing # 505 000 00, Account # 50 508 110 **ZB HEDE F1 505**

VAT Id # 811136557

MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P.O. box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

In its ruling on the revocation of the aforesaid patent, the Opposition Division has confirmed that novelty pursuant to article 54 EPC is not a matter of dispute, but that the claimed subject matter does not contain any inventive steps as contemplated by article 56 EPC.

The following items refer to II. Grounds of opposition.

It is assumed that the document K1 (Offset Praxis 3/1993, pages 12-15) is the most closely related prior art, and it is established that K1 discloses that an additional coating unit is located <u>directly or indirectly</u> downstream of a coating unit that is upstream with respect to the sheet running direction (Item 3.3.1).

The patent proprietor did not share this view on the basis of K1 during the opposition proceedings, and still does not share it. Rather, it is correct, that it follows from K1 and K1a that at the company Busche in Dortmund was demonstrated a Fünffarben Roland 700 (with five ink printing stations) with two coating units located directly downstream, wherein each coating unit contains a form cylinder and a twin-roller unit as metering system.

Without discussing the configuration of the coating unit in more detail at this point, it does not follow from K1, that, in the sheet running direction, the second coating unit is located directly or indirectly downstream of the first coating unit. This view of the facts has been stated in retrospect, and does not correspond to the embodiments according to K1.

The grounds of opposition (item 3.3.2) assume, based on K1, that the coating unit that is positioned upstream, with respect to the sheet running direction, is configured as a flexo printing unit comprising the following elements:

- a relief form carrying form cylinder that is in contact with the impression cylinder,
- an applicator roller with screen structure, that is in contact with the form cylinder,
- a positionable doctor blade chamber.

The appellant is of the opinion that the characteristic features of this cited configuration can not be inferred explicitly from K1. For example, K1 does not disclose that the, in sheet running direction, first coating unit is a flexo printing unit. Rather, one gathers from K1, page 14, middle column, last paragraph, that the R700 at the firm Busche contains two coating modules in addition to the ink printing units, and that a coating module contains the flexo printing unit, and that the second coating module is the true coating module. But from this one can not infer the configuration of the coating units with respect to the sheet running direction. Furthermore, in our opinion, the disclosure of K1 that is quoted in item 3.3.2 does not follow from the cited document passages K1, page 13, left column, second paragraph, and K1, page 14, right column.

In addition, one is referred to K4, page 22, right column, penultimate paragraph, which discloses a Fünffarben Roland 700 with two coating towers, wherein the first coating tower operates in flexo printing mode and the second coating tower is used for coating (K4, page 23, top left column).

Finally, pages 42 and 43 of K7 are cited.

Not from a single one of the cited documents can one infer the configuration of a flexo printing machine comprising the elements listed in the independent claim. Only in K1, page 13, lower right column, is it pointed out that experiments to employ an anilox roller and a doctor blade chamber will serve to optimize the process. This is confirmed in K4, page 23, lower middle column, and the author of the article further concludes: "Thus the trial phase has not ended yet. Short inking stations for gold printing?" Through this the author asks his professional audience how such a coating unit might be configured.

Item 3.3.3 lists the embodiments of K1 (trials still required) and K7 (the announced final version of doctor blade chamber / anilox roller). But K1 does not specify in what kind of coating unit (coating module) and in which configuration these elements are to be employed, or how they will interact.

It can be gathered from K1 and K4 that an overprint coating is applied onto the gold ink by means of a second coating unit (item 3.3.4). This statement of facts reflects only a partial aspect of the independent claim of the contested patent, since the subject matter of the contested patent deals with a much more universal process. In this respect, the characterizing feature of connecting the doctor blade chamber by means of a feed pump and a suction pump (item 3.3.5) is not the only distinguishing feature of the subject matter of the independent claim.

Based on the cited prior art, item 3.4 presents the problem of inline-coating of quickly-evaporating aqueous printing inks / print coatings, and in item 3.5 the Opposition Division follows the object definition that was put forward by the opponent and is limited to "... improving the known doctor blade chamber system with anilox roller in such a manner that a problem-free transport of the aforesaid special printing inks or print coatings can take place within the *per se* known doctor blade chamber system or a *per se* known flexo printing unit within a multi color offset printing machine".

This decision does not make large enough an allowance for the fact that the problem definition continues further, namely to create a coating capability, which can be implemented in combination with additional printing- or coating processes downstream. Rather, the Opposition Division sided with the opponent, since allegedly all characteristic features of the independent claim – except the connection of feed- and suction pumps - were already known from K1.

Item 3.6 cites the documents K2 and K6. The assumption that a person skilled in the art – starting from document K1- would start examining the documents K2 and K6 is not comprehensible. Indeed, the opponent does not introduce any convincing reasons that would support this. It is true that K2 describes a doctor blade chamber with a screen applicator roller, but the form cylinder carries a blanket (coating blanket 124).

Consequently, the system that is configured as a selectively operable device is not a flexo printing unit, as is the subject matter of the independent claim of the contested patent, but the system at hand is a planographic printing unit. It can not be inferred from K1 that it is possible to operate a doctor blade chamber with a supply- and return line and with feedand suction pumps (circulating system). Neither K2 nor K6 prove or suggest that such a circulating system can be employed for the processing of quickly-evaporating aqueous printing inks / print coatings with high pigment content or coarse pigments (This is part of the problem definition of the contested patent).

It is therefore the opinion of the appellant, that the contested patent is by all means based on inventive steps.

MAN Roland Druckmaschinen AG

Per pro pp.

/signature/
D. Stahl
General power of attorney 20809

/signature/
F.-P. Richter
General power of attorney 36563

EPO
D-80298 München
Tel. 069/2399-0
TX 523 658 apmu d
Fax 069/2300-4465

European Patent Office

Boards of Appeal

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: May 16, 2000

Ref. JMa/GScho	Application No./Patent No. 94103832.5-2304 / 0620115
Applicant/Proprietor MAN Roland Druckmaschinen AG	

File number T 0984 /99 -325

Please ta	ake note of the enclosed copy of
[X] t	the written statement by the patent proprietor of the written statement by the opponent 01 of May 10, 2000 our written statement of
Michel l Registry Fel. (089	
Enclosu	res 1
By regis	stered mail

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

KBA

Express Post

European Patent Office Erhardtstr. 27

80331 Munich

Our references: 1P3.00620115/W-KL/00.0671/Sl/sa

Appeal file number T0984/99-325

Opposition against European patent EP000620115B1 European Patent application 94103832.5

Appellant : MAN Roland Druckmaschinen AG Respondent : Koenig & Bauer Aktiengesellschaft

REGARDING THE COMMUNICATION OF JAN. 5, 2000

1. Motions

We request

- 1.1 to dismiss the appeal,
- 1.2 to uphold the Opposition Division's ruling on revocation of the aforesaid patent of Aug. 25, 1999, due to the contested patent not being based on inventive steps;
- 1.3 in the event that the filed motion can not be granted straight away, to issue an interlocutory communication to publicize the opinion of the Opposition Division to be formed after a provisional review.

Koenig & Bauer AG P.O. box 60 60 D-97010 Würzburg Friedrich-Koenig-Str. 4 D-87080 Würzburg Tel. (0931) 9 09-0 Fax (0931) 9 09-41 01 Email: kba-wuerzburg@bba-print.de Internet: www.kba-print.de

Date: 2000.05.10
Our reference: 1P3.0620115
Tel. (0931) 909- 44 30
Fax (0931) 909- 47 89
Your letter of Jan. 5, 2000
Your reference 94103832.5-2304

Chairman of the board:
Peter Rempel
Board of directors:
Reinhart Slewert, chairperson
Albrecht Bolza-Schünemann, Cert. Eng
Claus Bolza-Schünemann, Cert Eng.
Dieter Jensen, Cert. Eng
Frank Junker, Ph D Eng
Andreas Mössner, Bachelor. of Bus.
Admnin
Burkhard Roos,
Walter Schumacher, Cert

Registered office: Würzburg Registrar of companies Würzburg Commercial Registration B 109

Postbank Nürnberg Routing number 760 100 85 Account no. 422-850 Landeszentralbank Würzburg Routing number 790 000 00 Account no. 79 008 600

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

- 1.4 as alternative, to schedule oral proceedings, if the filed motion can not be granted during the written proceedings.
- 1.5 If the request for oral proceedings is granted, we request to schedule the beginning of the oral proceedings for 10:00 am.

2. Grounds

Since in our opinion the patent proprietor does not present any new facts in the grounds for appeal, we refer – to avoid repetitions– to the "Ruling on the revocation of the European patent (article 102(1) EPC)" of Aug. 25, 1999, and to the statement by the opponent of June 30, 1999.

Only in regard to K6, we refer explicitly to item 3.6.1 of the "Ruling on the revocation of the European patent (article 102(1) EPC)" of Aug. 25, 1999.

Koenig & Bauer Aktiengesellschaft

/signature/ /signature/
pp. Stiel per pro Schäfer
General power of
attorney No. 36992

Enclosure:

1 duplicate of this letter

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10[™] AVE, VANCOUVER BC, V5N 1X6 CANADA TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

October 6, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 20"
Appeal and Grounds for Appeal from MAN

(Christian König)

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

D-80298 München

Your reference, your message of

Our references, our message of

Extension

Date

MR00644/DS/RW

2158

Oct. 18, 1999

RE: European Patent application no. 94103832.5 – 2304 0620115 B

"Device for inline-coating of materials to be printed in offset printing machines"

Regarding the ruling on the revocation of the European patent of Aug. 25, 1999 pursuant to article 102(1) EPC.

Hereby, we file an

Appeal

against the revocation ruling of the Opposition Division of Aug. 25, 1999.

At the same time we request oral proceedings. The grounds for appeal will be submitted later.

Please debit the scheduled appeal fees in the amount of 1022.00 Euro to our current account No. 28000521 using the enclosed payment form.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

Stahl

Richter

General power of

General power of

attorney 20809

attorney 36563

Chairman of the board: Rudolf Rupprecht, Ph.D.

Eng

Board of directors: Gerd Finkbeiner, Martin Lange, Rainer Opferkuch, Ph.D. Eng., Anton Weinmann

District Court: Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main Commerzbank AG, Offenbach/M. Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT Id # 611136557 Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P.O. box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

EPO D-80298 Munchen Tel 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Boards of Appeal

COPY

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Oct. 25, 99

Ref. JMa/GScho	Application No./Patent No. 94103832.5 0620115		
Applicant/Proprietor			
MAN Roland Druckmaschinen AG			

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The file number of the appeal is T0984/99-325.

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Furthermore, we ask you in view of rule 36(4) EPC to enclose extra copies of all submissions for the patent proprietor / opponent.

/signature/ Townend

Registry Tel. (089)2399-

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EPO form 2242 06.88				

EPO D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Boards of Appeal

COPY

KOENIG & BAUER Aktiengesellschaft Postfach 60 60 97010 Würzburg

Germany

Date: Oct. 25, 99

				
Ref. 1P3.0620115	01	Application No./Patent No. 94103832.5 2304 / 0620115		
Applicant/Proprietor MAN Roland Druckmaschinen AG				

The patent proprietor / opponent

has filed an appeal against the ruling of the Opposition Division of the European Patent Office.

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Furthermore, we ask you in view of rule 36(4) EPC to enclose duplicates of all submissions for the patent proprietor/ opponent.

/initial/

Tel. (089)2399-

Enclosure	_
EPO form 3343 06.xx	

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

80298 München

Your reference: TO 984/99-325

Your letter of:

Jan. 25, 1999 MR00644/RI/PE

Our reference: Our letter of:

Name:

F.-P. Richter

Department:

Patents/Licenses

Telephone: Facsimile:

(069) 8305-2872

(069) 8305-1440

Email: Franz-Peter Richter@mro.man.de

December 23, 1999

Sent by fax Dec 23, 99

European Patent Application No 94103832.5-2304 / EP 0 620 115 B1,

"Device for inline-coating of materials to be printed in offset printing machines"

Proprietor: MAN Roland Druckmaschinen AG

Appeal file number: TO 984/99-325

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We will adhere to abbreviated document titles whenever such have already been in use in the opposition proceedings (see I. Statement of facts and motions, item 5.1).

Chairman of the board: Rudolf Rupprecht, Ph.D. Eng. Board of directors: Gerd Finkbeiner, (Chairman) Rainer Opferkuch, Ph.D. Eng., Anton Weinmann, Paul Steidle District Court: Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main

Commerzbank AG, Offenbach/M. Routing # 505 400 28 Account # 4 289 666 DE70 5054 0026 0428 9666 00, COBA DE FF 605 Dresdner Bank AG, Offenbach/M Routing # 505 800 05, Account # 5 082 821 DE10 5058 0005 0508 2821 00, DRES DE FF 505 Deutsche Bank AG, Offenbach/M. Routing # 505 700 18, Account # 0 750 000 DE19 5057 0018 0000 7500 00, DEUT DE FF 605 Landeszentralbank, Offenbach/M Routing # 505 000 00, Account # 50 508 110 ZB HEDE F1 505

VAT Id # 811136557

MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main PO box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

In its ruling on the revocation of the aforesaid patent, the Opposition Division has confirmed that novelty pursuant to article 54 EPC is not a matter of dispute, but that the claimed subject matter does not contain any inventive steps as contemplated by article 56 EPC.

The following items refer to II. Grounds of opposition.

It is assumed that the document K1 (Offset Praxis 3/1993, pages 12-15) is the most closely related prior art, and it is established that K1 discloses that an additional coating unit is located <u>directly or indirectly</u> downstream of a coating unit that is upstream with respect to the sheet running direction (Item 3.3.1).

The patent proprietor did not share this view on the basis of K1 during the opposition proceedings, and still does not share it. Rather, it is correct, that it follows from K1 and K1a that at the company Busche in Dortmund was demonstrated a Fünffarben Roland 700 (with five ink printing stations) with two coating units located directly downstream, wherein each coating unit contains a form cylinder and a twin-roller unit as metering system.

Without discussing the configuration of the coating unit in more detail at this point, it does not follow from K1, that, in the sheet running direction, the second coating unit is located directly or indirectly downstream of the first coating unit. This view of the facts has been stated in retrospect, and does not correspond to the embodiments according to K1.

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- a relief form carrying form cylinder that is in contact with the impression cylinder,
- an applicator roller with screen structure, that is in contact with the form cylinder, and
- a positionable doctor blade chamber.

The appellant is of the opinion that the characteristic features of this cited configuration can not be inferred explicitly from K1. For example, K1 does not disclose that the, in sheet running direction, first coating unit is a flexo printing unit. Rather, one gathers from K1, page 14, middle column, last paragraph, that the R700 at the firm Busche contains two coating modules in addition to the ink printing units, and that a coating module contains the flexo printing unit, and that the second coating module is the true coating module. But from this one can not infer the configuration of the coating units with respect to the sheet running direction. Furthermore, in our opinion, the disclosure of K1 that is quoted in item 3.3.2 does not follow from the cited document passages K1, page 13, left column, second paragraph, and K1, page 14, right column.

In addition, one is referred to K4, page 22, right column, penultimate paragraph, which discloses a Fünffarben Roland 700 with two coating towers, wherein the first coating tower operates in flexo printing mode and the second coating tower is used for coating (K4, page 23, top left column).

Finally, pages 42 and 43 of K7 are cited.

Not from a single one of the cited documents can one infer the configuration of a flexo printing machine comprising the elements listed in the independent claim. Only in K1, page 13, lower right column, is it pointed out that experiments to employ an anilox roller and a doctor blade chamber will serve to optimize the process. This is confirmed in K4, page 23, lower middle column, and the author of the article further concludes: "Thus the trial phase has not ended yet. Short inking stations for gold printing?" Through this the author asks his professional audience how such a coating unit might be configured.

Item 3.3.3 lists the embodiments of K1 (trials still required) and K7 (the announced final version of doctor blade chamber / anilox roller). But K1 does not specify in what kind of coating unit (coating module) and in which configuration these elements are to be employed, or how they will interact.

It can be gathered from K1 and K4 that an overprint coating is applied onto the gold ink by means of a second coating unit (item 3.3.4). This statement of facts reflects only a partial aspect of the independent claim of the contested patent, since the subject matter of the contested patent deals with a much more universal process. In this respect, the characterizing feature of connecting the doctor blade chamber by means of a feed pump and a suction pump (item 3.3.5) is not the only distinguishing feature of the subject matter of the independent claim.

Based on the cited prior art, item 3.4 presents the problem of inline-coating of quickly-evaporating aqueous printing inks / print coatings, and in item 3.5 the Opposition Division follows the object definition that was put forward by the opponent and is limited to "... improving the known doctor blade chamber system with anilox roller in such a manner that a problem-free transport of the aforesaid special printing inks or print coatings can take place within the *per se* known doctor blade chamber system or a *per se* known flexo printing unit within a multi color offset printing machine".

This decision does not make large enough an allowance for the fact that the problem definition continues further, namely to create a coating capability, which can be implemented in combination with additional printing- or coating processes downstream. Rather, the Opposition Division sided with the opponent, since allegedly all characteristic features of the independent claim – except the connection of feed- and suction pumps - were already known from K1.

Item 3.6 cites the documents K2 and K6. The assumption that a person skilled in the art – starting from document K1- would start examining the documents K2 and K6 is not comprehensible. Indeed, the opponent does not introduce any convincing reasons that would support this. It is true that K2 describes a doctor blade chamber with a screen applicator roller, but the form cylinder carries a blanket (coating blanket 124).

Consequently, the system that is configured as a selectively operable device is not a flexo printing unit, as is the subject matter of the independent claim of the contested patent, but the system at hand is a planographic printing unit. It can not be inferred from K1 that it is possible to operate a doctor blade chamber with a supply- and return line and with feedand suction pumps (circulating system). Neither K2 nor K6 prove or suggest that such a circulating system can be employed for the processing of quickly-evaporating aqueous printing inks / print coatings with high pigment content or coarse pigments (This is part of the problem definition of the contested patent).

It is therefore the opinion of the appellant, that the contested patent is by all means based on inventive steps.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/ F.-P. Richter

D. Stahl General power of

General power of

attorney 20809

attorney 36563

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Fax 069/2300-4465

European Patent Office

Boards of Appeal

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: May 16, 2000

Ref. JMa/GScho	Application No./Patent No. 94103832.5-2304 / 0620115
Applicant/Proprietor MAN Roland Druckmaschinen AG	

File number T 0984 /99 -325

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By reg	istered	mail				

Page 11 of Tab 20 is trilingual (The Translator)

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

KBA

Express Post

European Patent Office Erhardtstr. 27

80331 Munich

Our references: 1P3.00620115/W-KL/00.0671/Sl/sa

Appeal file number T0984/99-325

Opposition against European patent EP000620115B1 European Patent application 94103832.5 Appellant: MAN Roland Druckmaschinen AG Respondent: Koenig & Bauer Aktiengesellschaft

REGARDING THE COMMUNICATION OF JAN. 5, 2000

1. Motions

We request

- 1.1 to dismiss the appeal,
- 1.2 to uphold the Opposition Division's ruling on revocation of the aforesaid patent of Aug. 25, 1999, due to the contested patent not being based on inventive steps;
- 1.3 in the event that the filed motion can not be granted straight away, to issue an interlocutory communication to publicize the opinion of the Opposition Division to be formed after a provisional review.

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Email: kba-wuerzburg@bba-print.de
Internet: www.kba-print.de

Date: 2000.05.10
Our reference: 1P3.0620115
Tel. (0931) 909- 44 30
Fax (0931) 909- 47 89
Your letter of Jan. 5, 2000
Your reference 94103832.5-2304

Chairman of the board:
Peter Rempel
Board of directors
Reinhart Slewert, chairperson
Albrecht Bolza-Schünemann, Cert Eng
Claus Bolza-Schünemann, Cert Eng
Dieter Jensen, Cert Eng
Frank Junker, Ph.D Eng.
Andreas Mössner, Bachelor of Bus
Admnin
Burkhard Roos,
Walter Schumacher, Cert. Eng

Registered office: Würzburg Registrar of companies Würzburg Commercial Registration B 109

Postbank Nürnberg Routing number 760 100 85 Account no. 422-850 Landeszentralbank Würzburg Routing number 790 000 00 Account no. 79 008 600

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

- as alternative, to schedule oral proceedings, if the filed motion can not be granted during the written proceedings.
- 1.5 If the request for oral proceedings is granted, we request to schedule the beginning of the oral proceedings for 10:00 am.

2. Grounds

Since in our opinion the patent proprietor does not present any new facts in the grounds for appeal, we refer – to avoid repetitions– to the "Ruling on the revocation of the European patent (article 102(1) EPC)" of Aug. 25, 1999, and to the statement by the opponent of June 30, 1999.

Only in regard to K6, we refer explicitly to item 3.6.1 of the "Ruling on the revocation of the European patent (article 102(1) EPC)" of Aug. 25, 1999.

Koenig & Bauer Aktiengesellschaft

/signature/
pp. Stiel per pro Schäfer
General power of
attorney No. 36992

Enclosure:

1 duplicate of this letter

CHRISTIAN H.B. KÖNIG GERMAN TRANSLATION SERVICE

1541 EAST 10TH AVE, VANCOUVER BC, V5N 1X6 CANADA TELEPHONE: (604) 876-9955 FACSIMILE: (604) 876-9961

E-MAIL: koenig@ipTranslation.com

October 6, 2000

I, Christian König, hereby swear, under penalty of perjury, that the attached document was translated by me and to the best of my knowledge and belief is a true and accurate translation of the corresponding German document:

"Tab 20"
Appeal and Grounds for Appeal from MAN

(Christian König)

MAN ROLAND

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

D-80298 München

Your reference, your message of

Our references, our message of

Extension

Date

MR00644/DS/RW

2158

Oct. 18, 1999

RE: European Patent application no. 94103832.5 – 2304 0620115 B

"Device for inline-coating of materials to be printed in offset printing machines"

Regarding the ruling on the revocation of the European patent of Aug. 25, 1999 pursuant to article 102(1) EPC.

Hereby, we file an

Appeal

against the revocation ruling of the Opposition Division of Aug. 25, 1999.

At the same time we request oral proceedings. The grounds for appeal will be submitted later.

Please debit the scheduled appeal fees in the amount of 1022:00 Euro to our current account No. 28000521 using the enclosed payment form.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

Stahl

Richter

General power of

General power of

attorney 20809

attorney 36563

Offenbach/M.

Chairman of the board' Rudolf Rupprecht, Ph.D. Eng. Board of directors: Gerd Finkbeiner, Martin Lange, Rainer Opferkuch, Ph.D. Eng., Anton Weinmann

District Court:
Offenbach Register of
Companies No. 5137
Registered Office:
Offenbach/Main

Dresdner Bank AG, Offenbach/M. Deutsche Bank AG, Offenbach/M. Landeszentralbank, Offenbach/M. VAT Id # 611136557

Commerzbank AG,

Routing # 605 400 26 Account # 4 289 666 Routing # 505 800 05 Account # 5 082 821 Routing # 505 700 18 Account # 0 750 000 Routing # 505 000 00 Account # 50 508 110 MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main P O box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

EPO D-80298 Munchen Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Boards of Appeal

COPY

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: Oct. 25, 99

Ref. JMa/GScho	Application No./Patent No. 94103832.5 0620115
Applicant/Proprietor	
MAN Roland Druckmaschinen AG	

The appeal against the ruling of the Opposition Division of the European Patent Office of Aug. 25, 99 that has been submitted by you in a written statement of Oct. 18, 99 has been forwarded to the Technical Board of Appeal 325.

The file number of the appeal is T0984/99-325.

We ask you to direct any further submissions in this matter to the Directorate General 3 (Appeals) of the European Patent Office in Munich, quoting the above file number.

Furthermore, we ask you in view of rule 36(4) EPC to enclose extra copies of all submissions for the patent proprietor / opponent.

/signature/ Townend

Registry Tel. (089)2399-

EPO form 2242 06.88	 1				
		•	 	 	

EPO D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Boards of Appeal

COPY

KOENIG & BAUER Aktiengesellschaft Postfach 60 60 97010 Würzburg Germany

Date: Oct. 25, 99

Ref. 1P3.0620115	01	Application No./Patent No. 94103832.5 2304 / 0620115	
Applicant/Proprietor MAN Roland Druckmaschinen AG			

The patent proprietor / opponent

has filed an appeal against the ruling of the Opposition Division of the European Patent Office.

The appeal has the file number T0984/99-325.

We enclose a copy of the written appeal. A copy of the grounds for appeal will be forwarded to you after they have been submitted.

We ask you to direct any submissions in this matter to the Directorate General 3 (Appeals) of the European Patent Office in Munich, quoting the above file number.

Furthermore, we ask you in view of rule 36(4) EPC to enclose duplicates of all submissions for the patent proprietor/ opponent.

/initial/

Tel. (089)2399-

Enclosure		
EPO form 3343 06.xx		

MAN **ROLAND**

MAN Roland Druckmaschinen AG

Product segment Sheet-fed machines Offenbach/Main

European Patent Office

80298 München

Your reference: TO 984/99-325

Your letter of: Our reference: Jan. 25, 1999 MR00644/RI/PE

Our letter of:

Name:

F.-P. Richter

Department:

Patents/Licenses

Telephone: Facsimile:

(069) 8305-2872 (069) 8305-1440

Email: Franz-Peter Richter@mro.man.de

December 23, 1999 Date: Sent by fax Dec 23, 99

European Patent Application No 94103832.5-2304 / EP 0 620 115 B1,

"Device for inline-coating of materials to be printed in offset printing machines"

Proprietor: MAN Roland Druckmaschinen AG

Appeal file number: TO 984/99-325

In a written statement of Oct. 18, 1999, the patent proprietor has filed an appeal against the revocation decision of the Opposition Division of Aug. 25, 1999.

Hereby we submit the grounds for appeal in the enclosure. The appellant requests to reverse the ruling of the Opposition Division, and to grant the patent in its entirety.

We will adhere to abbreviated document titles whenever such have already been in use in the opposition proceedings (see I. Statement of facts and motions, item 5.1).

Chairman of the board: Rudolf Rupprecht, Ph.D. Board of directors: Gerd Finkbeiner, (Chairman) Rainer Opferkuch, Ph.D. Eng., Anton Weinmann, Paul Steidle. District Court: Offenbach Register of Companies No. 5137 Registered Office: Offenbach/Main

Commerzbank AG, Offenbach/M Routing # 505 400 28 Account # 4 289 666 DE70 5054 0026 0428 9666 00, COBA DE FF 605 Dresdner Bank AG, Offenbach/M Routing # 505 800 05, Account # 5 082 821 DE10 5058 0005 0508 2821 00, DRES DE FF 505 Deutsche Bank AG, Offenbach/M Routing # 505 700 18, Account # 0 750 000 DE19 5057 0018 0000 7500 00, DEUT DE FF 605 Landeszentralbank, Offenbach/M Routing # 505 000 00, Account # 50 508 110 **ZB HEDE F1 505** VAT Id # 811136557

MAN Roland Druckmaschinen AG Mühlheimer Strasse 341 D-63075 Offenbach/Main PO box 10 12 64 D-63012 Offenbach/Main Tel: (069) 8305-0 Telex 4152853 mro d Fax: (069) 8305-1440

In its ruling on the revocation of the aforesaid patent, the Opposition Division has confirmed that novelty pursuant to article 54 EPC is not a matter of dispute, but that the claimed subject matter does not contain any inventive steps as contemplated by article 56 EPC.

The following items refer to II. Grounds of opposition.

It is assumed that the document K1 (Offset Praxis 3/1993, pages 12-15) is the most closely related prior art, and it is established that K1 discloses that an additional coating unit is located <u>directly or indirectly</u> downstream of a coating unit that is upstream with respect to the sheet running direction (Item 3.3.1).

The patent proprietor did not share this view on the basis of K1 during the opposition proceedings, and still does not share it. Rather, it is correct, that it follows from K1 and K1a that at the company Busche in Dortmund was demonstrated a Fünffarben Roland 700 (with five ink printing stations) with two coating units located directly downstream, wherein each coating unit contains a form cylinder and a twin-roller unit as metering system.

Without discussing the configuration of the coating unit in more detail at this point, it does not follow from K1, that, in the sheet running direction, the second coating unit is located directly or indirectly downstream of the first coating unit. This view of the facts has been stated in retrospect, and does not correspond to the embodiments according to K1.

The grounds of opposition (item 3.3.2) assume, based on K1, that the coating unit that is positioned upstream, with respect to the sheet running direction, is configured as a flexo printing unit comprising the following elements:

- a relief form carrying form cylinder that is in contact with the impression cylinder,
- an applicator roller with screen structure, that is in contact with the form cylinder, and
- a positionable doctor blade chamber.

The appellant is of the opinion that the characteristic features of this cited configuration can not be inferred explicitly from K1. For example, K1 does not disclose that the, in sheet running direction, first coating unit is a flexo printing unit. Rather, one gathers from K1, page 14, middle column, last paragraph, that the R700 at the firm Busche contains two coating modules in addition to the ink printing units, and that a coating module contains the flexo printing unit, and that the second coating module is the true coating module. But from this one can not infer the configuration of the coating units with respect to the sheet running direction. Furthermore, in our opinion, the disclosure of K1 that is quoted in item 3.3.2 does not follow from the cited document passages K1, page 13, left column, second paragraph, and K1, page 14, right column.

In addition, one is referred to K4, page 22, right column, penultimate paragraph, which discloses a Fünffarben Roland 700 with two coating towers, wherein the first coating tower operates in flexo printing mode and the second coating tower is used for coating (K4, page 23, top left column).

Finally, pages 42 and 43 of K7 are cited.

Not from a single one of the cited documents can one infer the configuration of a flexo printing machine comprising the elements listed in the independent claim. Only in K1, page 13, lower right column, is it pointed out that experiments to employ an anilox roller and a doctor blade chamber will serve to optimize the process. This is confirmed in K4, page 23, lower middle column, and the author of the article further concludes: "Thus the trial phase has not ended yet. Short inking stations for gold printing?" Through this the author asks his professional audience how such a coating unit might be configured.

Item 3.3.3 lists the embodiments of K1 (trials still required) and K7 (the announced final version of doctor blade chamber / anilox roller). But K1 does not specify in what kind of coating unit (coating module) and in which configuration these elements are to be employed, or how they will interact.

It can be gathered from K1 and K4 that an overprint coating is applied onto the gold ink by means of a second coating unit (item 3.3.4). This statement of facts reflects only a partial aspect of the independent claim of the contested patent, since the subject matter of the contested patent deals with a much more universal process. In this respect, the characterizing feature of connecting the doctor blade chamber by means of a feed pump and a suction pump (item 3.3.5) is not the only distinguishing feature of the subject matter of the independent claim.

Based on the cited prior art, item 3.4 presents the problem of inline-coating of quickly-evaporating aqueous printing inks / print coatings, and in item 3.5 the Opposition Division-follows the object definition that was put forward by the opponent and is limited to "... improving the known doctor blade chamber system with anilox roller in such a manner that a problem-free transport of the aforesaid special printing inks or print coatings can take place within the *per se* known doctor blade chamber system or a *per se* known flexo printing unit within a multi color offset printing machine".

This decision does not make large enough an allowance for the fact that the problem definition continues further, namely to create a coating capability, which can be implemented in combination with additional printing- or coating processes downstream. Rather, the Opposition Division sided with the opponent, since allegedly all characteristic features of the independent claim – except the connection of feed- and suction pumps - were already known from K1.

Item 3.6 cites the documents K2 and K6. The assumption that a person skilled in the art – starting from document K1- would start examining the documents K2 and K6 is not comprehensible. Indeed, the opponent does not introduce any convincing reasons that would support this. It is true that K2 describes a doctor blade chamber with a screen applicator roller, but the form cylinder carries a blanket (coating blanket 124).

Consequently, the system that is configured as a selectively operable device is not a flexo printing unit, as is the subject matter of the independent claim of the contested patent, but the system at hand is a planographic printing unit. It can not be inferred from K1 that it is possible to operate a doctor blade chamber with a supply- and return line and with feedand suction pumps (circulating system). Neither K2 nor K6 prove or suggest that such a circulating system can be employed for the processing of quickly-evaporating aqueous printing inks / print coatings with high pigment content or coarse pigments (This is part of the problem definition of the contested patent).

It is therefore the opinion of the appellant, that the contested patent is by all means based on inventive steps.

MAN Roland Druckmaschinen AG

Per pro

pp.

/signature/

/signature/

D. Stahl General power of F.-P. Richter General power of

attorney 20809

attorney 36563

EPO D-80298 München Tel. 069/2399-0 TX 523 658 apmu d Fax 069/2300-4465

European Patent Office

Boards of Appeal

Marek, Joachim, Cert. Eng. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S Postfach 10 12 64 63012 Offenbach Germany

Date: May 16, 2000

Ref. JMa/GScho	Application No./Patent No. 94103832.5-2304 / 0620115	
Applicant/Proprietor MAN Roland Druckmaschinen AG		

File number T 0984 /99 -325

lease take note of the enclosed copy of
the written statement by the patent proprietor of the written statement by the opponent 01 of May 10, 2000 our written statement of
Michel Patin /signature/ Registry Sel. (089) 23 99 –3261
Enclosures 1
By registered mail

Page 11 of Tab 20 is trilingual (The Translator)

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

KBA

Express Post

European Patent Office Erhardtstr. 27

80331 Munich

Our references: 1P3.00620115/W-KL/00.0671/Sl/sa

Appeal file number T0984/99-325

Opposition against European patent EP000620115B1 European Patent application 94103832.5 Appellant: MAN Roland Druckmaschinen AG

Appellant: MAN Roland Druckmaschinen AG Respondent: Koenig & Bauer Aktiengesellschaft

REGARDING THE COMMUNICATION OF JAN. 5, 2000

1. Motions

We request

- 1.1 to dismiss the appeal,
- 1.2 to uphold the Opposition Division's ruling on revocation of the aforesaid patent of Aug. 25, 1999, due to the contested patent not being based on inventive steps;
- 1.3 in the event that the filed motion can not be granted straight away, to issue an interlocutory communication to publicize the opinion of the Opposition Division to be formed after a provisional review.

Koenig & Bauer AG P.O. box 60 60 D-97010 Würzburg Friedrich-Koenig-Str. 4 D-87080 Würzburg Tel. (0931) 9 09-0 Fax (0931) 9 09-41 01 Email: kba-wuerzburg@bba-print.de

Internet: www.kba-print.de

Date: 2000.05.10 Our reference: 1P3.0620115 Tel. (0931) 909- 44 30 Fax (0931) 909- 47 89

Your letter of Jan. 5, 2000 Your reference 94103832.5-2304

Chairman of the board:
Peter Rempel
Board of directors.
Reinhart Slewert, chairperson
Albrecht Bolza-Schünemann, Cert Eng
Claus Bolza-Schünemann, Cert Eng
Dieter Jensen, Cert. Eng
Frank Junker, Ph D Eng
Andreas Mössner, Bachelor of Bus
Admin
Burkhard Roos,
Walter Schumacher, Cert. Eng

Registered office: Würzburg Registrar of companies Würzburg Commercial Registration B 109

Postbank Numberg Routing number 760 100 85 Account no. 422-850 Landeszentralbank Wurzburg Routing number 790 000 00 Account no. 79 008 600

Koenig & Bauer Aktiengesellschaft Printing Machines, Würzburg

- as alternative, to schedule oral proceedings, if the filed motion can not be granted during the written proceedings.
- 1.5 If the request for oral proceedings is granted, we request to schedule the beginning of the oral proceedings for 10:00 am.

2. Grounds

Since in our opinion the patent proprietor does not present any new facts in the grounds for appeal, we refer – to avoid repetitions— to the "Ruling on the revocation of the European patent (article 102(1) EPC)" of Aug. 25, 1999, and to the statement by the opponent of June 30, 1999.

Only in regard to K6, we refer explicitly to item 3.6.1 of the "Ruling on the revocation of the European patent (article 102(1) EPC)" of Aug. 25, 1999.

Koenig & Bauer Aktiengesellschaft

/signature/
pp. Stiel per pro Schäfer
General power of
attorney No. 36992

Enclosure:

1 duplicate of this letter

Europäisches Patentamt

D-80298 München

thre Zeichen, thro Nachricht vom

Unsere Zeichen, unsere Nachricht vom

Durch web!

Datum

MR00644/DS/RW

-2158

18,10,1999

2304 appo

Betr.: Europäisches Patentanmeldung Nr. 94103832.5 / EP 0620115 B "Einrichtung zum Inline-Beschichten von Bedruckstoffen in Offsetdruckmaschinen"

Auf die Entscheidung über den Widerruf des europäischen Patentes vom 25.08.1999 gemäß Artikel 102(1) EPÜ

Gegen den Zurückweisungsbeschluß der Einspruchsabteilung vom 25.08.1999 erheben wir hiermit

Beschwerde.

Gleichzeitig wird mündliche Verhandlung beantragt. Die Beschwerdebegründung wird nachgereicht.

Die tarifgemäße Beschwerdegebühr in Höhe von € 1.022,- bitten wir It. beigefügtem Zahlungsvordruck von unserem laufenden Konto Nr. 28000521 abzubuchen.

MAN Roland Druckmaschinen AG

NOAP-REVO

Zur Kasse

2 0. 10. 99 MM

MAN Poland

Aufgichterates Dr.-ing. Eh. Rudo'l Rupprecht Gerd Finkbeiner, Martin Lango, Dr.-Ing, Rainer Octerkuch, Anton Weinmann Ambgeright: Offenbach HRB-Nr, 5°37

Richter, AV 36563 #1, AV 30303 Commerzbank AG, Offenbach/M., BLZ 505 400 28, Kto.-Nr. 4 289 695 Dreadner Bank AG, Offenbach/M., BLZ 505 800 05, Kto.-Nr. 5 082 821 Dousche Bank AG, Offenbach/M., BLZ 505 700 18, Kto.-Nr. 0 750 000 Landeszerhalbank, Offenbach/M., BLZ 505 000 00, Kto.-Nr. 50 508 110 USt-Idont-Nr. DE 811136557

Druckmaschinen Aktiengesetischaft Mühlheimer Straße 241 D-63075 Offenbach/Main Postach 101264 D-63012 Offenbach/Mein Telefon: (0.69) 83 05-0 Telex: 4 152 852 mro d Telelax: (0.69) 83 05-14 40

AV 20809

Sitz der Gesellschaft, Offenbach/Main

Europäisches Patentamt Beschwerdekammern

European Patent Office Boards of Appeal

Office européen des brevets Chambres de recours

Aktenzeichen / Case Number / N° du recours : T 0984 / 99

Verfügung / Order / Ordonnance

1) Nach dem Geschäftsverteilungsplan der Technischen Beschwerdekammern werden für Prüfung der oben bezeichneten Beschwerde folgende Mitglieder bestimmt:

In accordance with the business distribution scheme of the Technical Boards of Appeal, the following shall hear the above appeal:

Conformément au pien-recours ci-dessus mentionné : Messer Conformément au plan de répartition des affaires des Chambres de recours techniques sont chargés de l'examen du

als Vorsitzender / Chairman / en tant que Président

Fellhuber (2)

als technisch vorgebildetes Mitglied / technical member / en tant que membre technicien

als technisch vorgebildetes Mitglied / technical member / en tant que membre technicien

2) Als Berichterstatter wird bestimmt / The rapporteur shall be / Est désigné pour assurer les fonctions de rapporteur :

Zellhubes

3) An die Geschäftsstelle zur weiteren Veranlassung / Back to the Registry for further action / A retourner au greffe pour attribution :

München / Munich.

0 6. 12. 99 AT

A. Mull

Der Vorsitzende der Technischen Beschwerdekammer 3.

The Chairman of the Technical Board of Appeal

5. 2. 3.

Le Président de la Chambre de recours technique

x) Anderung der Zusammensetzung der Kammer



≋

EPA/EPO/OEB
D-80298 München
089/2399-0
TX 523 656 epmu d

Europäisches Patentamt European Patent Office Office européen des brevets

Beschwerdekammern

Boards of Appeal

Chambres de recours

COPY

Marek, Joachim, Dipl.-Ing. c/o MAN Roland Druckmaschinen AG Patentabteilung/FTB S, Postfach 10 12 64 63012 Offenbach ALLEMAGNE

Datum/Date

2 5, 10, 99

Zeicher/Ref /Ref.

JMa/GScho

Anmeldung Nr /Application No /Demande n° /Patent Nr /Patent No /Brevet n°.

94103832.5 0620115

Anmelder/Applicant/Demandeur/Patentinhaber/Propnetor/Titulaire

MAN Roland Druckmaschinen AG

Die von Ihnen mit Schriftsatz vom 18.10.99. Europäischen Patentamts vom 25.08.99

gegen die Entscheidung der Einspruchsabteilung des eingelegte Beschwerde ist der Technischen Beschwerde-

kammer 325 vorgelegt worden.

Die Beschwerde führt das Aktenzeichen T0984/99-325

Sie werden gebeten, alle weitere Eingaben in dieser Sache an die Generaldirektion 3 (Beschwerde) des Europäischen Patentamts im München zu richten und dabei das vorgenannte Aktenzeichen anzugeben.

Ausserdem wird im Hinblick auf Regel 36(4) EPÜ gebeten, allen Eingaben Überstücke für die Patentinhaberin/Einsprechende beizufügen.

Amend

Geschäftsstelle

Tel.(089)2399-



EPA/EPO/OEB D-80298 Munchen T 089/2399-0 523 656 epmu d 089/2399-4465

Europäisches **Patentamt**

European **Patent Office** Office européen des brevets

Beschwerdekammen

Boards of Appeal

Chambres de recours

COPY

KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg **ALLEMAGNE**

Datum/Date

2 5. 10. 99

Zeichen/Ref /Réf. Anmeldung Nr./Application No./Demande nº/Patent Nr./Patent No./Brevet nº. 94103832.5 2304/0620115 1P3.0620115 01 Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire 'AN Roland Druckmaschinen AG

Die Patentinhaberin/Einsprechende

hat gegen die Entscheidung der Einspruchsabteilung des Europäischen Patentamts Beschwerde eingelegt.

Die Beschwerdeakte führt das Aktenzeichen To 984/99 - 325
Eine Abschrift der Beschwerdeschrift ist als Anlage beigefügt. Eine Abschrift der Beschwerdebegründung wird Ihnen nach deren Eingang zugestellt werden.

Sie werden gebeten, Eingaben in dieser Sache an die Generaldirektion 3 (Beschwerde) des Europäischen Patentamts in München zu richten und dabei das vorgennante Aktenzeichen anzugeben.

Im Hinblick auf Regel 36(4) EPÜ wird ferner gebeten, allen Eingaben Doppel für die Patentinhaberin/Einsprechende. beizufügen.

Tel.(089)2399-

 Anlage								 	
EPA Form 3343 06.89	. ,	. ,	 	 , ,	,	,			

Ę. 11.



MAN Roland Druckmaschinen AG

584109-325

Europäisches Patentamt

80298 München

Produktbereich Bogenmaschinen Offenbach/Main

Ihr Zeichen:

TO 984/99-

325

Ihre Nachricht vom: Unser Zeichen:

25.1.1999 MR00644/RI/PE

Unsere Nachricht vom:

Name:

F.-P. Richter

Abteilung: Telefon: Patente / Lizenzen (069) 8305-2872

Telefon: Telefax:

Datum:

(069) 8305-1440

E-Mail: Franz-Peter_Richter@mro.man.de

23. Dezember 1999

vorais per Foix 23. 12.99

Europäische Patentanmeldung Nr. 94103832.5-2304 / EP 0 620 115 B1, "Einrichtung zum Inline-Beschichten von Bedruckstoffen in Offsetdruckmaschinen" Inhaber: MAN Roland Druckmaschinen AG Beschwerdeaktenzeichen: TO 984/99-325

Mit Schriftsatz vom 18.10.1999 hat die Patentinhaberin Beschwerde gegen den Zurückweisungsbeschluss der Einspruchsabteilung vom 25.08.1999 erhoben.

Als Anlage wird hiermit die Beschwerdebegründung nachgereicht. Die Beschwerdeführerin beantragt, die Entscheidung der Einspruchsabteilung aufzuheben und das Patent in vollem Umfang zu erteilen.

Die Bezeichnung der bereits im Einspruchsverfahren bezeichneten Dokumente wird beibehalten (siehe I. Sachverhalt und Anträge, Pkt. 5.1).



Die Einspruchsabteilung hat in der Entscheidung über den Widerruf des obengenannten Patentes bestätigt, dass die Neuheit gemäß Artikel 54 EPÜ unstrittig ist, jedoch weise der Anspruchsgegenstand keine erfinderische Tätigkeit im Sinne des Artikels 56 EPÜ auf.

Die nachfolgend angeführten Punkte beziehen sich auf II. Einspruchsgründe.

Als nächstliegender Stand der Technik wird von dem Dokument K1 (Offset Praxis 3/1993, Seite 12 –15) ausgegangen und es wird festgestellt, dass in K1 beschrieben sei, dass der in Bogenlaufrichtung vorgeordneten Lackiereinheit eine weitere Lackiereinheit direkt oder indirekt nachgeordnet ist (Punkt 3.3.1).

Diese Auffassung auf Basis von K1 hat die Patentinhaberin im Einspruchsverfahren nicht geteilt und teilt sie auch weiterhin nicht. Richtig ist, dass aus K1 und K1a hervorgeht, dass bei der Firma Busche, Dortmund, eine Fünffarben Roland 700 (mit fünf Farbdruckwerken) mit zwei direkt nachgeordneten Lackiereinheiten präsentiert wurde, wobei jede Lackiereinheit einen Formzylinder und ein Zweiwalzenwerk als Dosiersystem aufweist.

Ohne auf die Ausbildung der Lackierwerke hier näher einzugehen geht aus K1 nicht hervor, dass unter Berücksichtigung der Bogenlaufrichtung der ersten Lackiereinheit direkt oder indirekt die zweite Lackiereinheit nachgeordnet ist. Dieser Sachverhalt ist rückschauend dargelegt und entspricht nicht den Ausführungen gernäß K1.

In der Einspruchsbegründung (Punkt 3.3.2) wird gem. K1 davon ausgegangen, dass die entsprechend der Bogenlaufrichtung vorgeordnete Lackiereinheit als Flexodruckwerk mit folgenden Elementen ausgebildet sei:

- einem eine Hochdruckform tragenden Formzylinder, der mit dem Druckzylinder in Kontakt steht,
- einer Auftragwalze mit Rasterstruktur, die mit dem Formzylinder in Kontakt steht, und
- einem anstellbaren Kammerrakel.



Die Beschwerdeführerin ist der Auffassung, dass die Merkmale in dieser aufgeführten Anordnung aus K1 nicht expliziert zu entnehmen sind. So ist in K1 nicht angegeben, dass das in Bogenlaufrichtung erste Lackwerk ein Flexodruckwerk ist. Vielmehr geht aus K1, Seite 14, mittlere Spalte, letzter Absatz hervor dass die R 700 bei der Firma Busche neben den Farbdruckwerken zwei Lackmodule aufweist und dass ein Lackmodul das Flexodruckwerk beinhaltet und das zweite Lackmodul das eigentliche Lackmodul ist. Daraus geht aber keine Anordnung der Lackwerke unter Berücksichtigung der Bogenlaufrichtung hervor. Auch geht die in Punkt 3.3.2 zitierte Offenbarung aus K1, Seite 13, linke Spalte, zweiter Absatz und K1, Seite 14, rechte Spalte nach diesseitiger Auffassung durch diese Literaturstellen nicht hervor.

Weiterhin wird auf K4, Seite 22, rechte Spalte, vorletzter Absatz verwiesen, daraus geht wiederum eine Fünffarben Roland 700 mit zwei Lacktürmen hervor, wonach im ersten Lackturm im Flexodruck und im zweiten Lackturm im Lackbetrieb (K4, Seite 23, linke Spalte oben) gearbeitet wird.

Schließlich wird noch K7. Seite 42 und 43 zitiert.

Aus keinem der zitierten Dokumente geht die Ausbildung der Flexodruckmaschine mit den im unabhängigen Anspruch aufgeführten Elementen hervor. Es wird lediglich in K1, Seite 13, rechte Spalte unten darauf hingewiesen das Versuche zum Einsatz einer Rasterwalze und eines Kammerrakelsystems der Optimierung des Verfahrens dienen würden. In K4, Seite 23, mittlere Spalte unten, wird dies bestätigt und der Verfasser des Artikels folgert weiterhin: "Also ist die Erprobung noch nicht zu Ende. Kurzfarbwerk für den Golddruck?" Damit stellt der Verfasser der Fachwelt die Frage wie ein derartiges Lackwerk aussehen soll.

In Punkt 3.3.3 sind die Ausbildungen aus K1 (Versuche noch erforderlich) und in K7 (angekündigte Endversion Kammerrakel/Rasterwalze) aufgeführt. In welchem Lackwerk (Lackmodul) diese Elemente und in welcher Anordnung sowie in welchem Zusammenspiel diese dort einzusetzen sind, bleibt jedoch in K1 offen.



Aus K1 und K4 ist zu entnehmen, dass mittels des zweiten Lackwerkes ein Überdrucklack auf die Goldfarbe aufgebracht wird (Punkt 3.3.4). Dieser Sachverhalt ist nur ein Teilaspekt des unabhängigen Anspruches des Streitpatentes, denn das Streitpatent hat eine wesentlich universellere Verarbeitung zum Gegenstand. Insofern unterscheidet sich der Gegenstand des unabhängigen Anspruchs auch nicht ausschließlich durch die Merkmale dass das Kammerrakel mittels Förderpumpe und Saugpumpe verbunden ist (Punkt 3.3.5).

Ausgehend vom zitierten Stand der Technik wird in Punkt 3.4. das Problem der Inlineverarbeitung schnell verdunstender, wässriger Druckfarben/Drucklacke aufgeführt und in Punkt 3.5.schließt sich die Einspruchsabteilung der von der Einsprechenden eingebrachten Aufgabenstellung an, die sich darauf beschränkt "... das an sich bekannte Kammerrakelsystem mit Rasterwalze dahingehend zu verbessern, dass ein problemloser Transport der genannten, speziellen Druckfarben bzw. Drucklacke innerhalb des an sich bekannten Kammerrakelsystemes eines an sich bekannten Flexodruckwerkes innerhalb einer Mehrfarbenrotationsdruckmaschine erfolgen kann".

Dass die Problemstellung weiterführt, nämlich eine Beschichtungsmöglichkeit zu schaffen, die in Kombination mit weiterbehandelnden Druck- oder Beschichtungsvorgängen durchführbar ist, wurde in dieser Entscheidung zu wenig berücksichtigt. Vielmehr folgte die Einspruchsabteilung der Einsprechenden, da angeblich aus K1 bereits alle Merkmale des unabhängigen Anspruches - mit Ausnahme der Förder- und Saugpumpenanbindung - bekannt seien.

In Punkt 3.6 werden die Dokumente K2 und K6 aufgeführt. Dass der Blick eines Fachmannes - ausgehend vom Dokument K1 - auf die Dokumente K2 und K6 gerichtet war, ist nicht nachvollziehbar. Die Einsprechende bringt hierfür auch keinen triftigen Grund ein. K2 beschreibt zwar ein Kammerrakel mit einer gerasterten Auftragwalze jedoch trägt der Formzylinder ein Gummituch (coating blanket 124).



Damit ist das als hilfsweise zuschaltbare Vorrichtung ausgebildete System kein Flexodruckwerk, wie es Gegenstand des unabhängigen Anspruches des Streitpatentes ist, sondern es liegt hier ein Flachdruckwerk vor. Das ein Kammerrakel mit Zuführ- und Rücklaufleitung und

Förder- und Saugpumpen (Umlaufsystem) zu betreiben ist, geht aus K1 nicht hervor. Dass ein derartiges Umlaufsystem für die Verarbeitung von schnellverdunstenden, wäßrigen Druckfarben/Drucklacken mit hohem Pigmentanteil bzw. groben Pigmenten (dies ist Teil der Problemstellung im Streitpatent) einsetzbar ist, ist weder durch K2 noch K6 bewiesen noch nahegelegt.

Die Beschwerdeführerin ist deshalb der Auffassung, dass das Streitpatent durchaus auf einer erfinderischen Tätigkeit basiert.

MAN Roland Druckmaschinen AG

a i.V.

D. Stahl, AV 20809 F.-P. Richter, AV 36563



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Beschwerdekammem

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Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire MAN Roland Druckmaschinen AG

Aktenzeichen T 0984 /99 -375

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des Schriftsatzes der Patentinhaberin vom

unseres Schriftsatzes vom _____

mit der Bitte um Kenntnisnahme.

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Anlage

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KOENIG & BAUER Aktiengesellschaft Postfach 6060 97010 Würzburg **ALLEMAGNE**

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Anmeldung Nr./Appilcation No./Demande nº//Patent Nr./Patent No./Brevet nº 94103832.5-2304/0620115

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire MAN Roland Druckmaschinen AG

Aktenzeichen

File Number

Numéro du dossier

T0984 1 99 - 325

Beiliegend erhalten Sie eine Abschrift der Beschwerdebegründung.

Für eine eventuelle Erwiderung hierauf wird eine Frist von vier Monaten bewilligt.

Please find enclosed a copy of the statement setting out the grounds of appeal.

Any submissions in answer hereupon must be filed within four months.

Veuillez trouver, ci-joint, une copie du mémoire exposant les motifs du recours.

Un délai de quatre mois vous est accordé pour y répondre éventuellement.

A. Townend

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Beschwerdeaktenzeichen T0984/99

Einspruch gegen europäisches Patent EP000620115B1

Europäische Patentanmeldung 94103832.5

Beschwerdeführer: MAN Roland Druckmaschinen AG

Beschwerdegegner: Koenig & Bauer Aktiengesellschaft

AUF DEN BESCHEID VOM 05.01.2000

ANTRÄGE

Es wird beantragt,

- 1.1. die Beschwerde zurückzuweisen,
- 1.2. die Entscheidung der Einspruchsabteilung vom 25.08.1999, das o. g. Patent zu widerrufen, aufrechtzuerhalten, da das angegriffene Patent nicht auf erfinderischer Tätigkeit beruht;
- 1.3. sofern dem gestellten Antrag nicht ohne weiteres stattgegeben werden kann, einen Zwischenbescheid zu erlassen, und die

Aufsichtsratsvorsitzender: Peter Reimpell Vorstand: Reinhart Siewert, Vorsitzender Dipl.-Ing. Albrecht Bolza-Schunemann Dipl.-ing. Claus Bolza-Schunemann Dipl.-Ing. Dieter Jensen Dr.-Ing. Frank Junker Dipl.-Betnebsw. Andreas Mößner (Stellv.) Dipl.-Ing. Walter Schumacher

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Koenig & Bauer Aktiengesellschaft Druckmaschinen, Würzburg





nach vorläufiger Prüfung sich ergebende Stellungnahme der Einspruchsabteilung bekanntzugeben,

- 1.4. hilfsweise eine mündliche Verhandlung anzuberaumen, falls dem gestellten Antrag nicht bereits im schriftlichen Verfahren stattgegeben werden kann.
- Sollte der mündlichen Verhandlung stattgegeben werden, wird beantragt, den Beginn der mündlichen Verhandlung auf 10 h zu legen;

2. BEGRÜNDUNG

Nachdem die Patentinhaberin nach diesseitiger Ansicht keine neuen Sachverhalte in der Beschwerdebegründung aufzeigt, wird – um Wiederholungen zu vermeiden – auf die "Entscheidung über den Widerruf des europäischen Patents (Art. 102(1) EPÜ)" vom 25.08.1999 und auf die Eingabe der Einsprechenden vom 1999-06-30 verwiesen.

Lediglich zu K6 wird explizit auf Punkt 3.6.1 der "Entscheidung über den Widerruf des europäischen Patents (Art. 102(1) EPÜ)" vom 25.08.1999 verwiesen.

Koenig & Bauer Aktiengesellschaft

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Anlage

1 Doppel dieses Schreibens

CITED DOCUMENTS